

THE WORLD OF PHILOSOPHY



THE WORLD OF PHILOSOPHY



An Introductory Reader

SECOND EDITION

EDITED BY STEVEN M. CAHN

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Victor L. Cahn



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Preface



This collection of readings offers an introduction to philosophy that includes not only standard analytic materials and Western historical texts but also writings reflecting Chinese, Japanese, Indian, Arabic, African, South American, Chicano, and Native American sources. Approximately one-quarter of the contemporary selections are authored by women. While some of the selections are reprinted unabridged, many have been edited to increase their accessibility. None presupposes prior acquaintance with any particular philosophical tradition.

NEW TO THIS EDITION

- A section devoted to The Good Life.
- Substantial readings from the Upanishads, *The Dhammapada*, the Bhagavad Gita, the Analects, the Tao Te Ching, and the Qur'an.
- Complete texts of Ecclesiastes and "The Sermon on the Mount."
- Excerpts from the Jain poem *Mahāpurāṇa* and the writings of the Buddhist philosopher Nāgārjuna.
- Selections from Leibniz's New Essays on Human Understanding and Kant's Critique of Pure Reason.
- Articles on the ethics of belief by W. K. Clifford and William James, and on euthanasia by James Rachels and Bonnie Steinbock.
- Essays by Kitarō Nishida, Kwame Gyekye, Gustavo Gutierrez, Jorge M. Valadez, and Thich Nhat Hanh.

READINGS ADDED TO THE NEW EDITION

Steven M. Cahn, Patricia Kitcher, and George Sher, "What Is Reason?" Gillian Barker and Philip Kitcher, "Antiscientism"

John Locke, "An Essay Concerning Human Understanding"

Gottfried Leibniz, "New Essays on Human Understanding"

Immanuel Kant, "Critique of Pure Reason"

Nāgārjuna, "Examination of the Senses"

Frank Jackson, "The Qualia Problem"

Alan Turing, "Computing Machinery and Intelligence"

The Upanishads

David Hume, "A Treatise of Human Nature"

John Locke, "Of Identity and Diversity"

Thomas Reid, "Essays on the Intellectual Powers of Man"

Terence Penelhum, "Identity and Immortality"

Kitarō Nishida, "The Freedom of the Will"

William Paley, "Natural Theology"

Eleonore Stump, "Knowledge, Freedom, and the Problem of Evil"

Kwame Gyekye, "The Problem of Evil: An Akan Perpsective" and "Character in Akan Ethics"

W. K. Clifford, "The Ethics of Belief"

William James, "The Will to Believe"

Mahāpurāņa

Tao Te Ching

Ninian Smart, "The Muslim Experience"

The Our'an

Gustavo Gutierrez, "Liberation Theology"

The Dhammapada

The Analects

James Rachels, "Active and Passive Euthanasia"

Bonnie Steinbock, "The Intentional Termination of Life"

Travis Timmerman, "A Reply to Singer"

Jorge M. Valadez, "Developing Democratic Citizenship in Multicultural Societies"

The Bhagavad Gita

Ecclesiastes

The Gospel According to Matthew, "The Sermon on the Mount"

Epictetus, The Handbook

Thich Nhat Hanh, "Realizing Well-Being"

Omitted from this edition are selections by Wesley C. Salmon, Stephen F. Barker, Nancy Tuana, Christopher W. Gowans, Harry Frankfurt, Linda Trinkhaus Zagzebski, Herbert Fingarette, Rosalind Hursthouse, John Arthur, Michael Walzer, Lionel K. McPherson, Tom Regan, Mary Anne Warren, Elliott Sober, Sidney Hook, Michel Foucault, Celia Wolf Devine, Karen Hanson, Laurence Thomas, Marilyn Frye, Thomas Nagel, Shelly Kagan, and Walpola Rahula.

ANCILLARY MATERIALS

A rich set of supplemental resources is available to support teaching and learning in this course. These supplements include an Instructor's Manual, Computerized Test Bank, PowerPoint lecture outlines, and Student Resources on the Oxford University Press Ancillary Resource Center (ARC) and Learning Management System Cartridges with Instructor and Student Resources. A link to the ARC can be found at www.oup.com/us/cahn.

PREFACE XIII

ACKNOWLEDGMENTS

I am grateful to my longtime editor Robert Miller for his wise counsel and unwavering support. I also wish to express my appreciation to associate editor Alyssa Palazzo and editorial assistant Kellylouise Delaney for their generous help, to production editor Marianne Paul for her conscientiousness, and to the staff of Oxford University Press for support throughout production. My deepest appreciation is to my wife, Marilyn Ross, M.D., to whom I owe more than I would try to express in words.

NOTE

Some of the materials throughout the book were written when the custom was to use the noun "man" and the pronoun "he" to refer to all persons, regardless of sex, and I have retained the author's original wording. With this proviso, let us begin the study of philosophy.

Part I



The Nature of Philosophy

What Is Philosophy?

MONROE C. BEARDSLEY AND ELIZABETH LANE BEARDSLEY

The study of philosophy is unlike the study of any other subject. No dates, formulas, or rules need be memorized. No field work is necessary, and no technical equipment required. The only prerequisite is an inquiring mind.

About what do philosophers inquire? The word *philosophy* is of Greek origin and literally means "the love of wisdom." But what sort of wisdom do philosophers love?

The answer is provided in our first selection. Its authors are Elizabeth Lane Beardsley (1914–1990), who taught at Lincoln University and then Temple University, and her husband, Monroe C. Beardsley (1915–1985), who taught at Swarthmore College and then Temple University.

While the best way to understand the nature of philosophical inquiry is to consider some specific philosophical issues, an overview of the subject is helpful, and that is what the Beardsleys provide.

Philosophical questions grow out of a kind of thinking that is familiar to all of us: the thinking that we do when we ask ourselves whether something that we believe is reasonable to believe. "Reasonable" has a broad, but definite, meaning here: a reasonable belief is simply a belief for which a good reason can be given. Reasonable beliefs are logically justifiable. It would seem that a belief that is reasonable stands a better chance of being true than one that is not, so anyone who is interested in the truth of his beliefs should be concerned about their reasonableness.

All of us have known, long before we approached the systematic study of philosophy, what it is like to want to make a belief reasonable, and also what it is like not to care whether a belief is reasonable or not. We have all had the experience of accepting beliefs without worrying about their logical justification, for we have

all been children. We absorbed the beliefs of our parents, or the opinions current in our society or culture, without thinking about them very much or looking at them with a critical eye. We may not even have been fully aware that we had them; we may have acted on them without ever having put them into words. As long as our own experience did not seem to conflict with those early beliefs, or those beliefs did not seem to clash with one another, it did not occur to us to question them or to inquire into the reasons that could be given for them.

But a growing individual cannot grow for very long without sometimes wondering whether his most cherished beliefs have any foundation. This experience, too, dates back to childhood. When, for example, a child notices that the Santa Claus on the street corner is about as tall as his father, while the one in the department store is

From *Philosophical Thinking: An Introduction*, by Monroe C. Beardsley and Elizabeth L. Beardsley. Copyright © 1965 by Harcourt, Inc.

a good deal taller, and is moved to ask questions about Santa's location and stature, he is looking critically at a belief and inquiring into its reasons.

As we emerge from childhood, we continue to have experiences of this kind and to acquire further beliefs. Some beliefs we go on accepting without checking up on their reasonableness; other beliefs we do question, some of them very seriously. But two things happen to many of us. First, the questioned beliefs increase in proportion to the unquestioned beliefs. And second, the questioning process, once begun, is carried on for longer and longer times before it is allowed to end. The child who is told that the department store Santa is "really" Santa Claus, while those in the street are merely trusted helpers, may be satisfied for a time, but at some later stage he will probably ask himself what reason there is for believing this to be true, especially if he compares notes with his cousin from another city, who has been provided with a different candidate for the "real Santa Claus." The junior high school student who has been told he should accept what his science teacher says because the latter knows his subject may wonder why the teacher is judged to be a qualified authority in this field. If provided with satisfactory assurances, he will call a halt to his questioning process at that stage; but later on, perhaps in college, he may be moved to ask why we should ever accept anything told us by "authorities," no matter how well qualified. Should we not rely entirely on our own firsthand experience? Is anything else really knowledge?

The search for good reasons for our beliefs, and for good reasons for the reasons, can be carried as far as we wish. If it is carried far enough, the searcher finds himself confronted by questions of a peculiar kind: the questions of philosophy. Among these questions you will find some that you have already thought about, as well as others that will be unfamiliar to you. Many of them, however, originally came to be asked because someone undertook a critical examination of his ordinary beliefs.

PHILOSOPHICAL QUESTIONS

As our first example, let us trace the origin of a few philosophical questions that arise out of the moral aspects of life. People sometimes say, "He ought to be put in jail for that." Sometimes this is only an exclamation of anger at some instance of meanness or brutality; sometimes it leads to action, however, for juries do put people in jail because (if the jurors are conscientious) they believe that this punishment is just. Suppose you hear a friend remark, about the recent conviction of someone who has violated the law—a holdup man, a venal judge, an industrialist who has conspired to fix prices, a civil rights demonstrator who has blocked a construction site—that the jail sentence is deserved. After you hear all the relevant details, you may agree with him. But even so, you might still wonder whether you are right, and—not because you plan to do anything about the case, but merely because you would like to be sure you are right—you may begin to ask further, more searching, questions.

Why does the man deserve to be sent to jail? Because he committed a crime, of course. Yes, but why should he be sent to jail for committing a crime? Because to disobey the laws of the state is wrong. But why? Just because certain people you don't even know, perhaps people who died years before you were born, passed a law against, let us say, spitting in the subway or disorderly conduct, how does that obligate you to obey the law? This line of questioning, as we can foresee, will, if carried far, lead into some perplexing questions about the moral basis of the law, the tests of right and wrong, the purposes of government and society. For example, we may discover that in approving the jail sentence we are assuming that the existence of a government is so important to maintain that governments have the right, under certain conditions, to deprive any citizen of his liberties. This assumption is a philosophical belief. And when we ask whether or not it is true, we are asking a philosophical question.

But consider how the questioning might turn into a different channel. Granted that the act was illegal, there still remains the question whether the man should be punished. Sometimes people do wrong things because they are feeble-minded or mentally ill, and we do not regard them as punishable. Well, in this case, it might be said, the man is responsible for his action. Why responsible? Because he was free when he committed it—free to commit the act or to refrain from committing it. He had, some would say, free will. Indeed, all men have free will-though they do not always exercise it. Then what reason is there to believe that this, in turn, is true? How do we know there is such a thing as free will? Again, we seem to have uncovered an underlying belief that lies deeper than the lawyer's or the juror's immediate problems, something they do not themselves discuss, but (according to one theory) take for granted. We have reached another belief that can be called philosophical, and exposed another philosophical question: do human beings have free will?

Let us see what it is about these questions that makes them philosophical. One of the first things that might be noticed about them is that they are highly general. One question is more general than another if it is about a broader class of things: about brown cows rather than about Farmer Jones's brown cow Bessie, or about cows rather than about brown cows, or about animals rather than about cows. A question about everything there is would be the most general of all—we shall be trying in due course to answer such questions. Most philosophical questions are highly general: Are all right actions those that promote human happiness? Is all knowledge based on sense experience? Or-to recall those that turned up in our example—do all human beings have free will? Do all citizens owe certain obligations to their governments? Those who specialize in subjects other than philosophy may be interested in particular things or events, such as individual crimes or criminals. Or they may be interested in things or events of certain limited kinds, such as the psychological or sociological causes of crime. The philosopher goes into action when questions are raised about much larger classes, such as the class of human beings or of knowledge. Those who limit their investigations are entirely justified in doing so, for human knowledge could scarcely develop otherwise. Courts would never get their work done if every judge felt called upon to solve wide-ranging questions about guilt and responsibility before he could get down to the business of trying a particular case. But somebody, sometime, must ask those broad questions and try to answer them. That is the job of the philosopher.

Some questions count as philosophical because of a second, and even more important, quality: they are highly fundamental. The beliefs that a particular person has at a particular time constitute a more or less orderly system, depending on the extent to which they are logically interconnected, some being reasons for others, some of the others being in turn reasons for still others, etc. When you are pressed for your reason for predicting rain, if you reply that you observe dark clouds, then in your thinking at that time the second belief is more fundamental than the first. Of course a belief that is very fundamental in one person's thinking may not be at all fundamental in another's; that is one reason why each person comes at philosophy a little differently from everyone else. But there are some beliefs that are pretty sure to be fundamental in the thinking of anyone who holds them at all, and it is these that we have in mind when we speak of fundamental beliefs and fundamental questions without mentioning any particular believer.

When one belief supports another, but is not itself supported by it, it is logically more fundamental; there is more to it, so to speak, and therefore, in principle at least, it is capable of supporting a wider range of other beliefs. Thus, of two beliefs, the more fundamental one is probably the one that underlies and supports more of your other beliefs. If you should discover that you were mistaken about a particular fact, you would probably not have to revise many of your other beliefs to accommodate this change. But, for example, a belief in the immortality of the soul may be tied up with many other beliefs about morality, religion, education, and science.

A highly fundamental question is a question about the truth of a highly fundamental belief. And such questions are philosophical ones. The more general a question is, the more fundamental it is likely to be, because it will range over a larger area. But this is not necessarily true. For example, the question, "Are all men selfish?" and the question, "Do all men wear shoes?" are equally general, since they are about all men; but they are not equally fundamental, since the former has important consequences for our beliefs about the nature of moral obligation (which includes a host of beliefs about particular obligations), while little seems to depend upon the latter. On the other hand, the philosophical question, "Does God exist?" does not seem to be general at all, for it is about a single being. Nevertheless, this question is fundamental for many people, since many other beliefs about human beings and the physical universe may depend upon the answer to it—and some of these beliefs are themselves highly general.

We do not know how to set up any rules telling exactly how general or how fundamental a question must be in order for it to be considered a philosophical one. Philosophers themselves might not all agree on the proper classification of every question you can think of. But if the demand for good reasons is pressed, beginning with any belief, it will gradually pass beyond the scope of various special fields of knowledge and investigation, and at some point it will bring to light a question that many philosophers would be interested in, and would recognize—perhaps with joy, and perhaps, if it is a very tough one, with uneasiness—as their very own.

PHILOSOPHICAL EXAMINATION

Any thinking that concerns the truth of a philosophical belief is *philosophical thinking*. It may take the form of accepting a belief as true and investigating its logical connections with other beliefs; this may be called *exploring* the belief. Or it may take the form of questioning the belief

and attempting to determine whether it is based on good reasons; this may be called *examining* the belief. Professional philosophers are those who have made philosophical thinking their vocation; but they have no monopoly on that activity. It is pursued by specialists in other fields—by scientists, historians, literary critics—whenever they inquire into the fundamental questions about their own disciplines. And it is pursued by all intelligent human beings who want to understand themselves and their world. Professional philosophers who genuinely respect their subject do not erect "No Trespassing" signs around philosophical questions.

In order to illustrate a little more fully what is involved in the process of examining a belief philosophically, let us take an example from history—let us begin with the belief that suchand-such a culture flourished hundreds of years before the Christian era in Central Africa or Nigeria. When the historian tells us this, we believe him. But if we have some intellectual curiosity, we might wonder how he knows it. Since the culture had no written language, he cannot rely on documents. And since their thatched houses and all the organic materials they once used in their daily life (wood, hide, cloth) would long ago have disintegrated in the tropical climate, the African historian has less to go on than his colleagues in other areas.¹ The usual methods developed by archaeologists are seldom available to him. It is hard to find organic materials on which to use the carbon-14 dating method (based on the constant rate of decay of this isotope in living organisms), though some artifacts have been dated in this way. Because of the rapid decay of dead wood and the eccentricities of seasonal growth, he cannot make much use of dendrochronology (dating by tree rings). But suppose the historian answers our challenge by using another method, thermoluminescence. In pottery there are uranium impurities that radiate naturally, but this radiation is trapped until the pottery is heated to a very high temperature. When the radiation rate for a particular substance is known, it is possible to determine how

long ago the pottery was baked by measuring the amount of radiation built up in it.

Now we began with a question asked by the historian, "When did this culture flourish?" and the historian gave his answer. But when we ask him for his reasons he appeals to principles of physics: for example, that the radiation rate of this kind of pottery is always such-and-such. If we ask him, "How do you know this?" he will, of course, conduct us to the physicist and advise us to direct our question to him-he is the expert on radiation. Suppose we do so. Presumably the physicist's answer will be something of this sort: "We have tested various samples in our laboratory under controlled conditions, and found that the radiation rate is constant." Now, "constant" here means that it holds not only for last week's laboratory samples, but for the same substance a thousand years ago and a thousand years hence.

Our historical curiosity is satisfied, and we would ordinarily be content to accept the physicist's conclusion, too. But, however irritating it may be, let us continue to press our question ruthlessly. "Why do you say," we ask the physicist, "that just because the radiation rate was constant all last week, it must have been the same thousands of years ago?" At first he may not quite know what we are after. "Well," he might say, hoping to appease us, "my experiments have shown that the radiation rate is independent of various environmental conditions, such as moisture, and I have reason to believe that the relevant conditions were the same in the past as they are now." If we are astute as well as doggedly persistent, we can point out something to him in return: "But you seem to be assuming a general proposition that whenever the same conditions exist, the same effects will occur—'Like causes, like effects,' or something of the sort."

Granted that if this general principle holds true, the physicist's particular law about the constancy of radiation rate can be justified—but again we can ask, "How do we know that like causes produce like effects? How do we know that an event always has certain relevant causal conditions, and that whenever these conditions

recur, the effect must recur, too?" Now we have left the physicist behind, too, and crossed over into the mysterious territory of philosophy. For we have asked a highly general question—since it is about all events, without exception, including everything that has happened or ever will happen. And it seems to be a highly fundamental question, since the assumption that every event has a cause, if it is true, must underlie an enormous number of other beliefs, not only in history and physics but in the common affairs of ordinary life.

Indeed, at this point we seem to have left everyone behind but the philosopher. And that is one of the peculiarities of this subject. When Harry Truman was President, he had a sign over his desk that said, "The buck stops here." The philosopher does his intellectual work under a similar sign, for there is no one to whom he can pass on a question with the plea that it is too general or too fundamental for him to deal with. The philosopher—and with him anyone else who is doing philosophical thinking—stands at the end of the line.

Here are two more samples of thinking that begin with a nonphilosophical belief but lead gradually but directly into philosophy. We present them in the form of brief dialogues.

Dialogue I

- A: You ought to have written to your parents last Sunday.
- B: Why?
- A: Because you promised you would write every Sunday.
- B: I know I did, but I've been awfully busy. Why was it so important to keep my promise?
- A: Not just *that* promise—*any* promise. It's wrong ever to break a promise.
- B: Well, I used to think that, but now I'm not sure. What makes you think it's always wrong to break promises?
- A: My reason is simply that most people in our society disapprove of it. You know perfectly well that they do.

B: Of course I know that most people in our society disapprove of breaking promises, but does that prove it really is always wrong to do it? The majority opinion in our society could be mistaken, couldn't it? I don't see why it should be taken for granted that what most Americans *think* is wrong and what really *is* wrong should always coincide. What's the connection between the two?

Dialogue II

- A: In my paper for political science I had to define "democracy." "Democracy" means "government by the people collectively."
- B: What made you choose that definition?
- A: I looked up the word in the dictionary, of course.
- B: How do you know your dictionary is right? My dictionary doesn't always give the same definitions as yours.
- A: Oh, but mine is larger and more recent, so it's bound to be more reliable.
- B: Yes, but language is constantly changing, and words like "democracy" are used in lots of different ways. I think one shouldn't feel bound by any dictionary definition. Every writer should feel free to define any word as he wishes.
- A: But that would be chaotic. Besides, you wouldn't really have definitions at all, in that case.
- B: Why wouldn't you have definitions? There's no such thing as *the* "one true meaning" of a word, is there? Words mean whatever people make them mean, so why shouldn't I select my own meanings and put them in definitions of my own?

Very different topics are discussed in these brief conversations; but they follow a similar pattern. In each case, speaker A makes an opening remark of a fairly specific sort, speaker B asks A to give a good reason for his opening statement, and A does provide what, on the level of ordinary common-sense thinking, would be regarded as a satisfactory reason. Many conversations would

end at this stage; but B is disposed to probe more deeply, to uncover the assumptions underlying A's reasons, and to ask whether these more basic assumptions, in turn, are reasonable. Notice how the beliefs being questioned become more general and more fundamental as the questioning goes on. In each of the little dialogues, B pushes A over the brink into philosophy. At the end of each, he raises a question concerning the truth of a philosophical belief—and there the matter is left, for the time being.

But you may not be content to leave it at that. If you feel some frustration or impatience with the way A and B are arguing, you are on the verge of doing some philosophical thinking yourself. Wouldn't you like to ask B some searching questions—for example, about the way in which he is using some of his key words? This would all be a lot clearer, you may have said to yourself while you were reading Dialogue I, if we were sure just what the word "wrong" means here. Maybe it means simply "disapproved by a majority of people in one's own society." In that case, what happens to B's final question? Isn't he confused? But does "wrong" mean only this? And take the term "free will," which was used in one of the other examples of philosophical thinking discussed above. How can we decide whether it is reasonable to believe that human beings have this mysterious thing without saying precisely what it is?

If you have been thinking for yourself along these lines, or (even if you haven't) if you can now see the sense in raising these questions about the meaning of key words, you will be able to sympathize with a good deal of what contemporary philosophers have been doing. Philosophers at all periods have been concerned to analyze the meaning of basic philosophical terms, but this task has received more attention from twentieth-century philosophers—or from many of them, at least—than ever before. By "key words" in philosophy we mean simply those words that are used in statements of beliefs that are highly general and fundamental, and in questions about these beliefs. A question about the meaning of such a word, such as the

question, "What does 'cause' mean?" is itself highly fundamental, since the notion of causality plays a pervasive part in our thinking, and much might depend upon being clear about it. And we can see how it is that questions about the meaning of particular terms have led philosophers very naturally to still more fundamental questions about meaning itself, along with other basic characteristics of language. This further stage of interest in language is displayed in Dialogue II, in which speaker B is not content to accept A's remarks about the definition of the word "democracy" without questioning his assumptions about the very process of definition itself. Here B reveals a conviction (which we all can share) that we ought to be as clear as possible about the words in which we express our beliefs.

Increased clearness in your own beliefs is, then, one of the three chief benefits you can derive from a study of philosophy—if, as we hope, you are not content merely to learn about the theories and arguments of the great philosophers (interesting and valuable as that is), but will make this study an active exercise in philosophical thinking.

The second benefit, partly dependent on the first, is increased assurance that your beliefs are reasonable. A belief whose reasons have been examined deeply enough to reach the level of philosophical questioning rests on a firmer foundation than one that has been examined less thoroughly. This does not mean that everyone should become a professional philosopher (though we cannot help hoping that some readers of this book will ultimately make that choice). Admittedly, the philosopher's desire to base his beliefs on good reasons is unusually persistent and intense: the philosopher would not only rather be right than President—he would rather be right than anything. But all of us who want assurance that our beliefs are well grounded should do some philosophical thinking about some of them, at least, in order to secure the firmest possible grounds.

The third benefit which the study of philosophy can confer upon our beliefs is increased consistency. For philosophical thinking forces each of us to see whether his fundamental beliefs in

different areas of experience form a logically coherent whole. We have already encountered . . . a pair of philosophical beliefs that seem in danger of clashing head-on in a contradiction. You will recall how we found that the philosophical examination of a belief about an African culture seemed to uncover an underlying assumption that every event happens under such conditions that when they are repeated, the same sort of event must happen again—in other words, that every event happens in accordance with a law of nature. And when we examined the assumptions underlying punishment we found that these seem to include the assumption that human beings have free will. To have free will is to be able to act in two different ways under precisely the same conditions. But if it is ever true that a man could have acted differently under the same conditions—i.e., that the conditions did not completely determine his action—then here is *one event* (namely the action) that did *not* happen in accordance with any law of nature. Perhaps further examination would clear things up; but it looks as if we have here a contradiction in beliefs. Philosophical thinking has diagnosed it, and further philosophical thinking is the only thing that will provide a cure.

The three values we have cited—clarity, reasonableness, and consistency—are basic intellectual values. But perhaps you are saying to yourself something like this: "I can see that studying philosophy may help me improve my beliefs, but, after all, there is more to life than thinking and believing. What I most want from my education is to improve my *actions*. How can philosophical thinking help me to *live* better?"

Part of our answer here is that we must beware of drawing too sharp a line between beliefs and actions. Our beliefs—including philosophical beliefs—have a considerable influence on our actions. This influence can be seen most directly in one area of philosophy, where we are concerned with questions of value, but answers to some other basic philosophical questions may also possess some power to affect, however indirectly, the way we live. Although knowledge may be valuable for its own sake, as well as for its practical consequences, it is not wrong to expect philosophy to have its effects. It would be wrong, however, to ask every philosophical belief to show a direct and simple connection with human action. Perhaps the growing appreciation of the importance of basic research in science may foster an appreciation of the quest for answers to other highly fundamental questions, without insistence on immediate practical results.

In saying that beliefs influence actions, we do not mean to lose sight of the effect of emotions on human conduct. Temporary emotions, as well as more enduring emotional attitudes, are often powerful enough to make us behave in ways counter to what we believe intellectually. Philosophical thinking can do a great deal to clarify and harmonize our beliefs at all levels, and to strengthen their foundations. But the philosopher is no substitute for the psychiatrist, or for the parents and teachers of our early years who

help create our emotional make-up. Yet many philosophers have claimed that the experience of thinking about philosophical questions can affect our emotional attitudes as well as our beliefs.

When we detach our minds from immediate practical matters and from the limited boundaries of particular fields of specialization, we experience a kind of release from petty and provincial concerns. The experience of thinking as human beings who are trying to understand themselves and their universe may produce a serenity and breadth of mind that can in time become enduring attitudes.

Note

1. For this example, and the details concerning it, we are indebted to Harrison M. Wright, "Tropical Africa: The Historian's Dilemma," *Swarthmore Alumni Magazine* (October, 1963).

Study Questions

- 1. According to the Beardsleys, what is a philosophical question?
- 2. Construct a brief dialogue of your own, like Dialogues I and II, that illustrates how a philosophical issue can arise in the course of an ordinary conversation.
- 3. According to the Beardsleys, what three chief benefits can be derived from the study of philosophy?
- 4. Present an example of a philosophical belief that has influenced action.

The Value of Philosophy

BERTRAND RUSSELL

As we begin the study of philosophy, you may wonder whether the value of the subject can be explained briefly. Here is an insightful, inspirational statement from Bertrand Russell (1872–1970), the English philosopher, mathematician, social activist, winner of the 1950 Nobel Prize for Literature, and one of the most prominent figures of the twentieth century.

From *The Problems of Philosophy* by Bertrand Russell. Copyright © 1969 by Oxford University Press. Reprinted by permission of the publisher.

... [I]t will be well to consider ... what is the value of philosophy and why it ought to be studied. It is the more necessary to consider this question, in view of the fact that many men, under the influence of science or of practical affairs, are inclined to doubt whether philosophy is anything better than innocent but useless trifling, hair-splitting distinctions, and controversies on matters concerning which knowledge is impossible.

This view of philosophy appears to result, partly from a wrong conception of the ends of life, partly from a wrong conception of the kind of goods which philosophy strives to achieve. Physical science, through the medium of inventions, is useful to innumerable people who are wholly ignorant of it; thus the study of physical science is to be recommended, not only, or primarily, because of the effect on the student, but rather because of the effect on mankind in general. Thus utility does not belong to philosophy. If the study of philosophy has any value at all for others than students of philosophy, it must be only indirectly, through its effects upon the lives of those who study it. It is in these effects, therefore, if anywhere, that the value of philosophy must be primarily sought.

But further, if we are not to fail in our endeavour to determine the value of philosophy, we must first free our minds from the prejudices of what are wrongly called "practical" men. The "practical" man, as this word is often used, is one who recognizes only material needs, who realizes that men must have food for the body, but is oblivious of the necessity of providing food for the mind. If all men were well off, if poverty and disease had been reduced to their lowest possible point, there would still remain much to be done to produce a valuable society; and even in the existing world the goods of the mind are at least as important as the goods of the body. It is exclusively among the goods of the mind that the value of philosophy is to be found; and only those who are not indifferent to these goods

can be persuaded that the study of philosophy is not a waste of time.

Philosophy, like all other studies, aims primarily at knowledge. The knowledge it aims at is the kind of knowledge which gives unity and system to the body of the sciences, and the kind which results from a critical examination of the grounds of our convictions, prejudices, and beliefs. But it cannot be maintained that philosophy has had any very great measure of success in its attempts to provide definite answers to its questions. If you ask a mathematician, a mineralogist, a historian, or any other man of learning, what definite body of truths has been ascertained by his science, his answer will last as long as you are willing to listen. But if you put the same question to a philosopher, he will, if he is candid, have to confess that his study has not achieved positive results such as have been achieved by other sciences. It is true that this is partly accounted for by the fact that, as soon as definite knowledge concerning any subject becomes possible, this subject ceases to be called philosophy, and becomes a separate science. The whole study of the heavens, which now belongs to astronomy, was once included in philosophy; Newton's great work was called "the mathematical principles of natural philosophy." Similarly, the study of the human mind, which was a part of philosophy, has now been separated from philosophy and has become the science of psychology. Thus, to a great extent, the uncertainty of philosophy is more apparent than real: those questions which are already capable of definite answers are placed in the sciences, while those only to which, at present, no definite answer can be given, remain to form the residue which is called philosophy.

This is, however, only a part of the truth concerning the uncertainty of philosophy. There are many questions—and among them those that are of the profoundest interest to our spiritual life—which, so far as we can see, must remain insoluble to the human intellect unless its powers become of quite a different order from what

they are now. Has the universe any unity of plan or purpose, or is it a fortuitous concourse of atoms? Is consciousness a permanent part of the universe, giving hope of indefinite growth in wisdom, or is it a transitory accident on a small planet on which life must ultimately become impossible? Are good and evil of importance to the universe or only to man? Such questions are asked by philosophy, and variously answered by various philosophers. But it would seem that, whether answers be otherwise discoverable or not, the answers suggested by philosophy are none of them demonstrably true. Yet, however slight may be the hope of discovering an answer, it is part of the business of philosophy to continue the consideration of such questions, to make us aware of their importance, to examine all the approaches to them, and to keep alive that speculative interest in the universe which is apt to be killed by confining ourselves to definitely ascertainable knowledge. . . .

The value of philosophy is, in fact, to be sought largely in its very uncertainty. The man who has no tincture of philosophy goes through life imprisoned in the prejudices derived from common sense, from the habitual beliefs of his age or his nation, and from convictions which have grown up in his mind without the co-operation or consent of his deliberate reason. To such a man the world tends to become definite, finite, obvious; common objects rouse no questions, and unfamiliar possibilities are contemptuously rejected. As soon as we begin to philosophize, on the contrary, we find . . . that even the most everyday things lead to problems to which only very incomplete answers can be given. Philosophy, though unable to tell us with certainty what is the true answer to the doubts which it raises, is able to suggest many possibilities which enlarge our thoughts and free them from the tyranny of custom. Thus, while diminishing our feeling of certainty as to what things are, it greatly increases our knowledge as to what they may be; it removes the somewhat arrogant dogmatism

of those who have never travelled into the region of liberating doubt, and it keeps alive our sense of wonder by showing familiar things in an unfamiliar aspect.

Apart from its utility in showing unsuspected possibilities, philosophy has a value—perhaps its chief value—through the greatness of the objects which it contemplates, and the freedom from narrow and personal aims resulting from this contemplation. The life of the instinctive man is shut up within the circle of his private interests: family and friends may be included, but the outer world is not regarded except as it may help or hinder what comes within the circle of instinctive wishes. In such a life there is something feverish and confined, in comparison with which the philosophic life is calm and free. The private world of instinctive interests is a small one, set in the midst of a great and powerful world which must, sooner or later, lay our private world in ruins. Unless we can so enlarge our interests as to include the whole outer world, we remain like a garrison in a beleagured fortress, knowing that the enemy prevents escape and that ultimate surrender is inevitable. In such a life there is no peace, but a constant strife between the insistence of desire and the powerlessness of will. In one way or another, if our life is to be great and free, we must escape this prison and this strife. . . .

Thus, to sum up our discussion of the value of philosophy: Philosophy is to be studied, not for the sake of any definite answers to its questions, since no definite answers can, as a rule, be known to be true, but rather for the sake of the questions themselves; because these questions enlarge our conception of what is possible, enrich our intellectual imagination and diminish the dogmatic assurance which closes the mind against speculation; but above all because, through the greatness of the universe which philosophy contemplates, the mind also is rendered great, and becomes capable of that union with the universe which constitutes its highest good.

Study Questions

- 1. Do you agree with Russell that the goods of the mind are at least as important as the goods of the body?
- 2. According to Russell, who are wrongly called "practical men"?
- 3. What value does Russell find in uncertainty?
- 4. According to Russell, what is the mind's highest good?

Defence of Socrates

PLATO

Philosophers build on the work of their predecessors, and the intellectual links that form the chain of the history of Western philosophy extend back to ancient Greece, more than five centuries before the Christian era. While only tantalizing fragments remain from the writings of the earliest philosophers, three men made such enormous contributions to the development of the subject that their overwhelming impact is widely acknowledged: Socrates (c. 470–399 B.C.E.), Plato (c. 429–347 B.C.E.), and Aristotle (384–322 B.C.E.).

Their relationship is unusual. Socrates wrote nothing, but in conversation was able to befuddle the most powerful minds of his day. Plato, his devoted student, responded to Socratic teaching not, as one might suppose, by being intimidated but by becoming the greatest of philosophical writers, whose *Dialogues*, mostly featuring the character Socrates, form the foundation of all subsequent Western philosophy. Such a towering figure as Plato could have been expected to produce mere disciples, but, after studying with Plato for more than two decades, Aristotle developed his own comprehensive philosophical system, opposed in many respects to that of Plato, and so powerful in its own right that throughout history its impact has rivalled that of Plato. Surely Socrates and Plato were remarkable teachers as well as philosophers.

The *Defence of Socrates* (or *Apology* as it is sometimes titled) is an account of the trial of Socrates, who, after having been found guilty of impiety, was put to death by the Athenian democracy. Socrates' speech to the jury, as related by Plato, has come down through the ages as an eloquent defense of not only Socrates' life but also philosophy itself.

Are the words actually those Socrates spoke? Scholars disagree, but a plausible answer is provided by David Gallop, Professor Emeritus of Philosophy at Trent University in Ontario, Canada, the author of the translation from the Greek that we are using:

[I]t is inconceivable that any speaker could have improvised before a real court such an artfully structured, nuanced, and polished composition as Plato's *Defence of Socrates*.

From Defence of Socrates, Euthyphro, and Crito, translated by David Gallop. Copyright © 1997 by Oxford University Press. Reprinted by permission of the publisher and translator.

That is not to say that the work falsifies any biographical facts about Socrates, still less that its content is wholly invented. For we all know to the contrary, it may even in some places faithfully reproduce what Socrates said in court. But whatever blend of fact and fiction it contains, the speech as a whole is a philosophical memoir, intended to convey a sense of Socrates' mission and the supreme injustice of his conviction. It remains, above all, an exhortation to the practice of philosophy. No less than Plato's dramatic dialogues, it is designed to draw its readers into philosophical reflection, so that they may recover for themselves the truths to which the master had borne witness.

If that is the chief aim of the *Defence*, its fidelity to fact becomes a secondary issue.

To assist you in reading the *Defence*, Notes and an Index of Names prepared by the translator are provided at the end.

I don't know¹ how you, fellow Athenians, have been affected by my accusers, but for my part I felt myself almost transported by them, so persuasively did they speak. And yet hardly a word they have said is true. Among their many falsehoods, one especially astonished me: their warning that you must be careful not to be taken in by me, because I am a clever speaker. It seemed to me the height of impudence on their part not to be embarrassed at being refuted straight away by the facts, once it became apparent that I was not a clever speaker at all—unless indeed they call a "clever" speaker one who speaks the truth. If that is what they mean, then I would admit to being an orator, although not on a par with them.

As I said, then, my accusers have said little or nothing true; whereas from me you shall hear the whole truth, though not, I assure you, fellow Athenians, in language adorned with fine words and phrases or dressed up, as theirs was: you shall hear my points made spontaneously in whatever words occur to me—persuaded as I am that my case is just. None of you should expect anything to be put differently, because it would not, of course, be at all fitting at my age, gentlemen, to come before you with artificial speeches, such as might be composed by a young lad.

One thing, moreover, I would earnestly beg of you, fellow Athenians. If you hear me defending myself with the same arguments I normally use at the bankers' tables in the market-place (where many of you have heard me) and elsewhere, please do not be surprised or protest on that account. You see, here is the reason: this is the first time I have ever appeared before a court of law, although I am over 70; so I am literally a stranger to the diction of this place. And if I really were a foreigner, you would naturally excuse me, were I to speak in the dialect and style in which I had been brought up; so in the present case as well I ask you, in all fairness as I think, to disregard my manner of speaking—it may not be as good, or it may be better—but to consider and attend simply to the question whether or not my case is just; because that is the duty of a judge, as it is an orator's duty to speak the truth.

To begin with, fellow Athenians, it is fair that I should defend myself against the first set of charges falsely brought against me by my first accusers, and then turn to the later charges and the more recent ones. You see, I have been accused before you by many people for a long time now, for many years in fact, by people who spoke not a word of truth. It is those people I fear more than Anytus and his crowd, though they too are dangerous. But those others are more so, gentlemen: they have taken hold of most of you since childhood, and made persuasive accusations against me, yet without an ounce more truth in them. They say that there is one Socrates, a "wise man," who ponders what is above the earth and investigates everything beneath it, and turns the weaker argument into the stronger.²

Those accusers who have spread such rumour about me, fellow Athenians, are the dangerous ones, because their audience believes that people who inquire into those matters also fail to acknowledge the gods. Moreover, those accusers are numerous, and have been denouncing me for a long time now, and they also spoke to you at an age at which you would be most likely to believe them, when some of you were children or young lads; and their accusations simply went by default for lack of any defence. But the most absurd thing of all is that one cannot even get to know their names or say who they were—except perhaps one who happens to be a comic playwright.3 The ones who have persuaded you by malicious slander, and also some who persuade others because they have been persuaded themselves, are all very hard to deal with: one cannot put any of them on the stand here in court, or cross-examine anybody, but one must literally engage in a sort of shadow-boxing to defend oneself, and cross-examine without anyone to answer. You too, then, should allow, as I just said, that I have two sets of accusers: one set who have accused me recently, and the other of long standing to whom I was just referring. And please grant that I need to defend myself against the latter first, since you too heard them accusing me earlier, and you heard far more from them than from these recent critics here.

Very well, then. I must defend myself, fellow Athenians, and in so short a time⁴ must try to dispel the slander which you have had so long to absorb. That is the outcome I would wish for, should it be of any benefit to you and to me, and I should like to succeed in my defence—though I believe the task to be a difficult one, and am well aware of its nature. But let that turn out as God wills: I have to obey the law and present my defence.

Let us examine, from the beginning, the charge that has given rise to the slander against me—which was just what Meletus relied upon when he drew up this indictment. Very well

then, what were my slanderers actually saying when they slandered me? Let me read out their deposition, as if they were my legal accusers:

"Socrates is guilty of being a busybody, in that he inquires into what is beneath the earth and in the sky, turns the weaker argument into the stronger, and teaches others to do the same."

The charges would run something like that. Indeed, you can see them for yourselves, enacted in Aristophanes' comedy: in that play, a character called "Socrates" swings around, claims to be walking on air,⁵ and talks a lot of other nonsense on subjects of which I have no understanding, great or small.

Not that I mean to belittle knowledge of that sort, if anyone really is learned in such matters—no matter how many of Meletus' lawsuits I might have to defend myself against—but the fact is, fellow Athenians, those subjects are not my concern at all. I call most of you to witness yourselves, and I ask you to make that quite clear to one another, if you have ever heard me in discussion (as many of you have). Tell one another, then, whether any of you has ever heard me discussing such subjects, either briefly or at length; and as a result you will realize that the other things said about me by the public are equally baseless.

In any event, there is no truth in those charges. Moreover, if you have heard from anyone that I undertake to educate people and charge fees, there is no truth in that either—though for that matter I do think it also a fine thing if anyone is able to educate people, as Gorgias of Leontini, Prodicus of Ceos, and Hippias of Elis profess to. Each of them can visit any city, gentlemen, and persuade its young people, who may associate free of charge with any of their own citizens they wish, to leave those associations, and to join with them instead, paying fees and being grateful into the bargain.

On that topic, there is at present another expert here, a gentleman from Paros; I heard of his visit, because I happened to run into a man who has spent more money on sophists⁶ than everyone else put together—Callias, the son of

Hipponicus. So I questioned him, since he has two sons himself.

"Callias," I said, "if your two sons had been born as colts or calves, we could find and engage a tutor who could make them both excel superbly in the required qualities—and he'd be some sort of expert in horse-rearing or agriculture. But seeing that they are actually human, whom do you intend to engage as their tutor? Who has knowledge of the required human and civic qualities? I ask, because I assume you've given thought to the matter, having sons yourself. Is there such a person," I asked, "or not?"

"Certainly," he replied.

"Who is he?" I said; "Where does he come from, and what does he charge for tuition?"

"His name is Evenus, Socrates," he replied; "He comes from Paros, and he charges 5 minas."

I thought Evenus was to be congratulated, if he really did possess that skill and imparted it for such a modest charge. I, at any rate, would certainly be giving myself fine airs and graces if I possessed that knowledge. But the fact is, fellow Athenians, I do not.

Now perhaps one of you will interject: "Well then, Socrates, what is the difficulty in your case? What is the source of these slanders against you? If you are not engaged in something out of the ordinary, why ever has so much rumour and talk arisen about you? It would surely never have arisen, unless you were up to something different from most people. Tell us what it is, then, so that we don't jump to conclusions about you."

That speaker makes a fair point, I think; and so I will try to show you just what it is that has earned me my reputation and notoriety. Please hear me out. Some of you will perhaps think I am joking, but I assure you that I shall be telling you the whole truth.

You see, fellow Athenians, I have gained this reputation on account of nothing but a certain sort of wisdom. And what sort of wisdom is that? It is a human kind of wisdom, perhaps, since it might just be true that I have wisdom of that sort. Maybe the people I just mentioned

possess wisdom of a superhuman kind; otherwise I cannot explain it. For my part, I certainly do not possess that knowledge; and whoever says I do is lying and speaking with a view to slandering me—

Now please do not protest, fellow Athenians, even if I should sound to you rather boastful. I am not myself the source of the story I am about to tell you, but I shall refer you to a trustworthy authority. As evidence of my wisdom, if such it actually be, and of its nature, I shall call to witness before you the god at Delphi.⁸

You remember Chaerephon, of course. He was a friend of mine from youth, and also a comrade in your party, who shared your recent exile and restoration. You recall too what sort of man Chaerephon was, how impetuous he was in any undertaking. Well, on one occasion he actually went to the Delphic oracle, and had the audacity to put the following question to it—as I said, please do not make a disturbance, gentlemen—he went and asked if there was anyone wiser than myself; to which the Pythia responded that there was no one. His brother here will testify to the court about that story, since Chaerephon himself is deceased.

Now keep in mind why I have been telling you this: it is because I am going to explain to you the origin of the slander against me. When I heard the story, I thought to myself: "What ever is the god saying? What can his riddle mean? Since I am all too conscious of not being wise in any matter, great or small, what ever can he mean by pronouncing me to be the wisest? Surely he cannot be lying: for him that would be out of the question."

So for a long time I was perplexed about what he could possibly mean. But then, with great reluctance, I proceeded to investigate the matter somewhat as follows. I went to one of the people who had a reputation for wisdom, thinking there, if anywhere, to disprove the oracle's utterance and declare to it: "Here is someone wiser than I am, and yet you said that I was the wisest."

So I interviewed this person—I need not mention his name, but he was someone in public life; and when I examined him, my experience went something like this, fellow Athenians: in conversing with him, I formed the opinion that, although the man was thought to be wise by many other people, and especially by himself, yet in reality he was not. So I then tried to show him that he thought himself wise without being so. I thereby earned his dislike, and that of many people present; but still, as I went away, I thought to myself: "I am wiser than that fellow, anyhow. Because neither of us, I dare say, knows anything of great value; but he thinks he knows a thing when he doesn't; whereas I neither know it in fact, nor think that I do. At any rate, it appears that I am wiser than he in just this one small respect: if I do not know something, I do not think that I do."

Next, I went to someone else, among people thought to be even wiser than the previous man, and I came to the same conclusion again; and so I was disliked by that man too, as well as by many others.

Well, after that I went on to visit one person after another. I realized, with dismay and alarm, that I was making enemies; but even so, I thought it my duty to attach the highest importance to the god's business; and therefore, in seeking the oracle's meaning, I had to go on to examine all those with any reputation for knowledge. And upon my word,¹⁰ fellow Athenians—because I am obliged to speak the truth before the court—I truly did experience something like this: as I pursued the god's inquiry, I found those held in the highest esteem were practically the most defective, whereas men who were supposed to be their inferiors were much better off in respect of understanding.

Let me, then, outline my wanderings for you, the various "labours" I kept undertaking, ¹¹ only to find that the oracle proved completely irrefutable. After I had done with the politicians, I turned to the poets—including tragedians, dithyrambic poets, ¹² and the rest—thinking that in their company I would be shown up as more

ignorant than they were. So I picked up the poems over which I thought they had taken the most trouble, and questioned them about their meaning, so that I might also learn something from them in the process.

Now I'm embarrassed to tell you the truth, gentlemen, but it has to be said. Practically everyone else present could speak better than the poets themselves about their very own compositions. And so, once more, I soon realized this truth about them too: it was not from wisdom that they composed their works, but from a certain natural aptitude and inspiration, like that of seers and sooth-sayers—because those people too utter many fine words, yet know nothing of the matters on which they pronounce. It was obvious to me that the poets were in much the same situation; yet at the same time I realized that because of their compositions they thought themselves the wisest people in other matters as well, when they were not. So I left, believing that I was ahead of them in the same way as I was ahead of the politicians.

Then, finally, I went to the craftsmen, because I was conscious of knowing almost nothing myself, but felt sure that amongst them, at least, I would find much valuable knowledge. And in that expectation I was not disappointed: they did have knowledge in fields where I had none, and in that respect they were wiser than I. And yet, fellow Athenians, those able craftsmen seemed to me to suffer from the same failing as the poets: because of their excellence at their own trade, each claimed to be a great expert also on matters of the utmost importance; and this arrogance of theirs seemed to eclipse their wisdom. So I began to ask myself, on the oracle's behalf, whether I should prefer to be as I am, neither wise as they are wise, nor ignorant as they are ignorant, or to possess both their attributes; and in reply, I told myself and the oracle that I was better off as I was.

The effect of this questioning, fellow Athenians, was to earn me much hostility of a very vexing and trying sort, which has given rise to numerous slanders, including this reputation

I have for being "wise"—because those present on each occasion imagine me to be wise regarding the matters on which I examine others. But in fact, gentlemen, it would appear that it is only the god who is truly wise; and that he is saying to us, through this oracle, that human wisdom is worth little or nothing. It seems that when he says "Socrates," he makes use of my name, merely taking me as an example—as if to say, "The wisest amongst you, human beings, is anyone like Socrates who has recognized that with respect to wisdom he is truly worthless."

That is why, even to this day, I still go about seeking out and searching into anyone I believe to be wise, citizen or foreigner, in obedience to the god. Then, as soon as I find that someone is not wise, I assist the god by proving that he is not. Because of this occupation, I have had no time at all for any activity to speak of, either in public affairs or in my family life; indeed, because of my service to the god, I live in extreme poverty.

In addition, the young people who follow me around of their own accord, the ones who have plenty of leisure because their parents are wealthiest, enjoy listening to people being cross-examined. Often, too, they copy my example themselves, and so attempt to cross-examine others. And I imagine that they find a great abundance of people who suppose themselves to possess some knowledge, but really know little or nothing. Consequently, the people they question are angry with me, though not with themselves, and say that there is a nasty pestilence abroad called "Socrates," who is corrupting the young.

Then, when asked just what he is doing or teaching, they have nothing to say, because they have no idea what he does; yet, rather than seem at a loss, they resort to the stock charges against all who pursue intellectual inquiry, trotting out "things in the sky and beneath the earth," "failing to acknowledge the gods," and "turning the weaker argument into the stronger." They would, I imagine, be loath to admit the truth, which is that their pretensions to knowledge have been exposed, and they are totally ignorant. So because these people have reputations to

protect, I suppose, and are also both passionate and numerous, and have been speaking about me in a vigorous and persuasive style, they have long been filling your ears with vicious slander. It is on the strength of all this that Meletus, along with Anytus and Lycon, has proceeded against me: Meletus is aggrieved for the poets, Anytus for the craftsmen and politicians, and Lycon for the orators. And so, as I began by saying, I should be surprised if I could rid your minds of this slander in so short a time, when so much of it has accumulated.

There is the truth for you, fellow Athenians. I have spoken it without concealing anything from you, major or minor, and without glossing over anything. And yet I am virtually certain that it is my very candour that makes enemies for me—which goes to show that I am right: the slander against me is to that effect, and such is its explanation. And whether you look for one now or later, that is what you will find.

So much for my defence before you against the charges brought by my first group of accusers. Next, I shall try to defend myself against Meletus, good patriot that he claims to be, and against my more recent critics. So once again, as if they were a fresh set of accusers, let me in turn review their deposition. It runs something like this:

"Socrates is guilty of corrupting the young, and of failing to acknowledge the gods acknowledged by the city, but introducing new spiritual beings instead."

Such is the charge: let us examine each item within it.

Meletus says, then, that I am guilty of corrupting the young. Well I reply, fellow Athenians, that Meletus is guilty of trifling in a serious matter, in that he brings people to trial on frivolous grounds, and professes grave concern about matters for which he has never cared at all. I shall now try to prove to you too that that is so.

Step forward, Meletus, and answer me. It is your chief concern, is it not, that our younger people shall be as good as possible?

—It is.

Very well, will you please tell the judges who influences them for the better—because you must obviously know, seeing that you care? Having discovered me, as you allege, to be the one who is corrupting them, you bring me before the judges here and accuse me. So speak up, and tell the court who has an improving influence.

You see, Meletus, you remain silent, and have no answer. Yet doesn't that strike you as shameful, and as proof in itself of exactly what I say—that you have never cared about these matters at all? Come then, good fellow, tell us who influences them for the better.

—The laws.

Yes, but that is not what I'm asking, excellent fellow. I mean, which *person*, who already knows the laws to begin with?

—These gentlemen, the judges, Socrates.

What are you saying, Meletus? Can these people educate the young, and do they have an improving influence?

-Most certainly.

All of them, or some but not others?

—All of them.

My goodness, what welcome news, and what a generous supply of benefactors you speak of! And how about the audience here in court? Do they too have an improving influence, or not?

—Yes, they do too.

And how about members of the Council?¹³

—Yes, the Councillors too.

But in that case, how about people in the Assembly, its individual members, Meletus? They won't be corrupting their youngers, will they? Won't they all be good influences as well?

-Yes, they will too.

So every person in Athens, it would appear, has an excellent influence on them except for me, whereas I alone am corrupting them. Is that what you're saying?

—That is emphatically what I'm saying.

Then I find myself, if we are to believe you, in a most awkward predicament. Now answer me this. Do you think the same is true of horses? Is it everybody who improves them, while a single person spoils them? Or isn't the opposite true: a single person, or at least very few people, namely the horse-trainers, can improve them; while lay people spoil them, don't they, if they have to do with horses and make use of them? Isn't that true of horses as of all other animals, Meletus? Of course it is, whether you and Anytus deny it or not. In fact, I dare say our young people are extremely lucky if only one person is corrupting them, while everyone else is doing them good.

All right, Meletus. Enough has been said to prove that you never were concerned about the young. You betray your irresponsibility plainly, because you have not cared at all about the charges on which you bring me before this court.

Furthermore, Meletus, tell us, in God's name, whether it is better to live among good fellow citizens or bad ones. Come sir, answer: I am not asking a hard question. Bad people have a harmful impact upon their closest companions at any given time, don't they, whereas good people have a good one?

—Yes.

Well, is there anyone who wants to be harmed by his companions rather than benefited?—Be a good fellow and keep on answering, as the law requires you to. Is there anyone who wants to be harmed?

—Of course not.

Now tell me this. In bringing me here, do you claim that I am corrupting and depraying the young intentionally or unintentionally?

—Intentionally, so I maintain.

Really, Meletus? Are you so much smarter at your age than I at mine as to realize that the bad have a harmful impact upon their closest companions at any given time, whereas the good have a beneficial effect? Am I, by contrast, so far gone in my stupidity as not to realize that if I make one of my companions vicious, I risk incurring harm at his hands? And am I, therefore, as you allege, doing so much damage intentionally?

That I cannot accept from you, Meletus, and neither could anyone else, I imagine. Either I am not corrupting them—or if I am, I am doing so unintentionally;¹⁴ so either way your charge is false. But if I am corrupting them unintentionally,

the law does not require me to be brought to court for such mistakes, but rather to be taken aside for private instruction and admonition—since I shall obviously stop doing unintentional damage, if I learn better. But you avoided association with me and were unwilling to instruct me. Instead you bring me to court, where the law requires you to bring people who need punishment rather than enlightenment.

Very well, fellow Athenians. That part of my case is now proven: Meletus never cared about these matters, either a lot or a little. Nevertheless, Meletus, please tell us in what way you claim that I am corrupting our younger people. That is quite obvious, isn't it, from the indictment you drew up? It is by teaching them not to acknowledge the gods acknowledged by the city, but to accept new spiritual beings instead? You mean, don't you, that I am corrupting them by teaching them that?

—I most emphatically do.

Then, Meletus, in the name of those very gods we are now discussing, please clarify the matter further for me, and for the jury here. You see, I cannot make out what you mean. Is it that I am teaching people to acknowledge that some gods exist—in which case it follows that I do acknowledge their existence myself as well, and am not a complete atheist, hence am not guilty on that count—and yet that those gods are not the ones acknowledged by the city, but different ones? Is that your charge against me—namely, that they are different? Or are you saying that I acknowledge no gods at all myself, and teach the same to others?

—I am saying the latter: you acknowledge no gods at all.

What ever makes you say that, Meletus, you strange fellow? Do I not even acknowledge, then, with the rest of mankind, that the sun and the moon are gods?¹⁵

—By God, he does not, members of the jury, since he claims that the sun is made of rock, and the moon of earth!

My dear Meletus, do you imagine that it is Anaxagoras you are accusing?¹⁶ Do you have such contempt for the jury, and imagine them so illiterate as not to know that books by

Anaxagoras of Clazomenae are crammed with such assertions? What's more, are the young learning those things from me when they can acquire them at the bookstalls, now and then, for a drachma at most, and so ridicule Socrates if he claims those ideas for his own, especially when they are so bizarre? In God's name, do you really think me as crazy as that? Do I acknowledge the existence of no god at all?

—By God no, none whatever.

I can't believe you, Meletus—nor, I think, can you believe yourself. To my mind, fellow Athenians, this fellow is an impudent scoundrel who has framed this indictment out of sheer wanton impudence and insolence. He seems to have devised a sort of riddle in order to try me out: "Will Socrates the Wise tumble to my nice self-contradiction?¹⁷ Or shall I fool him along with my other listeners?" You see, he seems to me to be contradicting himself in the indictment. It's as if he were saying: "Socrates is guilty of not acknowledging gods, but of acknowledging gods"; and yet that is sheer tomfoolery.

I ask you to examine with me, gentlemen, just how that appears to be his meaning. Answer for us, Meletus; and the rest of you, please remember my initial request not to protest if I conduct the argument in my usual manner.

Is there anyone in the world, Meletus, who acknowledges that human phenomena exist, yet does not acknowledge human beings?—Require him to answer, gentlemen, and not to raise all kinds of confused objections. Is there anyone who does not acknowledge horses, yet does acknowledge equestrian phenomena? Or who does not acknowledge that musicians exist, yet does acknowledge musical phenomena?

There is no one, excellent fellow: if you don't wish to answer, I must answer for you, and for the jurors here. But at least answer my next question yourself. Is there anyone who acknowledges that spiritual phenomena exist, yet does not acknowledge spirits?

-No.

How good of you to answer—albeit reluctantly and under compulsion from the jury. Well

now, you say that I acknowledge spiritual beings and teach others to do so. Whether they actually be new or old is no matter: I do at any rate, by your account, acknowledge spiritual beings, which you have also mentioned in your sworn deposition. But if I acknowledge spiritual beings, then surely it follows quite inevitably that I must acknowledge spirits. Is that not so?—Yes, it is so: I assume your agreement, since you don't answer. But we regard spirits, don't we, as either gods or children of gods? Yes or no?

—Yes.

Then given that I do believe in spirits, as you say, if spirits are gods of some sort, this is precisely what I claim when I say that you are presenting us with a riddle and making fun of us: you are saying that I do not believe in gods, and yet again that I do believe in gods, seeing that I believe in spirits.

On the other hand, if spirits are children of gods, 18 some sort of bastard offspring from nymphs—or from whomever they are traditionally said, in each case, to be born—then who in the world could ever believe that there were children of gods, yet no gods? That would be just as absurd as accepting the existence of children of horses and asses—namely, mules—yet rejecting the existence of horses or asses!

In short, Meletus, you can only have drafted this either by way of trying us out, or because you were at a loss how to charge me with a genuine offense. How could you possibly persuade anyone with even the slightest intelligence that someone who accepts spiritual beings does not also accept divine ones, and again that the same person also accepts neither spirits nor gods nor heroes? There is no conceivable way.

But enough, fellow Athenians. It needs no long defence, I think, to show that I am not guilty of the charges in Meletus' indictment; the foregoing will suffice. You may be sure, though, that what I was saying earlier is true: I have earned great hostility among many people. And that is what will convict me, if I am convicted: not Meletus or Anytus, but the slander and malice of the crowd. They have certainly convicted many other good

men as well, and I imagine they will do so again; there is no risk of their stopping with me.

Now someone may perhaps say: "Well then, are you not ashamed, Socrates, to have pursued a way of life which has now put you at risk of death?"

But it may be fair for me to answer him as follows: "You are sadly mistaken, fellow, if you suppose that a man with even a grain of self-respect should reckon up the risks of living or dying, rather than simply consider, whenever he does something, whether his actions are just or unjust, the deeds of a good man or a bad one." By your principles, presumably, all those demigods who died in the plain of Troy¹⁹ were inferior creatures—yes, even the son of Thetis,²⁰ who showed so much scorn for danger, when the alternative was to endure dishonour. Thus, when he was eager to slay Hector, his mother, goddess that she was, spoke to him—something like this, I fancy:

My child, if thou dost avenge the murder of thy friend, Patroclus,
And dost slay Hector, then straightway [so runs the poem]
Shalt thou die thyself, since doom is prepared for thee
Next after Hector's.

But though he heard that, he made light of death and danger, since he feared far more to live as a base man, and to fail to avenge his dear ones. The poem goes on:

Then straightway let me die, once I have given the wrongdoer
His deserts, lest I remain here by the beak-prowed ships,
An object of derision, and a burden upon the earth.

Can you suppose that he gave any thought to death or danger?

You see, here is the truth of the matter, fellow Athenians. Wherever a man has taken up a position because he considers it best, or has been posted there by his commander, that is where I believe he should remain, steadfast in danger, taking no account at all of death or of anything else rather than dishonour. I would therefore have been acting absurdly, fellow Athenians, if when assigned to a post at Potidaea, Amphipolis, or Delium²¹ by the superiors you had elected to command me, I remained where I was posted on those occasions at the risk of death, if ever any man did; whereas now that the god assigns me, as I became completely convinced, to the duty of leading the philosophical life by examining myself and others, I desert that post from fear of death or anything else. Yes, that would be unthinkable; and then I truly should deserve to be brought to court for failing to acknowledge the gods' existence, in that I was disobedient to the oracle, was afraid of death, and thought I was wise when I was not.

After all, gentlemen, the fear of death amounts simply to thinking one is wise when one is not: it is thinking one knows something one does not know. No one knows, you see, whether death may not in fact prove the greatest of all blessings for mankind; but people fear it as if they knew it for certain to be the greatest of evils. And yet to think that one knows what one does not know must surely be the kind of folly which is reprehensible.

On this matter especially, gentlemen, that may be the nature of my own advantage over most people. If I really were to claim to be wiser than anyone in any respect, it would consist simply in this: just as I do not possess adequate knowledge of life in Hades, so I also realize that I do not possess it; whereas acting unjustly in disobedience to one's betters, whether god or human being, is something I *know* to be evil and shameful. Hence I shall never fear or flee from something which may indeed be a good for all I know, rather than from things I know to be evils.

Suppose, therefore, that you pay no heed to Anytus, but are prepared to let me go. He said I need never have been brought to court in the first place; but that once I had been, your only option was to put me to death. He declared before you that, if I got away from you this time,

your sons would all be utterly corrupted by practising Socrates' teachings. Suppose, in the face of that, you were to say to me:

"Socrates, we will not listen to Anytus this time. We are prepared to let you go—but only on this condition: you are to pursue that quest of yours and practise philosophy no longer; and if you are caught doing it any more, you shall be put to death."

Well, as I just said, if you were prepared to let me go on those terms, I should reply to you as follows:

"I have the greatest fondness and affection for you, fellow Athenians, but I will obey my god rather than you; and so long as I draw breath and am able, I shall never give up practising philosophy, or exhorting and showing the way to any of you whom I ever encounter, by giving my usual sort of message. 'Excellent friend,' I shall say; 'You are an Athenian. Your city is the most important and renowned for its wisdom and power; so are you not ashamed that, while you take care to acquire as much wealth as possible, with honour and glory as well, yet you take no care or thought for understanding or truth, or for the best possible state of your soul?'

"And should any of you dispute that, and claim that he does take such care, I will not let him go straight away nor leave him, but I will question and examine and put him to the test; and if I do not think he has acquired goodness, though he says he has, I shall say, 'Shame on you, for setting the lowest value upon the most precious things, and for rating inferior ones more highly!' That I shall do for anyone I encounter, young or old, alien or fellow citizen; but all the more for the latter, since your kinship with me is closer."

Those are my orders from my god, I do assure you. Indeed, I believe that no greater good has ever befallen you in our city than my service to my god; because all I do is to go about persuading you, young and old alike, not to care for your bodies or for your wealth so intensely as for the greatest possible well-being of your souls.

"It is not wealth," I tell you, "that produces goodness; rather, it is from goodness that wealth, and all other benefits for human beings, accrue to them in their private and public life."

If, in fact, I am corrupting the young by those assertions, you may call them harmful. But if anyone claims that I say anything different, he is talking nonsense. In the face of that I should like to say: "Fellow Athenians, you may listen to Anytus or not, as you please; and you may let me go or not, as you please, because there is no chance of my acting otherwise, even if I have to die many times over—"

Stop protesting, fellow Athenians! Please abide by my request that you not protest against what I say, but hear me out; in fact, it will be in your interest, so I believe, to do so. You see, I am going to say some further things to you which may make you shout out—although I beg you not to.

You may be assured that if you put to death the sort of man I just said I was, you will not harm me more than you harm yourselves. Meletus or Anytus would not harm me at all; nor, in fact, could they do so, since I believe it is out of the question for a better man to be harmed by his inferior. The latter may, of course, inflict death or banishment or disenfranchisement; and my accuser here, along with others no doubt, believes those to be great evils. But I do not. Rather, I believe it a far greater evil to try to kill a man unjustly, as he does now.

At this point, therefore, fellow Athenians, so far from pleading on my own behalf, as might be supposed, I am pleading on yours, in case by condemning me you should mistreat the gift which God has bestowed upon you—because if you put me to death, you will not easily find another like me. The fact is, if I may put the point in a somewhat comical way, that I have been literally attached by God to our city, as if to a horse—a large thoroughbred, which is a bit sluggish because of its size, and needs to be aroused by some sort of gadfly. Yes, in me, I believe, God has attached to our city just such a creature—the kind which is constantly alighting

everywhere on you, all day long, arousing, cajoling, or reproaching each and every one of you. You will not easily acquire another such gadfly, gentlemen; rather, if you take my advice, you will spare my life. I dare say, though, that you will get angry, like people who are awakened from their doze. Perhaps you will heed Anytus, and give me a swat: you could happily finish me off, and then spend the rest of your life asleep—unless God, in his compassion for you, were to send you someone else.

That I am, in fact, just the sort of gift that God would send to our city, you may recognize from this: it would not seem to be in human nature for me to have neglected all my own affairs, and put up with the neglect of my family for all these years, but constantly minded your interests, by visiting each of you in private like a father or an elder brother, urging you to be concerned about goodness. Of course, if I were gaining anything from that, or were being paid to urge that course upon you, my actions could be explained. But in fact you can see for yourselves that my accusers, who so shamelessly level all those other charges against me, could not muster the impudence to call evidence that I ever once obtained payment, or asked for any. It is I who can call evidence sufficient, I think, to show that I am speaking the truth—namely, my poverty.

Now it may perhaps seem peculiar that, as some say, I give this counsel by going around and dealing with others' concerns in private, yet do not venture to appear before the Assembly, and counsel the city about your business in public. But the reason for that is one you have frequently heard me give in many places: it is a certain divine or spiritual sign²² which comes to me, the very thing to which Meletus made mocking allusion in his indictment. It has been happening to me ever since childhood: a voice of some sort which comes, and which always—whenever it does come—restrains me from what I am about to do, yet never gives positive direction. That is what opposes my engaging in politics—and its opposition is an excellent thing, to my mind; because

you may be quite sure, fellow Athenians, that if I had tried to engage in politics, I should have perished long since, and should have been of no use either to you or to myself.

And please do not get angry if I tell you the truth. The fact is that there is no person on earth whose life will be spared by you or by any other majority, if he is genuinely opposed to many injustices and unlawful acts, and tries to prevent their occurrence in our city. Rather, anyone who truly fights for what is just, if he is going to survive for even a short time, must act in a private capacity rather than a public one.

I will offer you conclusive evidence of that—not just words, but the sort of evidence that you respect, namely, actions. Just hear me tell my experiences, so that you may know that I would not submit to a single person for fear of death, contrary to what is just; nor would I do so, even if I were to lose my life on the spot. I shall mention things to you which are vulgar commonplaces of the courts; yet they are true.

Although I have never held any other public office in our city, fellow Athenians, I have served on its Council. My own tribe, Antiochis, happened to be the presiding commission²³ on the occasion when you wanted a collective trial for the ten generals who had failed to rescue the survivors from the naval battle.24 That was illegal, as you all later recognized. At the time I was the only commissioner opposed to your acting illegally, and I voted against the motion. And though its advocates were prepared to lay information against me and have me arrested, while you were urging them on by shouting, I believed that I should face danger in siding with law and justice, rather than take your side for fear of imprisonment or death, when your proposals were contrary to justice.

Those events took place while our city was still under democratic rule. But on a subsequent occasion, after the oligarchy had come to power, the Thirty summoned me and four others to the round chamber, ²⁵ with orders to arrest Leon the Salaminian, and fetch him from Salamis²⁶ for execution; they were constantly issuing such

orders, of course, to many others, in their wish to implicate as many as possible in their crimes. On that occasion, however, I showed, once again not just by words, but by my actions, that I couldn't care less about death—if that would not be putting it rather crudely—but that my one and only care was to avoid doing anything sinful or unjust. Thus, powerful as it was, that regime did not frighten me into unjust action: when we emerged from the round chamber, the other four went off to Salamis and arrested Leon, whereas I left them and went off home. For that I might easily have been put to death, had the regime not collapsed shortly afterwards. There are many witnesses who will testify before you about those events.

Do you imagine, then, that I would have survived all these years if I had been regularly active in public life, and had championed what was right in a manner worthy of a brave man, and valued that above all else, as was my duty? Far from it, fellow Athenians: I would not, and nor would any other man. But in any public undertaking, that is the sort of person that I, for my part, shall prove to have been throughout my life; and likewise in my private life, because I have never been guilty of unjust association with anyone, including those whom my slanderers allege to have been my students.²⁷

I never, in fact, was anyone's instructor²⁸ at any time. But if a person wanted to hear me talking, while I was engaging in my own business, I never grudged that to anyone, young or old; nor do I hold conversation only when I receive payment, and not otherwise. Rather, I offer myself for questioning to wealthy and poor alike, and to anyone who may wish to answer in response to questions from me. Whether any of those people acquires a good character or not, I cannot fairly be held responsible, when I never at any time promised any of them that they would learn anything from me, nor gave them instruction. And if anyone claims that he ever learnt anything from me, or has heard privately something that everyone else did not hear as well, you may be sure that what he says is untrue.

Why then, you may ask, do some people enjoy spending so much time in my company? You have already heard, fellow Athenians: I have told you the whole truth—which is that my listeners enjoy the examination of those who think themselves wise but are not, since the process is not unamusing. But for me, I must tell you, it is a mission which I have been bidden to undertake by the god, through oracles and dreams,²⁹ and through every means whereby a divine injunction to perform any task has ever been laid upon a human being.

That is not only true, fellow Athenians, but is easily verified—because if I do corrupt any of our young people, or have corrupted others in the past, then presumably, when they grew older, should any of them have realized that I had at any time given them bad advice in their youth, they ought now to have appeared here themselves to accuse me and obtain redress. Or else, if they were unwilling to come in person, members of their families—fathers, brothers, or other relations—had their relatives suffered any harm at my hands, ought now to put it on record and obtain redress.

In any case, many of those people are present, whom I can see: first there is Crito, my contemporary and fellow demesman, father of Critobulus here; then Lysanias of Sphettus, father of Aeschines here; next, Epigenes' father, Antiphon from Cephisia, is present; then again, there are others here whose brothers have spent time with me in these studies: Nicostratus, son of Theozotides, brother of Theodotus-Theodotus himself, incidentally, is deceased, so Nicostratus could not have come at his brother's urging; and Paralius here, son of Demodocus, whose brother was Theages; also present is Ariston's son, Adimantus, whose brother is Plato here; and Aeantodorus, whose brother is Apollodorus here.

There are many others I could mention to you, from whom Meletus should surely have called some testimony during his own speech. However, if he forgot to do so then, let him call it now—I yield the floor to him—and if he has

any such evidence, let him produce it. But quite the opposite is true, gentlemen: you will find that they are all prepared to support me, their corruptor, the one who is, according to Meletus and Anytus, doing their relatives mischief. Support for me from the actual victims of corruption might perhaps be explained; but what of the uncorrupted—older men by now, and relatives of my victims? What reason would they have to support me, apart from the right and proper one, which is that they know very well that Meletus is lying, whereas I am telling the truth?

There it is, then, gentlemen. That, and perhaps more of the same, is about all I have to say in my defence. But perhaps, among your number, there may be someone who will harbour resentment when he recalls a case of his own: he may have faced a less serious trial than this one, yet begged and implored the jury, weeping copiously, and producing his children here, along with many other relatives and loved ones, to gain as much sympathy as possible. By contrast, I shall do none of those things, even though I am running what might be considered the ultimate risk. Perhaps someone with those thoughts will harden his heart against me; and enraged by those same thoughts, he may cast his vote against me in anger. Well, if any of you are so inclined—not that I expect it of you, but if anyone should be-I think it fair to answer him as follows:

"I naturally do have relatives, my excellent friend, because—in Homer's own words—I too was 'not born of oak nor of rock,' but of human parents; and so I do have relatives—including my sons,³⁰ fellow Athenians. There are three of them: one is now a youth, while two are still children. Nevertheless, I shall not produce any of them here, and then entreat you to vote for my acquittal."

And why, you may ask, will I do no such thing? Not out of contempt or disrespect for you, fellow Athenians—whether or not I am facing death boldly is a different issue. The point is that with our reputations in mind—yours and our whole city's, as well as my own—I believe that any such

behaviour would be ignominious, at my age and with the reputation I possess; that reputation may or may not, in fact, be deserved, but at least it is believed that Socrates stands out in some way from the run of human beings. Well, if those of you who are believed to be preeminent in wisdom, courage, or any other form of goodness, are going to behave like that, it would be demeaning.

I have frequently seen such men when they face judgment: they have significant reputations, yet they put on astonishing performances, apparently in the belief that by dying they will suffer something unheard of—as if they would be immune from death, so long as you did not kill them! They seem to me to put our city to shame: they could give any foreigner the impression that men preeminent among Athenians in goodness, whom they select from their own number to govern and hold other positions, are no better than women.³¹ I say this, fellow Athenians, because none of us who has even the slightest reputation should behave like that; nor should you put up with us if we try to do so. Rather, you should make one thing clear: you will be far more inclined to convict one who stages those pathetic charades and makes our city an object of derision, than one who keeps his composure.

But leaving reputation aside, gentlemen, I do not think it right to entreat the jury, nor to win acquittal in that way, instead of by informing and persuading them. A juror does not sit to dispense justice as a favour, but to determine where it lies. And he has sworn, not that he will favour whomever he pleases, but that he will try the case according to law. We should not, then, accustom you to transgress your oath, nor should you become accustomed to doing so: neither of us would be showing respect towards the gods. And therefore, fellow Athenians, do not require behaviour from me towards you which I consider neither proper nor right nor pious—more especially now, for God's sake, when I stand charged by Meletus here with impiety: because if I tried to persuade and coerce you with entreaties in spite of your oath, I clearly would be teaching you not to believe in gods; and I would

stand literally self-convicted, by my defence, of failing to acknowledge them. But that is far from the truth: I do acknowledge them, fellow Athenians, as none of my accusers do; and I trust to you, and to God, to judge my case as shall be best for me and for yourselves.

For many reasons, fellow Athenians, I am not dismayed by this outcome³²—your convicting me, I mean—and especially because the outcome has come as no surprise to me. I wonder far more at the number of votes cast on each side, because I did not think the margin would be so narrow. Yet it seems, in fact, that if a mere thirty votes had gone the other way, I should have been acquitted.³³ Or rather, even as things stand, I consider that I have been cleared of Meletus' charges. Not only that, but one thing is obvious to everyone: if Anytus had not come forward with Lycon to accuse me, Meletus would have forfeited 1,000 drachmas, since he would not have gained one-fifth of the votes cast.

But anyhow, this gentleman demands the death penalty for me. Very well, then: what alternative penalty³⁴ shall I suggest to you, fellow Athenians? Clearly, it must be one I deserve. So what do I deserve to incur or to pay, for having taken it into my head not to lead an inactive life? Instead, I have neglected the things that concern most people—making money, managing an estate, gaining military or civic honours, or other positions of power, or joining political clubs and parties which have formed in our city. I thought myself, in truth, too honest to survive if I engaged in those things. I did not pursue a course, therefore, in which I would be of no use to you or to myself. Instead, by going to each individual privately, I tried to render a service for you which is—so I maintain—the highest service of all. Therefore that was the course I followed: I tried to persuade each of you not to care for any of his possessions rather than care for himself, striving for the utmost excellence and understanding; and not to care for our city's possessions rather than for the city itself; and to care about other things in the same way.

So what treatment do I deserve for being such a benefactor? If I am to make a proposal truly in keeping with my deserts, fellow Athenians, it should be some benefit; and moreover, the sort of benefit that would be fitting for me. Well then, what is fitting for a poor man who is a benefactor, and who needs time free for exhorting you? Nothing could be more fitting, fellow Athenians, than to give such a man regular free meals in the Prytaneum;35 indeed, that is far more fitting for him than for any of you who may have won an Olympic race with a pair or a team of horses: that victory brings you only the appearance of success, whereas I bring you the reality; besides, he is not in want of sustenance, whereas I am. So if, as justice demands, I am to make a proposal in keeping with my deserts, that is what I suggest: free meals in the Prytaneum.

Now, in proposing this, I may seem to you, as when I talked about appeals for sympathy, to be speaking from sheer effrontery. But actually I have no such motive, fellow Athenians. My point is rather this: I am convinced that I do not treat any human being unjustly, at least intentionally—but I cannot make you share that conviction, because we have conversed together so briefly. I say this, because if it were the law here, as in other jurisdictions, that a capital case must not be tried in a single day, but over several, ³⁶ I think you could have been convinced; but as things stand, it is not easy to clear oneself of such grave allegations in a short time.

Since, therefore, I am persuaded, for my part, that I have treated no one unjustly, I have no intention whatever of so treating myself, nor of denouncing myself as deserving ill, or proposing any such treatment for myself. Why should I do that? For fear of the penalty Meletus demands for me, when I say that I don't know if that is a good thing or a bad one? In preference to that, am I then to choose one of the things I know very well to be bad, and demand that instead? Imprisonment, for instance? Why should I live in prison, in servitude to the annually appointed prison commissioners? Well then, a fine, with imprisonment until I pay? That would amount

to what I just mentioned, since I haven't the means to pay it.

Well then, should I propose banishment? Perhaps that is what you would propose for me. Yet I must surely be obsessed with survival, fellow Athenians, if I am so illogical as that. You, my fellow citizens, were unable to put up with my discourses and arguments, but they were so irksome and odious to you that you now seek to be rid of them. Could I not draw the inference, in that case, that others will hardly take kindly to them? Far from it, fellow Athenians. A fine life it would be for a person of my age to go into exile, and spend his days continually exchanging one city for another, and being repeatedly expelled—because I know very well that wherever I go, the young will come to hear me speaking, as they do here. And if I repel them, they will expel me themselves, by persuading their elders; while if I do not repel them, their fathers and relatives will expel me on their account.

Now, perhaps someone may say: "Socrates, could you not be so kind as to keep quiet and remain inactive, while living in exile?" This is the hardest point of all of which to convince some of you. Why? Because, if I tell you that that would mean disobeying my god, and that is why I cannot remain inactive, you will disbelieve me and think that I am practising a sly evasion. Again, if I said that it really is the greatest benefit for a person to converse every day about goodness, and about the other subjects you have heard me discussing when examining myself and others—and that an unexamined life is no life for a human being to live—then you would believe me still less when I made those assertions. But the facts, gentlemen, are just as I claim them to be, though it is not easy to convince you of them. At the same time, I am not accustomed to think of myself as deserving anything bad. If I had money, I would have proposed a fine of as much as I could afford: that would have done me no harm at all. But the fact is that I have none—unless you wish to fix the penalty at a sum I could pay. I could afford to

pay you 1 mina, I suppose, so I suggest a fine of that amount—

One moment, fellow Athenians. Plato here, along with Crito, Critobulus, and Apollodorus, is urging me to propose 30 minas,³⁷ and they are saying they will stand surety for that sum. So I propose a fine of that amount, and these people shall be your sufficient guarantors of its payment.

For the sake of a slight gain in time, fellow Athenians, you will incur infamy and blame from those who would denigrate our city, for putting Socrates to death³⁸—a "wise man"—because those who wish to malign you will say I am wise, even if I am not; in any case, had you waited only a short time, you would have obtained that outcome automatically. You can see, of course, that I am now well advanced in life, and death is not far off. I address that not to all of you, but to those who condemned me to death; and to those same people I would add something further.

Perhaps you imagine, gentlemen, that I have been convicted for lack of arguments of the sort I could have used to convince you, had I believed that I should do or say anything to gain acquittal. But that is far from true. I have been convicted, not for lack of arguments, but for lack of brazen impudence and willingness to address you in such terms as you would most like to be addressed in—that is to say, by weeping and wailing, and doing and saying much else that I claim to be unworthy of me—the sorts of thing that you are so used to hearing from others. But just as I did not think during my defence that I should do anything unworthy of a free man because I was in danger, so now I have no regrets about defending myself as I did; I should far rather present such a defence and die, than live by defending myself in that other fashion.

In court, as in warfare, neither I nor anyone else should contrive to escape death at any cost. On the battlefield too, it often becomes obvious that one could avoid death by throwing down one's arms and flinging oneself upon the mercy of one's pursuers. And in every sort of danger there are many other means of escaping death, if

one is shameless enough to do or to say anything. I suggest that it is not death that is hard to avoid, gentlemen, but wickedness is far harder, since it is fleeter of foot than death. Thus, slow and elderly as I am, I have now been overtaken by the slower runner; while my accusers, adroit and quickwitted as they are, have been overtaken by the faster, which is wickedness. And so I take my leave, condemned to death by your judgment, whereas they stand for ever condemned to depravity and injustice as judged by Truth. And just as I accept my penalty, so must they. Things were bound to turn out this way, I suppose, and I imagine it is for the best.

In the next place, to those of you who voted against me, I wish to utter a prophecy. Indeed, I have now reached a point at which people are most given to prophesying—that is, when they are on the point of death. I warn you, my executioners, that as soon as I am dead retribution will come upon you—far more severe, I swear, than the sentence you have passed upon me. You have tried to kill me for now, in the belief that you will be relieved from giving an account of your lives. But in fact, I can tell you, you will face just the opposite outcome. There will be more critics to call you to account, people whom I have restrained for the time being though you were unaware of my doing so. They will be all the harder on you since they are younger, and you will rue it all the more—because if you imagine that by putting people to death you will prevent anyone from reviling you for not living rightly, you are badly mistaken. That way of escape is neither feasible nor honourable. Rather, the most honourable and easiest way is not the silencing of others, but striving to make oneself as good a person as possible. So with that prophecy to those of you who voted against me, I take my leave.

As for those who voted for my acquittal, I should like to discuss the outcome of this case while the officials are occupied, and I am not yet on the way to the place where I must die. Please bear with me, gentlemen, just for this short time: there is no reason why we should not have a word with one another while that is still permitted.

Since I regard you as my friends, I am willing to show you the significance of what has just befallen me. You see, gentlemen of the jury-and in applying that term to you, I probably use it correctly—something wonderful has just happened to me. Hitherto, the usual prophetic voice from my spiritual sign was continually active, and frequently opposed me even on trivial matters, if I was about to do anything amiss. But now something has befallen me, as you can see for yourselves, which one certainly might consider—and is generally held—to be the very worst of evils. Yet the sign from God did not oppose me, either when I left home this morning, or when I appeared here in court, or at any point when I was about to say anything during my speech; and yet in other discussions it has very often stopped me in mid-sentence. This time, though, it has not opposed me at any moment in anything I said or did in this whole business.

Now, what do I take to be the explanation for that? I will tell you: I suspect that what has befallen me is a blessing, and that those of us who suppose death to be an evil cannot be making a correct assumption. I have gained every ground for that suspicion, because my usual sign could not have failed to oppose me, unless I were going to incur some good result.

And let us also reflect upon how good a reason there is to hope that death is a good thing. It is, you see, one or other of two things: either to be dead is to be nonexistent, as it were, and a dead person has no awareness whatever of anything at all; or else, as we are told, the soul undergoes some sort of transformation, or exchanging of this present world for another. Now if there is, in fact, no awareness in death, but it is like sleep—the kind in which the sleeper does not even dream at all—then death would be a marvellous gain. Why, imagine that someone had to pick the night in which he slept so soundly that he did not even dream, and to compare all the other nights and days of his life with that one; suppose he had to say, upon consideration, how many days or nights in his life he had spent better and more agreeably than

that night; in that case, I think he would find them easy to count compared with his other days and nights—even if he were the Great King of Persia,³⁹ let alone an ordinary person. Well, if death is like that, then for my part I call it a gain; because on that assumption the whole of time would seem no longer than a single night.

On the other hand, if death is like taking a trip from here to another place, and if it is true, as we are told, that all of the dead do indeed exist in that other place, why then, gentlemen of the jury, what could be a greater blessing than that? If upon arriving in Hades, and being rid of these people who profess to be "jurors," one is going to find those who are truly judges, and who are also said to sit in judgment there⁴⁰—Minos, Rhadamanthys, Aeacus, Triptolemus, and all other demigods who were righteous in their own lives—would that be a disappointing journey?

Or again, what would any of you not give to share the company of Orpheus and Musaeus, of Hesiod and Homer? I say "you," since I personally would be willing to die many times over, if those tales are true. Why? Because my own sojourn there would be wonderful, if I could meet Palamedes, or Ajax, son of Telamon, or anyone else of old who met their death through an unjust verdict. Whenever I met them, I could compare my own experiences with theirs—which would be not unamusing, I fancy—and best of all, I could spend time questioning and probing people there, just as I do here, to find out who among them is truly wise, and who thinks he is without being so.

What would one not give, gentlemen of the jury, to be able to question the leader of the great expedition against Troy,⁴¹ or Odysseus, or Sisyphus, or countless other men and women one could mention? Would it not be unspeakable good fortune to converse with them there, to mingle with them and question them? At least that isn't a reason, presumably, for people in that world to put you to death—because amongst other ways in which people there are more fortunate than those in our world, they

have become immune from death for the rest of time, if what we are told is actually true.

Moreover, you too, gentlemen of the jury, should be of good hope in the face of death, and fix your minds upon this single truth: nothing can harm a good man, either in life or in death; nor are his fortunes neglected by the gods. In fact, what has befallen me has come about by no mere accident; rather, it is clear to me that it was better I should die now and be rid of my troubles. That is also the reason why the divine sign at no point turned me back; and for my part, I bear those who condemned me, and my accusers, no ill will at all—though, to be sure, it was not with that intent that they were condemning and accusing me, but with intent to harm me and they are culpable for that. Still, this much I ask of them. When my sons come of age, gentlemen, punish them: give them the same sort of trouble that I used to give you, if you think they care for money or anything else more than for goodness, and if they think highly of themselves when they are of no value. Reprove them, as I reproved you, for failing to care for the things they should, and for thinking highly of themselves when they are worthless. If you will do that, then I shall have received my own just deserts from you, as will my sons.

But enough. It is now time to leave—for me to die, and for you to live—though which of us has the better destiny is unclear to everyone, save only to God.

Notes

- 1. It is striking that the *Defence of Socrates* begins, as it ends, with a disavowal of knowledge.
- 2. Socrates' reputation for skill in argument enabled Aristophanes to caricature him as an instructor in logical trickery. Cf. *Clouds* (112–15).
 - 3. The reference is to Aristophanes.
 - 4. Speeches in Athenian lawcourts were timed.
- 5. This describes Socrates' first appearance in the *Clouds* (223–5), where he is swung around in a basket in the air, and says he is walking on air and thinking about the sun.

- 6. Professional educators who offered instruction in many subjects.
- 7. A mina equalled 100 silver drachmas. At the end of the fifth century a drachma was roughly equivalent to one day's pay for a man employed in public works. Evenus' fees were therefore not as "modest" as Socrates pretends.
- 8. The god Apollo, though nowhere named in the *Defence*, is the deity whose servant Socrates claim to be. In what follows, however, when he speaks of "the god," it is not always clear whether he means Apollo, or a personal God distinct from any deity of traditional Greek religion.
- 9. Politicians of the democratic party had fled from Athens during the regime of the Thirty Tyrants. They returned under an amnesty in 403 B.C. when the Thirty were overthrown.
- 10. Literally, "by the dog," a favourite Socratic oath, which may have originated as a euphemism.
- 11. Socrates alludes to the labours of Heracles, twelve tasks of prodigious difficulty imposed upon a hero of legendary strength and courage.
- 12. The dithyramb was an emotionally powerful lyric poem, performed by a chorus of singers and dancers.
- 13. The Athenian Council was a body of 500, with fifty members from each of the ten tribes, elected annually by lot from citizens over the age of 30. In conjunction with the magistrates it carried on state business, and prepared an agenda for the Assembly.
- 14. Socrates' denial that he corrupts the young intentionally relies upon the principle that human beings never intentionally follow a course of action which they know or believe to be harmful to themselves. Since, in Socrates' view, all wrongdoing is harmful to the agent, it follows that all wrongdoing is unintentional, and curable by the removal of ignorance. This doctrine, one of the so-called Socratic Paradoxes, is often summarized in the slogan "Virtue Is Knowledge." It is elaborated in the *Meno*.
- 15. The sun and moon, even though not the objects of an official cult at Athens, were widely believed to be divine.
- 16. According to one tradition, Anaxagoras had been prosecuted for heresies regarding the composition of the sun and moon.
- 17. The "riddle" which Socrates attributes to Meletus consists in the self-contradictory statement "Socrates acknowledges gods and does not acknowledge gods." Greek riddles often take the form of paradoxes generated by apparent self-contradiction.

- 18. Spirits were sometimes begotten by gods through union with nymphs or mortals.
- 19. Site of the legendary war between the Greeks and Trojans, which is the context of Homer's *Iliad*.
- 20. Achilles, heroic Greek warrior in the Trojan War. As the offspring of a goddess mother by a mortal father, he is referred to as a "demigod."
- 21. Potidaea, in Thrace, was the scene of a campaign in 432 B.C.
- 22. Socrates here confirms that his well-known mysterious "sign" had been used to substantiate the charge of "introducing new spiritual realities."
- 23. Fifty representatives from each of the ten tribes who made up the Council took turns during the year to provide an executive for the entire body.
- 24. In 406 B.C., after a sea-battle off the Ionian coast at Arginusae, several Athenian commanders were charged for their failure to rescue the ship-wrecked survivors and recover the dead. A motion to try them collectively was endorsed by the Council and referred to the Assembly. Although a collective trial was unconstitutional, the motion was passed by the Assembly after a stormy debate, and six surviving commanders were convicted and executed.
- 25. A building also called the "sun-shade" from its shape. It was commandeered as a seat of government by the Thirty.
- 26. An island separated by a narrow channel from the coast of Africa.
- 27. Socrates is probably alluding, especially, to two of his former associates who had become notorious enemies of the Athenian democracy, Alcibiades and Critias. The former was a brilliant but wayward politician, who had turned against Athens and helped her enemies. The latter was an unscrupulous oligarch, who had become a leading member of the Thirty Tyrants.
- 28. Socrates here, in effect, contrasts himself with the sophists, in that he did not set himself up as a professional teacher.
- 29. For example, the Delphic oracle, whose answer had led Socrates to undertake his mission. Dreams had long been believed to be a source of divine communication with human beings, and are often so treated by Plato.
- 30. At the time of the trial Socrates had two little boys, Sophroniscus and Menexenus, and an older son, Lamprocles.
- 31. This is one of many disparaging remarks in Plato about women. Open displays of emotion,

- especially grief, are regarded as distinctively female, an indulgence of the "female side" of our nature.
- 32. The verdict was "Guilty." Socrates here begins his second speech, proposing an alternative to the death penalty demanded by the prosecution.
- 33. With a jury of 500, this implies that the vote was 280–220, since at the time of Socrates' trial an evenly split vote (250–250) would have secured his acquittal.
- 34. The court had to decide between the penalty demanded by the prosecution and a counter-penalty proposed by the defence, with no option of substituting a different one.
- 35. The Prytaneum was the building on the northeast slope of the Acropolis, in which hospitality was given to honoured guests of the state, and to Olympic victors and other sports-heroes.
- 36. This was the law at Sparta, because of the irrevocability of capital punishment.
- 37. This seems to have been a normal amount for a fine, and was a considerable sum.
- 38. The jury has now voted for the death penalty, and Socrates begins his final speech.
- 39. This monarch embodied the popular ideal of happiness.
- 40. It is not clear whether Socrates envisages them merely as judging disputes among the dead, or as passing judgment upon the earthly life of those who enter Hades.
- 41. Agamemnon, chief of the Greek forces in the Trojan War.

INDEX OF NAMES

Adimantus: older brother of Plato.

Aeacus: one of the three judges in Hades. He also appears as a judge and lawgiver of the island Aegina, and an arbiter of disputes among the gods.

Aeantodorus: brother of APOLLODORUS, but otherwise unknown.

- **Aeschines:** devotee of Socrates, who wrote speeches for the lawcourts, taught oratory, and was admired as an author of Socratic dialogues. A few fragments of his writings are extant.
- **Ajax:** Greek hero of the Trojan War, mentioned as a victim of an "unjust verdict." This refers to the award of Achilles' armour to ODYSSEUS in a contest with Ajax. The latter's resulting madness and suicide are the subject of *Ajax*, one of the extant tragedies by Sophocles.

- Anaxagoras: Presocratic philosopher, originally from Clazomenae in Ionia. Important fragments of his work are extant. He spent many years in Athens and was prominent in Athenian intellectual life.
- **Antiphon:** from Cephisia, father of EPIGENES and supporter of Socrates at his trial, but not otherwise known.
- **Anytus:** leading Athenian democratic politician and accuser of Socrates, and the main instigator of the prosecution.
- **Apollodorus:** ardent devotee of Socrates, notorious for his emotional volatility.
- **Ariston:** Athenian of distinguished lineage, and father of Plato.
- **Aristophanes:** *c.* 450–385. The most famous playwright of Athenian Old Comedy. Eleven of his plays and many fragments are extant.
- Callias: wealthy Athenian patron of sophistic culture. Cebes: citizen of Thebes in Boeotia, who had studied there with the Pythagorean philosopher Philolaus. A disciple of Socrates.
- Chaerephon: long-time faithful follower of Socrates. Expelled from Athens in 404 during the regime of the Thirty Tyrants, he returned when the democracy was restored in the following year. The comic poets nicknamed him "the bat" from his squeaky voice.
- Crito: Socrates' contemporary, fellow demesman, and one of his closest friends.
- **Critobulus:** son of CRITO and member of the Socratic circle, who was present at Socrates' trial and death.
- **Demodocus:** father of THEAGES.
- **Epigenes:** an associate of Socrates. He was present at Socrates' death.
- **Evenus:** a professional teacher of human excellence, or "sophist."
- Gorgias: c. 480–376, from Leontini in Sicily; commonly but perhaps wrongly classified as a "sophist." He cultivated an artificial but influential prose style, and gave lessons in rhetoric, or effective public speaking.
- Hades: the underworld inhabited by the dead. The name belongs, properly, to the mythical king of that realm, who was the brother of zeus and Poseidon.
- Hector: son of Priam, and leading Trojan hero in the war between Greece and Troy. In HOMER'S *Iliad* he kills PATROCLUS, squire of Achilles, who in turn avenges his friend's death by slaying Hector.

- **Hesiod:** one of the earliest extant Greek poets. His *Theogony* contains an account of the origin of the traditional gods. His *Works and Days* is a didactic poem giving moral and practical precepts about rural life.
- **Hippias:** itinerant teacher or "sophist," probably a close contemporary of Socrates, who claimed expertise in many subjects.
- **Hipponicus:** member of wealthy Athenian family, and father of CALLIAS.
- Homer: greatest epic poet of Greece, and composer of the *Iliad* and the *Odyssey*. The *Iliad* contains episodes from the legendary Trojan War, while the *Odyssey* recounts the travels and adventures of the hero odysseus during his journey home after the war.
- **Leon:** resident of Salamis, unjustly arrested and murdered by the Thirty Tyrants in 404.
- **Lycon:** Athenian politician and co-accuser of Socrates with MELETUS and ANYTUS.
- Lysanias: of Sphettus, father of AESCHINES, but otherwise unknown.
- **Meletus:** youthful co-accuser of Socrates with ANYTUS and LYCON. He drew up the indictment against Socrates, but was evidently a mere tool of Anytus.
- **Minos:** legendary king of Crete, and traditional judge in the underworld.
- **Musaeus:** mythical bard or singer, closely connected with ORPHEUS.
- **Nicostratus:** supporter of Socrates, present at his trial, but otherwise unknown.
- **Odysseus:** legendary hero in HOMER's *Iliad*, and central figure in the *Odyssey*, which recounts his wanderings after the Trojan War.
- **Orpheus:** legendary bard and founder of the archaic mystical or religious movement known as "Orphism."
- **Palamedes:** Greek hero of the Trojan War, credited with invention of the alphabet.
- **Paralius:** supporter of Socrates who was present at his trial, but is not otherwise known.
- Patroclus: squire and close friend of Achilles in HOMER's *Iliad*, slain by HECTOR and avenged by Achilles.
- **Prodicus:** itinerant teacher from Ceos, and one of the sophists.
- **Rhadamanthys:** with AEACUS and MINOS one of the three traditional judges in the underworld.
- **Simmias:** citizen of Thebes and follower of Socrates, who was prepared to finance his escape.

Sisyphus: mythical wrongdoer, famous for his endless punishment in the underworld. His task was to push a boulder up to the top of a hill, from which it always rolled down again.

Telamon: legendary king of Salamis and father of

Theages: disciple of Socrates, whose brother PARALIUS was present at the trial, though Theages himself was already dead.

Theodotus: associate of Socrates who died before his trial, but is not otherwise known.

Theozotides: father of NICOSTRATUS and THEODOTUS.

Though deceased by the time of Socrates' trial, he is known to have introduced two important democratic measures after the fall of the Thirty Tyrants. Plato may therefore have mentioned him to counter suspicion that Socrates had antidemo-

Thetis: sea-nymph or goddess, given in marriage to the mortal Peleus. Achilles was her only child.

Triptolemus: mythical agricultural hero from Eleusis, and a central figure in its mystery cults.

Study Questions

1. According to Socrates, why did the Delphic oracle declare that no one was wiser than Socrates?

cratic leanings.

- 2. According to Socrates, what mistake is involved in the fear of death?
- 3. Why is Socrates unwilling to give up the study of philosophy?
- 4. According to Socrates, what is far harder to avoid than death?

Crito

PLATO

The *Crito*, probably written about the time of the *Defence of Socrates*, relates a conversation Socrates has in prison while awaiting death. His lifelong friend Crito urges Socrates to run away, assuring him that his rescue can be arranged. Socrates refuses to try to escape, arguing that he is morally obligated to submit to the sentence of the Court, for he accepts its authority and does not wish to bring the system of laws into disrepute.

A much-discussed issue is whether the view Socrates adopts in the *Crito* coheres with the opinions he espouses in his *Defence*. As our translator, David Gallop, explains the apparent inconsistency:

To some readers the positions adopted by Socrates in the two works have seemed utterly opposed. In the *Defence* he comes across as a champion of intellectual liberty, an individualist bravely defying the conservative Athenian establishment; whereas in the *Crito* he appears to be advocating the most abject submission of the citizen to state authority.

From *Defence of Socrates, Euthyphro, and Crito*, translated by David Gallop. Copyright © 1997 by Oxford University Press. Reprinted by permission of the publisher and translator.

Gallop believes the supposed conflict is illusory, and many commentators agree. Yet they have not reached consensus as to how the reconciliation is to be achieved.

In my view the key to recognizing the consistency of Socrates' position is found near the end of the dialogue, where a distinction is drawn, in essence, between unjust laws and unjust application of just laws. Socrates believes his fellow citizens decided his case wrongly, but he accepts the fairness of the laws under which he was tried and convicted. If he believed the laws themselves were unfair, he would break them. As he says in his *Defence*, were a law to be passed banning the study of philosophy, he would disobey it. But he will not evade his death sentence, for he "has been treated unjustly not by us Laws, but by human beings...."

Whether you accept this analysis, you can see why the life of Socrates has so fascinated subsequent generations. He embodies the spirit of philosophical inquiry and the ideal of intellectual integrity.

The notes are the translator's. The Index of Names he prepared can be found at the end of the *Defence of Socrates*.

SOCRATES: Why have you come at this hour, Crito? It's still very early, isn't it?

CRITO: Yes, very.

SOCRATES: About what time? CRITO: Just before daybreak.

SOCRATES: I'm surprised the prison-warder was willing to answer the door.

crito: He knows me by now, Socrates, because I come and go here so often; and besides, I've done him a small favour.

SOCRATES: Have you just arrived, or have you been here for a while?

CRITO: For quite a while.

SOCRATES: Then why didn't you wake me up right away instead of sitting by me in silence?

CRITO: Well of course I didn't wake you, Socrates! I only wish I weren't so sleepless and wretched myself. I've been marvelling all this time as I saw how peacefully you were sleeping, and I deliberately kept from waking you, so that you could pass the time as peacefully as possible. I've often admired your disposition in the past, in fact all your life; but more than ever in your present plight, you bear it so easily and patiently.

SOCRATES: Well, Crito, it really would be tiresome for a man of my age to get upset

if the time has come when he must end his life.

crito: And yet others of your age, Socrates, are overtaken by similar troubles, but their age brings them no relief from being upset at the fate which faces them.

SOCRATES: That's true. But tell me, why *have* you come so early?

crito: I bring painful news, Socrates—not painful for you, I suppose, but painful and hard for me and all your friends—and hardest of all for me to bear, I think.

SOCRATES: What news is that? Is it that the ship has come back from Delos, the one on whose return I must die?

crito: Well no, it hasn't arrived yet, but I think it will get here today, judging from reports of people who've come from Sunium,² where they disembarked. That makes it obvious that it will get here today; and so tomorrow, Socrates, you will have to end your life.

SOCRATES: Well, may that be for the best, Crito. If it so please the gods, so be it. All the same, I don't think it will get here today.

CRITO: What makes you think that?

SOCRATES: I'll tell you. You see, I am to die on the day after the ship arrives, am I not?

CRITO: At least that's what the authorities say.

SOCRATES: Then I don't think it will get here on the day that is just dawning, but on the next one. I infer that from a certain dream I had in the night—a short time ago, so it may be just as well that you didn't wake me.

CRITO: And what was your dream?

SOCRATES: I dreamt that a lovely, handsome woman approached me, robed in white. She called me and said: "Socrates,

Thou shalt reach fertile Phthia upon the third day."³

CRITO: What a curious dream, Socrates. SOCRATES: Yet its meaning is clear, I think, Crito.

CRITO: All too clear, it would seem. But please, Socrates, my dear friend, there is still time to take my advice, and make your escape—because if you die, I shall suffer more than one misfortune: not only shall I lose such a friend as I'll never find again, but it will look to many people, who hardly know you or me, as if I'd abandoned you—since I could have rescued you if I'd been willing to put up the money. And yet what could be more shameful than a reputation for valuing money more highly than friends? Most people won't believe that it was you who refused to leave this place yourself, despite our urging you to do so.

socrates: But why should we care so much, my good Crito, about what most people believe? All the most capable people, whom we should take more seriously, will think the matter has been handled exactly as it has been.

CRITO: Yet surely, Socrates, you can see that one must heed popular opinion too. Your present plight shows by itself that the populace can inflict not the least of evils, but just about the worst, if someone has been slandered in their presence.

socrates: Ah Crito, if only the populace *could* inflict the worst of evils! Then they would also be capable of providing the greatest of goods, and a fine thing that would be. But the fact is that they can do neither: they are unable to give anyone understanding or lack of it, no matter what they do.

CRITO: Well, if you say so. But tell me this, Socrates: can it be that you are worried for me and your other friends, in case the blackmailers⁴ give us trouble, if you escape, for having smuggled you out of here? Are you worried that we might be forced to forfeit all our property as well, or pay heavy fines, or even incur some further penalty? If you're afraid of anything like that, put it out of your mind. In rescuing you we are surely justified in taking that risk, or even worse if need be. Come on, listen to me and do as I say.

SOCRATES: Yes, those risks do worry me, Crito—amongst many others.

CRITO: Then put those fears aside—because no great sum is needed to pay people who are willing to rescue you and get you out of here. Besides, you can surely see that those blackmailers are cheap, and it wouldn't take much to buy them off. My own means are available to you and would be ample, I'm sure. Then again, even if—out of concern on my behalf—you think you shouldn't be spending my money, there are visitors here who are ready to spend theirs. One of them, Simmias from Thebes, has actually brought enough money for this very purpose, while Cebes and quite a number of others are also prepared to contribute. So, as I say, you shouldn't hesitate to save yourself on account of those fears.

And don't let it trouble you, as you were saying in court, that you wouldn't know what to do with yourself if you went into exile. There will be people to welcome you anywhere else you may go: if you want to go to Thessaly,⁵ I have friends

there who will make much of you and give you safe refuge, so that no one from anywhere in Thessaly will trouble you.

Next, Socrates, I don't think that what you propose—giving yourself up, when you could be rescued—is even just. You are actually hastening to bring upon yourself just the sorts of thing which your enemies would hasten to bring upon you—indeed, they have done so—in their wish to destroy you.

What's more, I think you're betraying those sons of yours. You will be deserting them, if you go off when you could be raising and educating them: as far as you're concerned, they will fare as best they may. In all likelihood, they'll meet the sort of fate which usually befalls orphans once they've lost their parents. Surely, one should either not have children at all, or else see the toil and trouble of their upbringing and education through to the end; yet you seem to me to prefer the easiest path. One should rather choose the path that a good and resolute man would choose, particularly if one professes to cultivate goodness all one's life. Frankly, I'm ashamed for you and for us, your friends: it may appear that this whole predicament of yours has been handled with a certain feebleness on our part. What with the bringing of your case to court when that could have been avoided, the actual conduct of the trial, and now, to crown it all, this absurd outcome of the business, it may seem that the problem has eluded us through some fault or feebleness on our part—in that we failed to save you, and you failed to save yourself, when that was quite possible and feasible, if we had been any use at all.

Make sure, Socrates, that all this doesn't turn out badly, and a disgrace to you as well as us. Come now, form a plan—or rather, don't even plan, because the time for that is past, and only a single plan

remains. Everything needs to be carried out during the coming night; and if we go on waiting around, it won't be possible or feasible any longer. Come on, Socrates, do all you can to take my advice, and do exactly what I say.

socrates: My dear Crito, your zeal will be invaluable if it should have right on its side; but otherwise, the greater it is, the harder it makes matters. We must therefore consider whether or not the course you urge should be followed—because it is in my nature, not just now for the first time but always, to follow nothing within me but the principle which appears to me, upon reflection, to be best.

I cannot now reject the very principles that I previously adopted, just because this fate has overtaken me; rather, they appear to me much the same as ever, and I respect and honour the same ones that I did before. If we cannot find better ones to maintain in the present situation, you can be sure that I won't agree with you—not even if the power of the populace threatens us, like children, with more bogeymen than it does now, by visiting us with imprisonment, execution, or confiscation of property.

What, then, is the most reasonable way to consider the matter? Suppose we first take up the point you make about what people will think. Was it always an acceptable principle that one should pay heed to some opinions but not to others, or was it not? Or was it acceptable before I had to die, while now it is exposed as an idle assertion made for the sake of talk, when it is really childish nonsense? For my part, Crito, I'm eager to look into this together with you, to see whether the principle is to be viewed any differently, or in the same way, now that I'm in this position, and whether we should disregard or follow it.

As I recall, the following principle always used to be affirmed by people who

thought they were talking sense: the principle, as I was just saying, that one should have a high regard for some opinions held by human beings, but not for others. Come now, Crito: don't you think that was a good principle? I ask because you are not, in all foreseeable likelihood, going to die tomorrow, and my present trouble shouldn't impair your judgement. Consider, then: don't you think it a good principle, that one shouldn't respect all human opinions, but only some and not others; or, again, that one shouldn't respect everyone's opinions, but those of some people, and not those of others? What do you say? Isn't that a good principle?

CRITO: It is.

SOCRATES: And one should respect the good ones, but not the bad ones?

CRITO: Yes.

socrates: And good ones are those of people with understanding, whereas bad ones are those of people without it?

CRITO: Of course.

socrates: Now then, once again, how were such points established? When a man is in training, and concentrating upon that, does he pay heed to the praise or censure or opinion of each and every man, or only to those of the individual who happens to be his doctor or trainer?

CRITO: Only to that individual's.

SOCRATES: Then he should fear the censures, and welcome the praises of that individual, but not those of most people.

CRITO: Obviously.

socrates: So he must base his actions and exercises, his eating and drinking, upon the opinion of the individual, the expert supervisor, rather than upon everyone else's.

CRITO: True.

SOCRATES: Very well. If he disobeys that individual and disregards his opinion and his praises, but respects those of most people, who are ignorant, he'll suffer harm, won't he?

CRITO: Of course.

SOCRATES: And what is that harm? What does it affect? What element within the disobedient man?

CRITO: Obviously, it affects his body, because that's what it spoils.

socrates: A good answer. And in other fields too, Crito—we needn't go through them all, but they surely include matters of just and unjust, honourable and dishonourable, good and bad, the subjects of our present deliberation—is it the opinion of most people that we should follow and fear, or is it that of the individual authority—assuming that some expert exists who should be respected and feared above all others? If we don't follow that person, won't we corrupt and impair the element which (as we agreed) is made better by what is just, but is spoilt by what is unjust? Or is there nothing in all that?

CRITO: I accept it myself, Socrates.

socrates: Well now, if we spoil the part of us that is improved by what is healthy but corrupted by what is unhealthy, because it is not expert opinion that we are following, are our lives worth living once it has been corrupted? The part in question is, of course, the body, isn't it?

CRITO: Yes.

SOCRATES: And are our lives worth living with a poor or corrupted body?

CRITO: Definitely not.

socrates: Well then, are they worth living if the element which is impaired by what is unjust and benefited by what is just has been corrupted? Or do we consider the element to which justice or injustice belongs, whichever part of us it is, to be of less value than the body?

CRITO: By no means.

SOCRATES: On the contrary, it is more precious?

CRITO: Far more.

SOCRATES: Then, my good friend, we shouldn't care all that much about what

the populace will say of us, but about what the expert on matters of justice and injustice will say, the individual authority, or Truth. In the first place, then, your proposal that we should care about popular opinion regarding just, honourable, or good actions, and their opposites, is mistaken.

"Even so," someone might say, "the populace has the power to put us to death." CRITO: *That*'s certainly clear enough; one might say that, Socrates.

socrates: You're right. But the principle we've rehearsed, my dear friend, still remains as true as it was before—for me at any rate. And now consider this further one, to see whether or not it still holds good for us. We should attach the highest value, shouldn't we, not to living, but to living well?

CRITO: Why yes, that still holds.

SOCRATES: And living well is the same as living honourably or justly? Does that still hold or not?

CRITO: Yes, it does.

SOCRATES: Then in the light of those admissions, we must ask the following question: is it just, or is it not, for me to try to get out of here, when Athenian authorities are unwilling to release me? Then, if it does seem just, let us attempt it; but if it doesn't, let us abandon the idea.

As for the questions you raise about expenses and reputation and bringing up children, I suspect they are the concerns of those who cheerfully put people to death, and would bring them back to life if they could, without any intelligence, namely, the populace. For us, however, because our principle so demands, there is no other question to ask except the one we just raised: shall we be acting justly—we who are rescued as well as the rescuers themselves—if we pay money and do favours to those who would get me out of here? Or shall we in truth be acting unjustly

if we do all those things? And if it is clear that we shall be acting unjustly in taking that course, then the question whether we shall have to die through standing firm and holding our peace, or suffer in any other way, ought not to weigh with us in comparison with acting unjustly.

CRITO: I think that's finely *said*, Socrates; but do please consider what we should *do*. SOCRATES: Let's examine that question together, dear friend; and if you have objections to anything I say, please raise them, and I'll listen to you—otherwise, good fellow, it's time to stop telling me, again and again, that I should leave here against the will of Athens. You see, I set great store upon persuading you as to my course of action, and not acting against your will. Come now, just consider whether you find the starting-point of our inquiry acceptable, and try to answer my questions according to your real beliefs.

CRITO: All right, I'll try.

SOCRATES: Do we maintain that people should on no account whatever do injustice willingly? Or may it be done in some circumstances but not in others? Is acting unjustly in no way good or honourable, as we frequently agreed in the past? Or have all those former agreements been jettisoned during these last few days? Can it be, Crito, that men of our age have long failed to notice, as we earnestly conversed with each other, that we ourselves were no better than children? Or is what we then used to say true above all else? Whether most people say so or not, and whether we must be treated more harshly or more leniently than at present, isn't it a fact, all the same, that acting unjustly is utterly bad and shameful for the agent? Yes or no?

CRITO: Yes.

SOCRATES: So one must not act unjustly at all. CRITO: Absolutely not.

SOCRATES: Then, even if one is unjustly treated, one should not return injustice, as

most people believe—given that one should act not unjustly at all.

CRITO: Apparently not.

SOCRATES: Well now, Crito, should one ever ill-treat anybody or not?

CRITO: Surely not, Socrates.

SOCRATES: And again, when one suffers illtreatment, is it just to return it, as most people maintain, or isn't it?

CRITO: It is not just at all.

SOCRATES: Because there's no difference, I take it, between ill-treating people and treating them unjustly.

CRITO: Correct.

SOCRATES: Then one shouldn't return injustice or ill-treatment to any human being, no matter how one may be treated by that person. And in making those admissions, Crito, watch out that you're not agreeing to anything contrary to your real beliefs. I say that, because I realize that the belief is held by few people, and always will be. Those who hold it share no common counsel with those who don't; but each group is bound to regard the other with contempt when they observe one another's decisions. You too, therefore, should consider very carefully whether you share that belief with me, and whether we may begin our deliberations from the following premise: neither doing nor returning injustice is ever right, nor should one who is ill-treated defend himself by retaliation. Do you agree? Or do you dissent and not share my belief in that premise? I've long been of that opinion myself, and I still am now; but if you've formed any different view, say so, and explain it. If you stand by our former view, however, then listen to my next point.

CRITO: Well, I do stand by it and share that view, so go ahead.

socrates: All right, I'll make my next point—or rather, ask a question. Should the things one agrees with someone else

be done, provided they are just, or should one cheat?

CRITO: They should be done.

SOCRATES: Then consider what follows. If we leave this place without having persuaded our city, are we or are we not ill-treating certain people, indeed people whom we ought least of all to be ill-treating? And would we be abiding by the things we agreed, those things being just, or not?

CRITO: I can't answer your question, Socrates, because I don't understand it.

socrates: Well, look at it this way. Suppose we were on the point of running away from here, or whatever else one should call it. Then the Laws, or the State of Athens, might come and confront us, and they might speak as follows:

"Please tell us, Socrates, what do you have in mind? With this action you are attempting, do you intend anything short of destroying us, the Laws and the city as a whole, to the best of your ability? Do you think that a city can still exist without being overturned, if the legal judgments rendered within it possess no force, but are nullified or invalidated by individuals?"

What shall we say, Crito, in answer to that and other such questions? Because somebody, particularly a legal advocate, might say a great deal on behalf of the law that is being invalidated here, the one requiring that judgments, once rendered, shall have authority. Shall we tell them: "Yes, that is our intention, because the city was treating us unjustly, by not judging our case correctly"? Is that to be our answer, or what?

CRITO: Indeed it is, Socrates.

SOCRATES: And what if the Laws say: "And was that also part of the agreement between you and us, Socrates? Or did you agree to abide by whatever judgments the city rendered?"

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Then, if we were surprised by their words, perhaps they might say: "Don't be surprised at what we are saying, Socrates, but answer us, seeing that you like to use question-and-answer. What complaint, pray, do you have against the city and ourselves, that you should now attempt to destroy us? In the first place, was it not we who gave you birth? Did your father not marry your mother and beget you under our auspices? So will you inform those of us here who regulate marriages whether you have any criticism of them as poorly framed?"

"No, I have none," I should say.

"Well then, what of the laws dealing with children's upbringing and education, under which you were educated yourself? Did those of us Laws who are in charge of that area not give proper direction, when they required your father to educate you in the arts and physical training?"

"They did," I should say.

"Very good. In view of your birth, upbringing, and education, can you deny, first, that you belong to us as our offspring and slave, as your forebears also did? And if so, do you imagine that you are on equal terms with us in regard to what is just, and that whatever treatment we may accord to you, it is just for you to do the same thing back to us? You weren't on equal terms with your father, or your master (assuming you had one), making it just for you to return the treatment you received answering back when you were scolded, or striking back when you were struck, or doing many other things of the same sort. Will you then have licence against your fatherland and its Laws, if we try to destroy you, in the belief that that is just? Will you try to destroy us in return, to the best of your ability? And will you claim that in doing so you are acting justly, you who are genuinely exercised about goodness? Or

are you, in your wisdom, unaware that, in comparison with your mother and father and all your other forebears, your fatherland is more precious and venerable, more sacred and held in higher esteem among gods, as well as among human beings who have any sense; and that you should revere your fatherland, deferring to it and appeasing it when it is angry, more than your own father? You must either persuade it, or else do whatever it commands; and if it ordains that you must submit to certain treatment, then you must hold your peace and submit to it: whether that means being beaten or put in bonds, or whether it leads you into war to be wounded or killed, you must act accordingly, and that is what is just; you must neither give way nor retreat, nor leave your position; rather, in warfare, in court, and everywhere else, you must do whatever your city or fatherland commands, or else persuade it as to what is truly just; and if it is sinful to use violence against your mother or father, it is far more so to use it against your fatherland."

What shall we say to that, Crito? That the Laws are right or not?

CRITO: I think they are.

SOCRATES: "Consider then, Socrates," the Laws might go on, "whether the following is also true: in your present undertaking you are not proposing to treat us justly. We gave you birth, upbringing, and education, and a share in all the benefits we could provide for you along with all your fellow citizens. Nevertheless, we proclaim, by the formal granting of permission, that any Athenian who wishes, once he has been admitted to adult status,8 and has observed the conduct of city business and ourselves, the Laws, may—if he is dissatisfied with us—go wherever he pleases and take his property. Not one of us Laws hinders or forbids that: whether any of you wishes to emigrate to a colony, or to go and live as an alien elsewhere, he may go wherever he pleases and keep his property, if we and the city fail to satisfy him.

"We do say, however, that if any of you remains here after he has observed the system by which we dispense justice and otherwise manage our city, then he has agreed with us by his conduct to obey whatever orders we give him. And thus we claim that anyone who fails to obey is guilty on three counts: he disobeys us as his parents; he disobeys those who nurtured him; and after agreeing to obey us he neither obeys nor persuades us if we are doing anything amiss, even though we offer him a choice, and do not harshly insist that he must do whatever we command. Instead, we give him two options: he must either persuade us or else do as we say; yet he does neither. Those are the charges, Socrates, to which we say you too will be liable if you carry out your intention; and among Athenians, you will be not the least liable, but one of the most."

And if I were to say, "How so?" perhaps they could fairly reproach me, observing that I am actually among those Athenians who have made that agreement with them most emphatically.

"Socrates," they would say, "we have every indication that you were content with us, as well as with our city, because you would never have stayed home here, more than is normal for all other Athenians, unless you were abnormally content. You never left our city for a festival—except once to go to the Isthmus9—nor did you go elsewhere for other purposes, apart from military service. You never travelled abroad, as other people do; nor were you eager for acquaintance with a different city or different laws: we and our city sufficed for you. Thus, you emphatically opted for us, and agreed to be a

citizen on our terms. In particular, you fathered children in our city, which would suggest that you were content with it.

"Moreover, during your actual trial it was open to you, had you wished, to propose exile as your penalty; thus, what you are now attempting to do without the city's consent, you could then have done with it. On that occasion, you kept priding yourself that it would not trouble you if you had to die: you would choose death ahead of exile, so you said. Yet now you dishonour those words, and show no regard for us, the Laws, in your effort to destroy us. You are acting as the meanest slave would act, by trying to run away in spite of those compacts and agreements you made with us, whereby you agreed to be a citizen on our terms.

"First, then, answer us this question: are we right in claiming that you agreed, by your conduct if not verbally, that you would be a citizen on our terms? Or is that untrue?"

What shall we say in reply to that, Crito? Mustn't we agree?

CRITO: We must, Socrates.

SOCRATES: "Then what does your action amount to," they would say, "except breaking the compacts and agreements you made with us? By your own admission, you were not coerced or tricked into making them, or forced to reach a decision in a short time: you had seventy years in which it was open to you to leave if you were not happy with us, or if you thought those agreements unfair. Yet you preferred neither Lacedaemon nor Crete¹⁰—places you often say are well governed—nor any other Greek or foreign city: in fact, you went abroad less often than the lame and the blind or other cripples. Obviously, then, amongst Athenians you were exceptionally content with our city and with us, its Laws-because who would care for a