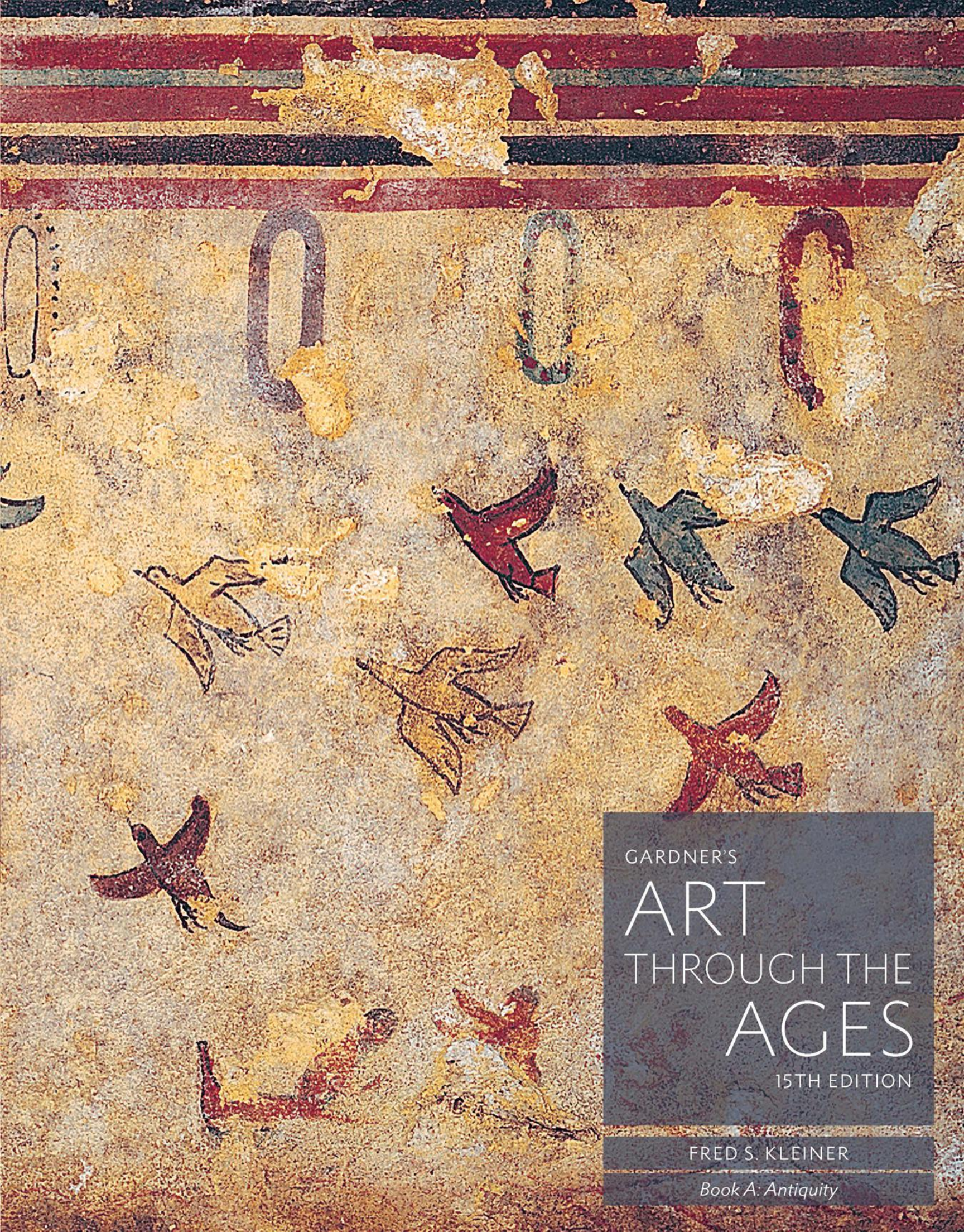




GARDNER'S

ART THROUGH THE AGES

15TH EDITION

FRED S. KLEINER

Book A: Antiquity

GARDNER'S

ART THROUGH THE AGES

BOOK A: ANTIQUITY

FIFTEENTH EDITION

FRED S. KLEINER



Australia • Brazil • Mexico • Singapore • United Kingdom • United States

This is an electronic version of the print textbook. Due to electronic rights restrictions, some third party content may be suppressed. Editorial review has deemed that any suppressed content does not materially affect the overall learning experience. The publisher reserves the right to remove content from this title at any time if subsequent rights restrictions require it. For valuable information on pricing, previous editions, changes to current editions, and alternate formats, please visit www.cengage.com/highered to search by ISBN#, author, title, or keyword for materials in your areas of interest.

**Gardner's Art through the Ages,
Fifteenth Edition, Book A: Antiquity**
Fred S. Kleiner

Product Director: Monica Eckman
Product Manager: Sharon Adams Poore
Content Developer: Rachel Harbour
Associate Content Developer: Erika Hayden
Product Assistant: Rachael Bailey
Media Developer: Chad Kirchner
Marketing Manager: Jillian Borden
Senior Content Project Manager: Lianne Ames
Senior Art Director: Cate Rickard Barr
Manufacturing Planner: Sandee Milewski
IP Analyst: Jessica Elias
IP Project Manager: Farah Fard
Production Service and Layout: Dovetail
Publishing Services
Compositor: Cenveo® Publisher Services
Text Designer: Frances Baca
Cover Designer: Mark Fox, Design is Play
Cover Image: Hirmer Fotoarchiv, Munich

© 2016, 2013, 2009 Cengage Learning

WCN: 02-200-208

ALL RIGHTS RESERVED. No part of this work covered by the copyright herein may be reproduced, transmitted, stored, or used in any form or by any means graphic, electronic, or mechanical, including but not limited to photocopying, recording, scanning, digitizing, taping, web distribution, information networks, or information storage and retrieval systems, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without the prior written permission of the publisher.

For product information and technology assistance, contact us at
Cengage Learning Customer & Sales Support, 1-800-354-9706

For permission to use material from this text or product,
submit all requests online at **www.cengage.com/permissions**.

Further permissions questions can be emailed to
permissionrequest@cengage.com.

Library of Congress Control Number: 2014943688

Book A:
ISBN: 978-1-285-83798-7

Cengage Learning
20 Channel Center Street
Boston, MA 02210
USA

Cengage Learning is a leading provider of customized learning solutions with office locations around the globe, including Singapore, the United Kingdom, Australia, Mexico, Brazil, and Japan. Locate your local office at **www.cengage.com/global**.

Cengage Learning products are represented in Canada by Nelson Education, Ltd.

To learn more about Cengage Learning Solutions, visit **www.cengage.com**.

Purchase any of our products at your local college store or at our preferred online store **www.cengagebrain.com**.

Printed in the United States of America
Print Number: 01 Print Year: 2014



Youths diving and fishing, detail of the fresco on the left wall of the Tomb of Hunting and Fishing, Monterozzi necropolis, Tarquinia, Italy, ca. 530–520 BCE.

The first painters, who covered the walls and ceilings of the caves of France and Spain beginning around 30,000 years ago, chose animals and occasionally humans as their exclusive subjects. The first landscapes appeared many thousands of years later, but soon became staples of most, but by no means all, artistic cultures worldwide.

At the Etruscan site of Tarquinia between Florence and Rome in central Italy, archaeologists have located thousands of underground tombs laboriously carved out of the bedrock, but only about 200 were decorated with frescoes, and in only one—the aptly named Tomb of Hunting and Fishing—are all four walls of the main chamber decorated with scenes of Etruscans enjoying the pleasures of nature. On the wall shown here, youths fish from a boat while another dives off a rocky promontory. Elsewhere, hunters aim their slingshots at birds. Although certain stylistic details of the representation derive from Greek art, large-scale fresco paintings as well as landscapes are unknown in Greece at this time, underscoring the independence of Etruscan artists.

The identity of the painter(s) of the Tomb of Hunting and Fishing is unknown, but that is the norm in the history of art before the Renaissance, when the modern notion of individual artistic genius took root. *Art through the Ages* surveys the art of all periods from prehistory to the present, and worldwide, and examines how artworks of all kinds have always reflected the historical contexts in which they were created.

Brief Contents

Preface xi

INTRODUCTION

What Is Art History? 1

CHAPTER 1

Art in the Stone Age 14

CHAPTER 2

Ancient Mesopotamia and Persia 30

CHAPTER 3

Egypt from Narmer to Cleopatra 54

CHAPTER 4

The Prehistoric Aegean 82

CHAPTER 5

Ancient Greece 102

CHAPTER 6

The Etruscans 162

CHAPTER 7

The Roman Empire 176

Notes 230

Glossary 231

Bibliography 239

Credits 245

Index 247

Contents

Preface xi

INTRODUCTION

What Is Art History? 1

Art History in the 21st Century 2

Different Ways of Seeing 13

1 Art in the Stone Age 14

FRAMING THE ERA The Dawn of Art 15

TIMELINE 16

Paleolithic Art 16

Neolithic Art 23

■ PROBLEMS AND SOLUTIONS: How to Represent an Animal 17

■ PROBLEMS AND SOLUTIONS: Painting in the Dark 20

■ ART AND SOCIETY: Why Is There Art in Paleolithic Caves? 21

MAP 1-1 Stone Age sites in Europe 16

MAP 1-2 Neolithic sites in Anatolia and Mesopotamia 24

THE BIG PICTURE 29

2 Ancient Mesopotamia and Persia 30

FRAMING THE ERA Pictorial Narration in Ancient Sumer 31

TIMELINE 32

Mesopotamia 32

Persia 48

■ RELIGION AND MYTHOLOGY: The Gods and Goddesses of Mesopotamia 34

■ PROBLEMS AND SOLUTIONS: Sumerian Votive Statuary 35

■ MATERIALS AND TECHNIQUES: Mesopotamian Seals 39

■ ART AND SOCIETY: Enheduanna, Priestess and Poet 41

■ THE PATRON'S VOICE: Gudea of Lagash 43

■ ART AND SOCIETY: Hammurabi's Laws 44

■ PROBLEMS AND SOLUTIONS: How Many Legs Does a Lamassu Have? 46

■ WRITTEN SOURCES: Babylon, City of Wonders 49

MAP 2-1 Ancient Mesopotamia and Persia 32

THE BIG PICTURE 53

3 Egypt from Narmer to Cleopatra 54

FRAMING THE ERA Life after Death in Ancient Egypt 55

TIMELINE 56

Egypt and Egyptology 56

Predynastic and Early Dynastic Periods 56

Old Kingdom 60

Middle Kingdom 67

New Kingdom 68

First Millennium BCE 79

■ RELIGION AND MYTHOLOGY: The Gods and Goddesses of Egypt 58

■ ART AND SOCIETY: Mummification and Immortality 60

■ PROBLEMS AND SOLUTIONS: Building the Pyramids of Gizeh 62

■ PROBLEMS AND SOLUTIONS: How to Portray a God-King 64

■ ART AND SOCIETY: Hatshepsut, the Woman Who Would Be King 69

■ PROBLEMS AND SOLUTIONS: Illuminating Buildings before Lightbulbs 73

MAP 3-1 Ancient Egypt 56

THE BIG PICTURE 81

4 The Prehistoric Aegean 82

FRAMING THE ERA Greece in the Age of Heroes 83

TIMELINE 84

Greece before Homer 84

Cycladic Art 85

Minoan Art 86

Mycenaean Art 93

■ ART AND SOCIETY: Archaeology, Art History, and the Art Market 85

■ ART AND SOCIETY: The Theran Eruption and the Chronology of Aegean Art 91

■ PROBLEMS AND SOLUTIONS: Fortified Palaces for a Hostile World 94

■ ARCHITECTURAL BASICS: Corbeled Arches, Vaults, and Domes 95

MAP 4-1 The prehistoric Aegean 84

THE BIG PICTURE 101

5 Ancient Greece 102

FRAMING THE ERA The Perfect Temple 103

TIMELINE 104

The Greeks and Their Gods 104

Geometric and Orientalizing Periods 106

Archaic Period 109

Early and High Classical Periods 123

Late Classical Period 142

Hellenistic Period 150

■ RELIGION AND MYTHOLOGY: The Gods and Goddesses of Mount Olympus 105

■ MATERIALS AND TECHNIQUES: Greek Vase Painting 108

■ ARCHITECTURAL BASICS: Greek Temple Plans 113

■ ARCHITECTURAL BASICS: Doric and Ionic Orders 114

■ PROBLEMS AND SOLUTIONS: The Invention of Red-Figure Painting 119

■ RELIGION AND MYTHOLOGY: Herakles, the Greatest Greek Hero 124

■ MATERIALS AND TECHNIQUES: Hollow-Casting Life-Size Bronze Statues 127

■ PROBLEMS AND SOLUTIONS: Polykleitos's Prescription for the Perfect Statue 129

■ ART AND SOCIETY: The Hegeso Stele 139

■ MATERIALS AND TECHNIQUES: White-Ground Painting 140

■ ARCHITECTURAL BASICS: The Corinthian Capital 149

■ PROBLEMS AND SOLUTIONS: Hippodamos's Plan for the Ideal City 151

MAP 5-1 The Greek world 104

THE BIG PICTURE 161

6 The Etruscans 162

FRAMING THE ERA The Painted Tombs of Tarquinia 163

TIMELINE 164

Etruria and the Etruscans 164

Early Etruscan Art 164

Later Etruscan Art 171

■ RELIGION AND MYTHOLOGY: Etruscan Counterparts of Greco-Roman Gods and Heroes 165

■ WRITTEN SOURCES: Etruscan Artists in Rome 166

■ ART AND SOCIETY: The "Audacity" of Etruscan Women 167

■ PROBLEMS AND SOLUTIONS: Houses of the Dead in a City of the Dead 168

MAP 6-1 Italy in Etruscan times 164

THE BIG PICTURE 175

7 The Roman Empire 176

FRAMING THE ERA Roman Art as Historical Fiction 177

TIMELINE 178

Rome, *Caput Mundi* 178

Republic 179

Pompeii and the Cities of Vesuvius 186

Early Empire 195

High Empire 206

Late Empire 218

■ ART AND SOCIETY: Who's Who in the Roman World 179

■ ARCHITECTURAL BASICS: Roman Concrete Construction 182

■ ART AND SOCIETY: Roman Ancestor Portraits 183

■ ART AND SOCIETY: Art for Former Slaves 185

■ WRITTEN SOURCES: An Eyewitness Account of the Eruption of Mount Vesuvius	186	■ MATERIALS AND TECHNIQUES: Iaia of Cyzicus and the Art of Encaustic Painting	217
■ ART AND SOCIETY: The Roman House	188	■ PROBLEMS AND SOLUTIONS: Tetrarchic Portraiture	224
■ ART AND SOCIETY: Role Playing in Roman Portraiture	196	MAP 7-1 The Roman Empire at the death of Trajan in 117 CE	178
■ THE PATRON'S VOICE: The <i>Res Gestae</i> of Augustus	197	THE BIG PICTURE	229
■ WRITTEN SOURCES: Vitruvius's <i>Ten Books on Architecture</i>	199	Notes	230
■ WRITTEN SOURCES: The Golden House of Nero	201	Glossary	231
■ ART AND SOCIETY: Spectacles in the Colosseum	202	Bibliography	239
■ PROBLEMS AND SOLUTIONS: The Spiral Frieze of the Column of Trajan	208	Credits	245
■ PROBLEMS AND SOLUTIONS: The Ancient World's Largest Dome	211	Index	247
■ WRITTEN SOURCES: Hadrian and Apollodorus of Damascus	212		

Preface

I take great pleasure in introducing the extensively revised and expanded 15th edition of *Gardner's Art through the Ages: A Global History*, which, like the 14th edition, is a hybrid art history textbook—the first, and still the only, introductory survey of the history of art of its kind. This innovative new kind of “Gardner” retains all of the best features of traditional books on paper while harnessing 21st-century technology to significantly increase the number of works examined—without substantially increasing the size of the text or abbreviating the discussion of each work.

When Helen Gardner published the first edition of *Art through the Ages* in 1926, she could not have imagined that nearly a century later, instructors all over the world would still be using her textbook (available even in Mandarin Chinese) in their classrooms. Indeed, if she were alive today, she would not recognize the book that, even in its traditional form, long ago became—and remains—the world's most widely read introduction to the history of art and architecture. I hope that instructors and students alike will agree that this new edition lives up to the venerable Gardner tradition and even exceeds their high expectations.

The 15th edition follows the 14th in incorporating an innovative new online component that includes, in addition to a host of other features (enumerated below), *bonus essays* and *bonus images* (with zoom capability) of more than 300 additional important works of all eras, from prehistory to the present and worldwide. The printed and online components of the hybrid 15th edition are very closely integrated. For example, every one of the more than 300 bonus essays is cited in the text of the traditional book, and a thumbnail image of each work, with abbreviated caption, is inset into the text column where the work is mentioned. The integration extends also to the maps, index, glossary, and chapter summaries, which seamlessly merge the printed and online information.

KEY FEATURES OF THE 15TH EDITION

In this new edition, in addition to revising the text of every chapter to incorporate the latest research and methodological developments, I have added several important features while retaining the basic format and scope of the previous edition. Once again, the hybrid Gardner boasts roughly 1,700 photographs, plans, and drawings, nearly all in color and reproduced according to the highest standards of clarity and color fidelity. Included in this count are updated and revised maps along with hundreds of new images, among them a new series of superb photos taken by Jonathan Poore exclusively for *Art through the Ages* during three photographic campaigns in Germany and Rome in 2012–2014 (following similar forays into France and Tuscany in 2011–2013). The online component also includes custom videos made at architectural sites. This extraordinary new archive of visual material ranges from ancient temples in Rome; to medieval, Renaissance, and Baroque churches in France, Germany, and

Italy; to such modernist masterpieces as the Notre-Dame-du-Haut in Ronchamp, France, and the Guggenheim Museum in New York. The 15th edition also features an expanded number of the highly acclaimed architectural drawings of John Burge. Together, these exclusive photographs, videos, and drawings provide readers with a visual feast unavailable anywhere else.

Once again, a scale accompanies the photograph of every painting, statue, or other artwork discussed—another unique feature of the Gardner text. The scales provide students with a quick and effective way to visualize how big or small a given artwork is and its relative size compared with other objects in the same chapter and throughout the book—especially important given that the illustrated works vary in size from tiny to colossal.

Also retained in this edition are the Quick-Review Captions (brief synopses of the most significant aspects of each artwork or building illustrated) that students have found invaluable when preparing for examinations. These extended captions accompany not only every image in the printed book but also all the digital images in the online supplement. Each chapter also again ends with the highly popular full-page feature called *The Big Picture*, which sets forth in bullet-point format the most important characteristics of each period or artistic movement discussed in the chapter. Also retained from the 14th edition are the timeline summarizing the major artistic and architectural developments during the era treated (again in bullet-point format for easy review) and a chapter-opening essay called *Framing the Era*, which discusses a characteristic painting, sculpture, or building and is illustrated by four photographs.

Another pedagogical tool not found in any other introductory art history textbook is the *Before 1300* section that appears at the beginning of the second volume of the paperbound version of the book and at the beginning of Book D of the backpack edition. Because many students taking the second half of a survey course will not have access to Volume I or to Books A, B, and C, I have provided a special (expanded) set of concise primers on architectural terminology and construction methods in the ancient and medieval worlds, and on mythology and religion—information that is essential for understanding the history of art after 1300, both in the West and the East. The subjects of these special boxes are Greco-Roman Temple Design and the Classical Orders; Arches and Vaults; Basilican Churches; Central-Plan Churches; the Gods and Goddesses of Mount Olympus; the Life of Jesus in Art; Early Christian Saints and Their Attributes; Buddhism and Buddhist Iconography; and Hinduism and Hindu Iconography.

Boxed essays once again appear throughout the book as well. These essays fall under six broad categories, two of which are new to the 15th edition:

Architectural Basics boxes provide students with a sound foundation for the understanding of architecture. These discussions are concise explanations, with drawings and diagrams, of the major

aspects of design and construction. The information included is essential to an understanding of architectural technology and terminology.

Materials and Techniques essays explain the various media that artists employed from prehistoric to modern times. Because materials and techniques often influence the character of artworks, these discussions contain essential information on why many monuments appear as they do.

Religion and Mythology boxes introduce students to the principal elements of the world's great religions, past and present, and to the representation of religious and mythological themes in painting and sculpture of all periods and places. These discussions of belief systems and iconography give readers a richer understanding of some of the greatest artworks ever created.

Art and Society essays treat the historical, social, political, cultural, and religious context of art and architecture. In some instances, specific monuments are the basis for a discussion of broader themes.

Written Sources present and discuss key historical documents illuminating important monuments of art and architecture throughout the world. The passages quoted permit voices from the past to speak directly to the reader, providing vivid and unique insights into the creation of artworks in all media.

In the *Artists on Art* boxes, artists and architects throughout history discuss both their theories and individual works.

New to the 15th edition are *The Patron's Voice* boxed essays, which underscore the important roles played by the individuals and groups who paid for the artworks and buildings in determining the character of those monuments. Also new are boxes designed to make students think critically about the decisions that went into the making of every painting, sculpture, and building from the Old Stone Age to the present. Called *Problems and Solutions*, these essays address questions of how and why various forms developed; the problems that painters, sculptors, and architects confronted; and the solutions they used to resolve them.

Other noteworthy features retained from the 14th edition are the extensive (updated) bibliography of books in English; a glossary containing definitions of and page references for italicized terms introduced in both the printed and online texts; and a complete museum index, now housed online only, listing all illustrated artworks by their present location. The host of state-of-the-art online resources accompanying the 15th edition are enumerated on page xv).

ACKNOWLEDGMENTS

A work as extensive as a global history of art could not be undertaken or completed without the counsel of experts in all areas of world art. As with previous editions, Cengage Learning has enlisted more than a hundred art historians to review every chapter of *Art through the Ages* in order to ensure that the text lives up to the Gardner reputation for accuracy as well as readability. I take great pleasure in acknowledging here the important contributions to the 15th edition made by the following: Patricia Albers, San Jose State University; Kirk Ambrose, University of Colorado Boulder; Jenny Kirsten Ataoguz, Indiana University–Purdue University Fort Wayne; Paul Bahn, Hull; Denise Amy Baxter, University of North Texas; Nicole Bensoussan, University of Michigan–Dearborn; Amy R. Bloch, University at Albany, State University of New York; Susan H. Caldwell, The University of Oklahoma; David C. Cateforis, The University of Kansas; Thomas B. F. Cummins, Harvard University; Joyce De Vries, Auburn University; Verena Drake, Hotchkiss School; Jerome Feldman, Hawai'i Pacific University; Maria Gindhart, Georgia State University; Annabeth Headrick, University of Denver;

Shannen Hill, University of Maryland; Angela K. Ho, George Mason University; Julie Hochstrasser, The University of Iowa; Hiroko Johnson, San Diego State University; Julie Johnson, The University of Texas at San Antonio; Paul H.D. Kaplan, Purchase College, State University of New York; Rob Leith, Buckingham Browne & Nichols School; Brenda Longfellow, The University of Iowa; Susan McCombs, Michigan State University; Jennifer Ann McLerran, Northern Arizona University; Patrick R. McNaughton, Indiana University Bloomington; Mary Miller, Yale University; Erin Morris, Estrella Mountain Community College; Nicolas Morrissey, The University of Georgia; Basil Moutsatsos, St. Petersburg College–Seminole; Johanna D. Movassat, San Jose State University; Micheline Nilsen, Indiana University South Bend; Catherine Pagani, The University of Alabama; Allison Lee Palmer, The University of Oklahoma; William H. Peck, University of Michigan–Dearborn; Lauren Peterson, University of Delaware; Holly Pittman, University of Pennsylvania; Romita Ray, Syracuse University; Wendy Wassing Roworth, The University of Rhode Island; Andrea Rusnock, Indiana University South Bend; Bridget Sandhoff, University of Nebraska Omaha; James M. Saslow, Queens College, City University of New York; Anne Rudolph Stanton, University of Missouri; Achim Timmermann, University of Michigan; David Turley, Weber State University; Lee Ann Turner, Boise State University; Marjorie S. Venit, University of Maryland; Shirley Tokash Verrico, Genesee Community College; Louis A. Waldman, The University of Texas at Austin; Ying Wang, University of Wisconsin–Milwaukee; Gregory H. Williams, Boston University; and Benjamin C. Withers, University of Kentucky.

I am especially indebted to the following for creating the instructor and student materials for the 15th edition: Ivy Cooper, Southern Illinois University Edwardsville; Patricia D. Cosper (retired), The University of Alabama at Birmingham; Anne McClanan, Portland State University; Amy M. Morris, The University of Nebraska Omaha; Erika Schneider, Framingham State University; and Camille Serchuk, Southern Connecticut State University. I also thank the more than 150 instructors and students who participated in surveys, focus groups, design sprints, and advisory boards to help us better understand readers' needs in our print and digital products.

I am also happy to have this opportunity to express my gratitude to the extraordinary group of people at Cengage Learning involved with the editing, production, and distribution of *Art through the Ages*. Some of them I have now worked with on various projects for nearly two decades and feel privileged to count among my friends. The success of the Gardner series in all of its various permutations depends in no small part on the expertise and unflagging commitment of these dedicated professionals, especially Sharon Adams Poore, product manager (as well as videographer extraordinaire); Rachel Harbour, content developer; Lianne Ames, senior content project manager; Chad Kirchner, media developer; Erika Hayden, associate content developer; Elizabeth Newell, associate media developer; Rachael Bailey, senior product assistant; Cate Barr, senior art director; Jillian Borden, marketing manager; and the incomparable group of local sales representatives who have passed on to me the welcome advice offered by the hundreds of instructors they speak to daily during their visits to college campuses throughout North America.

I am also deeply grateful to the following out-of-house contributors to the 15th edition: the incomparable quarterback of the entire production process, Joan Keyes, Dovetail Publishing Services; Helen Triller-Yambert, developmental editor; Michele Jones, copy editor; Susan Gall, proofreader; Mark Fox, Design is Play, cover designer; Frances Baca, text designer; PreMediaGlobal, photo researchers; Cenveo Publisher Services; Jay and John Crowley, Jay's Publishing Services;

Mary Ann Lidrbauch, art log preparer; and, of course, Jonathan Poore and John Burge, for their superb photos and architectural drawings.

I also owe thanks to two individuals not currently associated with this book but who loomed large in my life for many years: Clark Baxter, who retired in 2013 at the end of a long and distinguished career, from whom I learned much about textbook publishing and whose continuing friendship I value highly; and former coauthor and long-time friend and colleague, Christin J. Mamiya of the University of Nebraska–Lincoln, with whom I have had innumerable conversations not only about *Art through the Ages* but the history of art in general. Her thinking continues to influence my own, especially with regard to the later chapters on the history of Western art. I conclude this long (but no doubt incomplete) list of acknowledgments with an expression of gratitude to my colleagues at Boston University and to the thousands of students and the scores of teaching fellows in my art history courses since I began teaching in 1975, especially my research assistant, Angelica Bradley. From them I have learned much that has helped determine the form and content of *Art through the Ages* and made it a much better book than it otherwise might have been.

Fred S. Kleiner

CHAPTER-BY-CHAPTER CHANGES IN THE 15TH EDITION

The 15th edition is extensively revised and expanded, as detailed below. Each chapter contains a revised Big Picture feature, and all maps in the text are new to this edition. Instructors will find a very helpful figure number transition guide in the online instructor companion site.

Introduction: What Is Art History? Added 18th-century Benin Altar to the Hand and details of Claude Lorrain's *Embarkation of the Queen of Sheba*.

1: Art in the Stone Age. New Problems and Solutions boxes "How to Represent an Animal" and "Painting in the Dark." New bonus essay on Göbekli Tepe. New photographs of the Paleolithic Hohlenstein-Stadel statuette and of Neolithic Jericho, Göbekli Tepe, and Stonehenge, as well as a new drawing of post-and-lintel construction.

2: Ancient Mesopotamia and Persia. New Framing the Era essay "Pictorial Narration in Ancient Sumer." New Problems and Solutions boxes "Sumerian Votive Statuary" and "How Many Legs Does a Lamassu Have?" New Patron's Voice box "Gudea of Lagash." New photographs of the *Warka Vase* (including three new details), Akkadian ruler portrait, Lion Gate at Hattusa, Khorsabad lamassu, Ashurbanipal hunting lions, and the triumph of Shapur I over Valerian.

3: Egypt from Narmer to Cleopatra. New Framing the Era essay "Life after Death in Ancient Egypt" and new Problems and Solutions

boxes "Building the Pyramids of Gizeh," "How to Portray a God-King," and "Illuminating Buildings before Lightbulbs." New photographs of the palette of King Narmer, stepped pyramid of King Djoser, Great Sphinx, tomb of Khnumhotep II, temple complex and hypostyle hall at Karnak, portrait of Tiye with sun disk crown, and temple of Horus at Edfu.

4: The Prehistoric Aegean. New Problems and Solutions box "Fortified Palaces for a Hostile World." New Architectural Basics box "Corbeled Arches, Vaults, and Domes." New photographs of the Lion Gate, the exterior and interior of the Treasury of Atreus, and Grave Circle A at Mycenae. New restored view of the palace at Knossos.

5: Ancient Greece. New Problems and Solutions boxes "The Invention of Red-Figure Painting," "Polykleitos's Prescription for the Perfect Statue," and "Hippodamos's Plan for the Ideal City." New Materials and Techniques box "White-Ground Painting." New photographs of the Achilles and Ajax vases by Exekias and the Lysippides and Andokides Painters; the Temple of Aphaia at Aegina; the Parthenon and two sections of its Ionic frieze; Mnesikles's Propylaea; the Erechtheion and a detail of its caryatid porch; the restored Athena Nike temple; the Phiale Painter's krater with Hermes and Dionysos; Gnosis's stag hunt mosaic; the theater at Epidauros; the tholos at Delphi; the choragic monument of Lysikrates; and the *Barberini Faun*.

6: The Etruscans. New Framing the Era essay "The Painted Tombs of Tarquinia." New Problems and Solutions box "Houses of the Dead for a City of the Dead." New section on Etruscan city planning. New photographs of the Tarquinian Tomb of the Triclinium and the Tomb of the Leopards, including four new details, as well as of the Tomb of the Reliefs at Cerveteri. New plan of Marzabotto and new drawing of arch construction.

7: The Roman Empire. New Framing the Era essay "Roman Art as Historical Fiction." New Patron's Voice box "The *Res Gestae* of Augustus." New Written Sources box "Vitruvius's *Ten Books on Architecture*." New Problems and Solutions boxes "The Spiral Frieze of the Column of Trajan," "The Ancient World's Largest Dome," and "Tetrarchic Portraiture." New photographs of details of the apotheosis of Antoninus and Faustina; the Temple of Vesta at Tivoli; cubiculum 15 of the Villa of Agrippa Postumus at Boscorecase; the Ara Pacis and its Tellus panel; the Maison Carrée at Nîmes; the Arch of Titus (general view and three reliefs); the Column of Trajan (general view and four details of the frieze); the exterior and interior of the Markets of Trajan; the Pantheon; the Baths of Neptune at Ostia; the Arch of Constantine (general view and detail of the Hadrianic tondi and Constantinian frieze); the colossal portrait head of Constantine; the Basilica Nova in Rome; and the interior and exterior of the Aula Palatina at Trier.

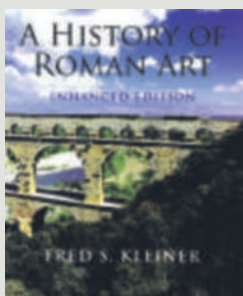
ABOUT THE AUTHOR

Fred S. Kleiner



FRED S. KLEINER (Ph.D., Columbia University) has been the author or coauthor of *Gardner's Art through the Ages* beginning with the 10th edition in 1995. He has also published more than a hundred books, articles, and reviews on Greek and Roman art and architecture, including *A History of Roman Art*, also published by Cengage Learning. Both *Art through the Ages* and the book on Roman art have been awarded Texty prizes as the outstanding college textbook of the year in the humanities and social sciences, in 2001 and 2007, respectively. Professor Kleiner has taught the art history survey course since 1975, first at the University of Virginia and, since 1978, at Boston University, where he is currently professor of the history of art and architecture and classical archaeology and has served as department chair for five terms, most recently from 2005 to 2014. From 1985 to 1998, he was editor-in-chief of the *American Journal of Archaeology*.

Long acclaimed for his inspiring lectures and devotion to students, Professor Kleiner won Boston University's Metcalf Award for Excellence in Teaching as well as the College Prize for Undergraduate Advising in the Humanities in 2002, and he is a two-time winner of the Distinguished Teaching Prize in the College of Arts & Sciences Honors Program. In 2007, he was elected a Fellow of the Society of Antiquaries of London, and, in 2009, in recognition of lifetime achievement in publication and teaching, a Fellow of the Text and Academic Authors Association.



Also by Fred Kleiner: *A History of Roman Art, Enhanced Edition* (Wadsworth/Cengage Learning 2010; ISBN 9780495909873), winner of the 2007 Texty Prize for a new college textbook in the humanities and social sciences. In this authoritative and lavishly illustrated volume, Professor Kleiner traces the development of Roman art and architecture from Romulus's foundation of Rome in the eighth century BCE to the death of Constantine in the fourth century CE, with special chapters devoted to Pompeii and Herculaneum, Ostia, funerary and provincial art and architecture, and the earliest Christian art. The enhanced edition also includes a new introductory chapter on the art and architecture of the Etruscans and of the Greeks of South Italy and Sicily.

Resources

FOR FACULTY

Instructor Companion Site

Access the Instructor Companion Site to find resources to help you teach your course and engage your students. Here you will find the Instructor's Manual; Cognero computerized testing; and Microsoft PowerPoint slides with lecture outlines and images that can be used as offered or customized by importing personal lecture slides or other material.

Digital Image Library

Display digital images in the classroom with this powerful tool. This one-stop lecture and class presentation resource makes it easy to assemble, edit, and present customized lectures for your course. Available on Flashdrive, the Digital Image Library provides high-resolutions images (maps, diagrams, and the fine art images from the text) for lecture presentations and allows you to easily add your own images to supplement those provided. A zoom feature allows you to magnify selected portions of an image for more detailed display in class, or you can display images side-by-side for comparison.

Google Earth™

Take your students on a virtual tour of art through the ages! Resources for the 15th edition include Google Earth™ coordinates for all works, monuments, and sites discussed in the text, encouraging students to make geographical connections between places and sites. Use these coordinates to start your lectures with a virtual journey to locations all over the globe, or take aerial screenshots of important sites to incorporate in your lecture materials.

FOR STUDENTS

MindTap for *Art through the Ages*

MindTap for *Gardner's Art through the Ages: A Global History*, 15th edition, helps you engage with your course content and achieve greater comprehension. Highly personalized and fully online, the MindTap learning platform presents authoritative Cengage Learning content, assignments, and services offering you a tailored presentation of course curriculum created by your instructor.

MindTap guides you through the course curriculum via an innovative Learning Path Navigator where you will complete reading assignments, annotate your readings, complete homework, and engage with quizzes and assessments. Concepts are brought to life with: zoomable versions of close to 1,500 images; videos to reinforce concepts and expand knowledge of particular works or art trends; numerous study tools, including image flashcards; a glossary complete with an audio pronunciation guide; Google Earth™ coordinate links for all works, monuments, and sites discussed in the text; and much more! Additional features, such as the ability to synchronize your eBook notes with your personal EverNote account, provide added convenience to help you take your learning further, faster.

Slide Guides

The Slide Guide is a lecture companion that allows you to take notes alongside representations of the art images shown in class. This handy resource includes reproductions of the images from the book, with full captions and space for note-taking.



▲ **I-1a** Among the questions art historians ask is why artists chose the subjects they represented. Why would a 17th-century French painter set a biblical story in a contemporary harbor with a Roman ruin?



▲ **I-1b** Why is the small boat in the foreground much larger than the sailing ship in the distance? What devices did Western artists develop to produce the illusion of deep space in a two-dimensional painting?



I-1 **CLAUDE LORRAIN, *Embarkation of the Queen of Sheba*, 1648. Oil on canvas, 4' 10" × 6' 4". National Gallery, London.**



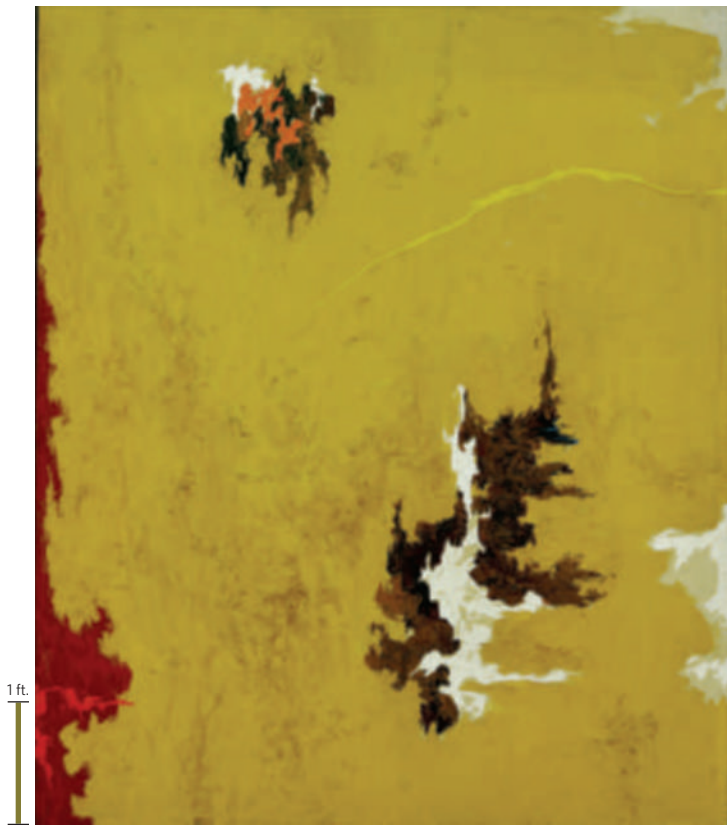
◀ **I-1c** Why does the large port building at the right edge of this painting seem normal to the eye when the top and bottom of the structure are not parallel horizontal lines, as they are in a real building?

What Is Art History?

What is art history? Except when referring to the modern academic discipline, people do not often juxtapose the words *art* and *history*. They tend to think of history as the record and interpretation of past human events, particularly social and political events. In contrast, most think of art, quite correctly, as part of the present—as something people can see and touch. Of course, people cannot see or touch history’s vanished human events, but a visible, tangible artwork is a kind of persisting event. One or more artists made it at a certain time and in a specific place, even if no one now knows who, when, where, or why. Although created in the past, an artwork continues to exist in the present, long surviving its times. The first painters and sculptors died 30,000 years ago, but their works remain, some of them exhibited in glass cases in museums built only a few years ago.

Modern museum visitors can admire these objects from the remote past and countless others produced over the millennia—whether a large painting on canvas by a 17th-century French artist (FIG. I-1), a wood portrait from an ancient Egyptian tomb (FIG. I-14), an illustrated book by a medieval German monk (FIG. I-8), or an 18th-century bronze altar glorifying an African king (FIG. I-15)—without any knowledge of the circumstances leading to the creation of those works. The beauty or sheer size of an object can impress people, the artist’s virtuosity in the handling of ordinary or costly materials can dazzle them, or the subject depicted can move them emotionally. Viewers can react to what they see, interpret the work in the light of their own experience, and judge it a success or a failure. These are all valid responses to a work of art. But the enjoyment and appreciation of artworks in museum settings are relatively recent phenomena, as is the creation of artworks solely for museum-going audiences to view.

Today, it is common for artists to work in private studios and to create paintings, sculptures, and other objects to be offered for sale by commercial art galleries. This is what American artist CLYFFORD STILL (1904–1980) did when he created his series of paintings (FIG. I-2) of pure color titled simply with the year of their creation. Usually, someone the artist has never met will purchase the artwork and display it in a setting that the artist has never seen. This practice is not a new phenomenon in the history of art—an ancient potter decorating a vase for sale at a village market stall probably did not know who would buy the pot or where it would be housed—but it is not at all typical. In fact, it is exceptional. Throughout history, most artists created paintings, sculptures, and other objects for specific patrons and settings and to fulfill a specific purpose, even if today no one knows the original contexts of those artworks. Museum visitors can appreciate the visual and tactile qualities of these objects, but they cannot understand why they were made or why they appear as they do without knowing the circumstances of their creation. Art *appreciation* does not require knowledge of the historical context of an artwork (or a building). Art *history* does.



I-2 CLYFFORD STILL, 1948-C, 1948. Oil on canvas, 6' 8 $\frac{7}{8}$ " $\times$ 5' 8 $\frac{3}{4}$ ". Hirshhorn Museum and Sculpture Garden, Smithsonian Institution, Washington, D.C. (purchased with funds of Joseph H. Hirshhorn, 1992).

Clyfford Still painted this abstract composition without knowing who would purchase it or where it would be displayed, but throughout history, most artists created works for specific patrons and settings.

Thus a central aim of art history is to determine the original context of artworks. Art historians seek to achieve a full understanding not only of why these “persisting events” of human history look the way they do but also of why the artistic events happened at all. What unique set of circumstances gave rise to the construction of a particular building or led an individual patron to commission a certain artist to fashion a singular artwork for a specific place? The study of history is therefore vital to art history. And art history is often indispensable for a thorough understanding of history. In ways that other historical documents may not, art objects and buildings can shed light on the peoples who made them and on the times of their creation. Furthermore, artists and architects can affect history by reinforcing or challenging cultural values and practices through the objects they create and the structures they build. Although the two disciplines are not the same, the history of art and architecture is inseparable from the study of history.

The following pages introduce some of the distinctive subjects that art historians address and the kinds of questions they ask, and explain some of the basic terminology they use when answering these questions. Readers armed with this arsenal of questions and terms will be ready to explore the multifaceted world of art through the ages.

ART HISTORY IN THE 21ST CENTURY

Art historians study the visual and tangible objects that humans make and the structures that they build. Scholars traditionally have classified these works as architecture, sculpture, the pictorial arts (painting, drawing, printmaking, and photography), and the craft arts, or arts of design. The craft arts comprise utilitarian objects, such as ceramics, metalwork, textiles, jewelry, and similar accessories of ordinary living—but the fact that these objects were used does not mean that they are not works of art. In fact, in some times and places, these so-called minor arts were the most prestigious artworks of all. Artists of every age have blurred the boundaries among these categories, but this is especially true today, when multimedia works abound.

Beginning with the earliest Greco-Roman art critics, scholars have studied objects that their makers consciously manufactured as “art” and to which the artists assigned formal titles. But today’s art historians also study a multitude of objects that their creators and owners almost certainly did not consider to be “works of art.” Few ancient Romans, for example, would have regarded a coin bearing their emperor’s portrait as anything but money. Today, an art museum may exhibit that coin in a locked case in a climate-controlled room, and scholars may subject it to the same kind of art historical analysis as a portrait by an acclaimed Renaissance or modern sculptor or painter.

The range of objects that art historians study is constantly expanding and now includes, for example, computer-generated images, whereas in the past almost anything produced using a machine would not have been regarded as art. Most people still consider the performing arts—music, drama, and dance—as outside art history’s realm because these arts are fleeting, impermanent media. But during the past few decades, even this distinction between “fine art” and “performance art” has become blurred. Art historians, however, generally ask the same kinds of questions about what they study, whether they employ a restrictive or expansive definition of art.

The Questions Art Historians Ask

HOW OLD IS IT? Before art historians can write a history of art, they must be sure they know the date of each work they study. Thus an indispensable subject of art historical inquiry is *chronology*, the dating of art objects and buildings. If researchers cannot determine a monument’s age, they cannot place the work in its historical context. Art historians have developed many ways to establish, or at least approximate, the date of an artwork.

Physical evidence often reliably indicates an object’s age. The material used for a statue or painting—bronze, plastic, or oil-based pigment, to name only a few—may not have been invented before a certain time, indicating the earliest possible date (the *terminus post quem*: Latin, “point after which”) someone could have fashioned the work. Or artists may have ceased using certain materials—such as specific kinds of inks and papers for drawings—at a known time, providing the latest possible date (the *terminus ante quem*: Latin, “point before which”) for objects made of those materials. Sometimes the material (or the manufacturing technique) of an object or a building can establish a very precise date of production or construction. The study of tree rings, for instance, usually can determine within a narrow range the date of a wood statue or a timber roof beam.

Documentary evidence can help pinpoint the date of an object or building when a dated written document mentions the work. For

example, official records may note when church officials commissioned a new altarpiece—and how much they paid to which artist.

Internal evidence can play a significant role in dating an artwork. A painter might have depicted an identifiable person or a kind of hairstyle, clothing, or furniture fashionable only at a certain time. If so, the art historian can assign a more accurate date to that painting.

Stylistic evidence is also very important. The analysis of *style*—an artist's distinctive manner of producing an object—is the art historian's special sphere. Unfortunately, because it is a subjective assessment, an artwork's style is by far the most unreliable chronological criterion. Still, art historians find stylistic evidence a very useful tool for establishing chronology.

WHAT IS ITS STYLE? Defining artistic style is one of the key elements of art historical inquiry, although the analysis of artworks solely in terms of style no longer dominates the field the way it once did. Art historians speak of several different kinds of artistic styles.

Period style refers to the characteristic artistic manner of a specific era or span of years, usually within a distinct culture, such as “Archaic Greek” or “High Renaissance.” But many periods do not

display any stylistic unity at all. How would someone define the artistic style of the second decade of the new millennium in North America? Far too many crosscurrents exist in contemporary art for anyone to describe a period style of the early 21st century—even in a single city such as New York.

Regional style is the term that art historians use to describe variations in style tied to geography. Like an object's date, its *provenance*, or place of origin, can significantly determine its character. Very often two artworks from the same place made centuries apart are more similar than contemporaneous works from two different regions. To cite one example, usually only an expert can distinguish between an Egyptian statue carved in 2500 BCE and one made in 500 BCE. But no one would mistake an Egyptian statue of 500 BCE for one of the same date made in Greece or Mexico.

Considerable variations in a given area's style are possible, however, even during a single historical period. In late medieval Europe, French architecture differed significantly from Italian architecture. The interiors of Beauvais Cathedral (FIG. I-3) and the church of Santa Croce (Holy Cross, FIG. I-4) in Florence typify the architectural styles of France and Italy, respectively, at the end of the 13th century. The rebuilding of the east end of Beauvais Cathedral began in 1284. Construction commenced on Santa Croce only 10 years later. Both structures employ the *pointed arch* characteristic of this era, yet the two churches differ strikingly. The French church has towering stone ceilings and large expanses of colored-glass windows, whereas the Italian building has a low timber roof and small,



I-3 Choir of Beauvais Cathedral (looking east), Beauvais, France, rebuilt after 1284.

The style of an object or building often varies from region to region. This cathedral has towering stone vaults and large stained-glass windows typical of 13th-century French architecture.



I-4 Interior of Santa Croce (looking east), Florence, Italy, begun 1294.

In contrast to Beauvais Cathedral (FIG. I-3), this contemporaneous Florentine church conforms to the quite different regional style of Italy. The building has a low timber roof and small windows.



I-5 GEORGIA O'KEEFFE, *Jack-in-the-Pulpit No. 4*, 1930. Oil on canvas, 3' 4" × 2' 6". National Gallery of Art, Washington, D.C. (Alfred Stieglitz Collection, bequest of Georgia O'Keeffe).

O'Keeffe's paintings feature close-up views of petals and leaves in which the organic forms become powerful abstract compositions. This approach to painting typifies the artist's distinctive personal style.

widely separated clear windows. Because the two contemporaneous churches served similar purposes, regional style mainly explains their differing appearance.

Personal style, the distinctive manner of individual artists or architects, often decisively explains stylistic discrepancies among paintings, sculptures, and buildings of the same time and place. For example, in 1930, the American painter GEORGIA O'KEEFFE (1887–1986) produced a series of paintings of flowering plants. One of them—*Jack-in-the-Pulpit No. 4* (FIG. I-5)—is a sharply focused close-up view of petals and leaves. O'Keeffe captured the growing plant's slow, controlled motion while converting the plant into a powerful abstract composition of lines, forms, and colors (see the discussion of art historical vocabulary in the next section). Only a year later, another American artist, BEN SHAHN (1898–1969), painted *The Passion of Sacco and Vanzetti* (FIG. I-6), a stinging commentary on social injustice inspired by the trial and execution of two Italian anarchists, Nicola Sacco and Bartolomeo Vanzetti. Many people believed that Sacco and Vanzetti had been unjustly convicted of killing two men in a robbery in 1920. Shahn's painting compresses time in a symbolic representation of the trial and its aftermath. The two executed men lie in their coffins. Presiding over them are the three members of the commission (headed by a college president wearing



I-6 BEN SHAHN, *The Passion of Sacco and Vanzetti*, 1931–1932. Tempera on canvas, 7' $\frac{1}{2}$ " × 4'. Whitney Museum of American Art, New York (gift of Edith and Milton Lowenthal in memory of Juliana Force).

O'Keeffe's contemporary, Shahn developed a style markedly different from hers. His paintings are often social commentaries on recent events and incorporate readily identifiable people.

academic cap and gown) who declared that the original trial was fair and cleared the way for the executions. Behind, on the wall of a stately government building, hangs the framed portrait of the judge who pronounced the initial sentence. Personal style, not period or regional style, sets Shahn's canvas apart from O'Keeffe's. The contrast is extreme here because of the very different subjects that the artists chose. But even when two artists depict the same subject, the results can vary widely. The *way* O'Keeffe painted flowers and the *way* Shahn painted faces are distinctive and unlike the styles of their contemporaries. (See the "Who Made It?" discussion on page 6.)

The different kinds of artistic styles are not mutually exclusive. For example, an artist's personal style may change dramatically during a long career. Art historians then must distinguish among



I-7 GISLEBERTUS, weighing of souls, detail of *Last Judgment* (FIG. 12-15), west tympanum of Saint-Lazare, Autun, France, ca. 1120–1135.

In this high relief portraying the weighing of souls on judgment day, Gislebertus used disproportion and distortion to dehumanize the devilish figure yanking on the scales of justice.

the different period styles of a particular artist, such as the “Rose Period” and the “Cubist Period” of the prolific 20th-century artist Pablo Picasso.

WHAT IS ITS SUBJECT? Another major concern of art historians is, of course, subject matter, encompassing the story, or narrative; the scene presented; the action’s time and place; the persons involved; and the environment and its details. Some artworks, such as modern abstract paintings (FIG. I-2), have no subject, not even a setting. The “subject” is the artwork itself—its colors, textures, composition, and size. But when artists represent people, places, or actions, viewers must identify these features to achieve complete understanding of the work. Art historians traditionally separate pictorial subjects into various categories, such as religious, historical, mythological, *genre* (daily life), portraiture, *landscape* (a depiction of a place), *still life* (an arrangement of inanimate objects), and their numerous subdivisions and combinations.

Iconography—literally, the “writing of images”—refers both to the content, or subject, of an artwork, and to the study of content in art. By extension, it also includes the study of *symbols*, images that stand for other images or encapsulate ideas. In Christian art, two intersecting lines of unequal length or a simple geometric cross can serve as an emblem of the religion as a whole, symbolizing the cross of Jesus Christ’s crucifixion. A symbol also can be a familiar object that an artist has imbued with greater meaning. A balance or scale, for example, may symbolize justice or the weighing of souls on judgment day (FIG. I-7).

Artists may depict figures with unique *attributes* identifying them. In Christian art, for example, each of the authors of the biblical gospel books, the four evangelists (FIG. I-8), has a distinctive attribute. People can recognize Saint Matthew by the winged man associated with him, John by his eagle, Mark by his lion, and Luke by his ox.

Throughout the history of art, artists have used *personifications*—abstract ideas codified in human form. Because of the fame of the colossal statue set up in New York City’s harbor in 1886, people everywhere visualize Liberty as a robed woman wearing a rayed crown and holding a torch. Four different personifications appear in *The Four Horsemen*



I-8 The four evangelists, folio 14 verso of the *Aachen Gospels*, ca. 810. Ink and tempera on vellum, 1' × 9 1/2". Domschatzkammer, Aachen.

Artists depict figures with attributes in order to identify them for viewers. The authors of the four gospels have distinctive attributes—winged man (Matthew), eagle (John), lion (Mark), and ox (Luke).

1 in.



I-9 ALBRECHT DÜRER, *The Four Horsemen of the Apocalypse*, ca. 1498. Woodcut, 1' 3 $\frac{1}{4}$ " $\times$ 11". Metropolitan Museum of Art, New York (gift of Junius S. Morgan, 1919).

Personifications are abstract ideas codified in human form. Here, Albrecht Dürer represented Death, Famine, War, and Pestilence as four men on charging horses, each one carrying an identifying attribute.

of the *Apocalypse* (FIG. I-9) by German artist ALBRECHT DÜRER (1471–1528). The late-15th-century print is a terrifying depiction of the fateful day at the end of time when, according to the Bible's last book, Death, Famine, War, and Pestilence will annihilate the human race. Dürer personified Death as an emaciated old man with a pitchfork. Famine swings the scales for weighing human souls (compare FIG. I-7). War wields a sword, and Pestilence draws a bow.

Even without considering style and without knowing a work's maker, informed viewers can determine much about the work's period and provenance by iconographical and subject analysis alone. In *The Passion of Sacco and Vanzetti* (FIG. I-6), for example, the two coffins, the trio headed by an academic, and the robed judge in the background are all pictorial clues revealing the painting's subject. The work's date must be after the trial and execution, probably while the event was still newsworthy. And because the two men's deaths caused the greatest outrage in the United States, the painter–social critic was probably an American.

WHO MADE IT? If Ben Shahn had not signed his painting of Sacco and Vanzetti, an art historian could still assign, or *attribute* (make an *attribution of*), the work to him based on knowledge of the

artist's personal style. Although signing (and dating) works is quite common (but by no means universal) today, in the history of art, countless works exist whose artists remain unknown. Because personal style can play a major role in determining the character of an artwork, art historians often try to attribute anonymous works to known artists. Sometimes they assemble a group of works all thought to be by the same person, even though none of the objects in the group is the known work of an artist with a recorded name. Art historians thus reconstruct the careers of artists such as “the Achilles Painter,” the anonymous ancient Greek artist whose masterwork is a depiction of the hero Achilles. Scholars base their attributions on internal evidence, such as the distinctive way an artist draws or carves drapery folds, earlobes, or flowers. It requires a keen, highly trained eye and long experience to become a *connoisseur*, an expert in assigning artworks to “the hand” of one artist rather than another. Attribution is subjective, of course, and ever open to doubt. For example, scholars continue to debate attributions to the famous 17th-century Dutch painter Rembrandt van Rijn.

Sometimes a group of artists works in the same style at the same time and place. Art historians designate such a group as a *school*. “School” does not mean an educational institution or art academy. The term connotes only shared chronology, style, and geography. Art historians speak, for example, of the Dutch school of the 17th century and, within it, of subschools such as those of the cities of Haarlem, Utrecht, and Leyden.

WHO PAID FOR IT? The interest that many art historians show in attribution reflects their conviction that the identity of an artwork's maker is the major reason the object looks the way it does. For them, personal style is of paramount importance. But in many times and places, artists had little to say about what form their work would take. They toiled in obscurity, doing the bidding of their *patrons*, those who paid them to make individual works or employed them on a continuing basis. The role of patrons in dictating the content and shaping the form of artworks is also an important subject of art historical inquiry, more so today than at any time in the past.

In the art of portraiture, to name only one category of painting and sculpture, the patron has often played a dominant role in deciding how the artist represented the subject, whether that person was the patron or another individual, such as a spouse, son, or mother. Many Egyptian pharaohs and some Roman emperors, for example, insisted that artists depict them with unlined faces and perfect youthful bodies no matter how old they were when portrayed. In these cases, the state employed the sculptors and painters, and the artists had no choice but to portray their patrons in the officially approved manner. This is why Augustus, who lived to age 76, looks so young in his portraits (FIG. I-10). Although Roman emperor for more than 40 years, Augustus demanded that artists always represent him as a young, godlike head of state.

All modes of artistic production reveal the impact of patronage. Learned monks provided the themes for the sculptural decoration of medieval church portals (FIG. I-7). Renaissance princes and popes dictated the subject, size, and materials of artworks destined for display in buildings also constructed according to their specifications. An art historian could make a very long list of commissioned works, and it would indicate that patrons have had diverse tastes and needs throughout history and consequently have demanded different kinds of art. Whenever a patron contracts with an artist or architect to paint, sculpt, or build in a prescribed manner, personal style often becomes a very minor factor in the ultimate



I-10 Bust of Augustus wearing the corona civica, early first century CE. Marble, 1' 5" high. Glyptothek, Munich.

Patrons frequently dictate the form that their portraits will take. Emperor Augustus demanded that he always be portrayed as a young, godlike head of state even though he lived to age 76.

appearance of the painting, statue, or building. In these cases, the identity of the patron reveals more to art historians than does the identity of the artist or school. The portrait of Augustus illustrated here (FIG. I-10)—showing the emperor wearing a *corona civica*, or civic crown—was the work of a virtuoso sculptor, a master wielder of hammer and chisel. But scores of similar portraits of this Roman emperor also exist today. They differ in quality but not in kind from this one. The patron, not the artist, determined the character of these artworks. Augustus's public image never varied.

The Words Art Historians Use

As in all fields of study, art history has its own specialized vocabulary consisting of hundreds of words, but certain basic terms are indispensable for describing artworks and buildings of any time and place. They make up the essential vocabulary of *formal analysis*, the visual analysis of artistic form. Definitions and discussions of the most important art historical terms follow.

FORM AND COMPOSITION *Form* refers to an object's shape and structure, either in two dimensions (for example, a figure painted on a wood panel) or in three dimensions (such as a statue carved from a marble block). Two forms may take the same shape but differ in

their color, texture, and other qualities. *Composition* refers to how an artist *composes* (organizes) forms in an artwork, either by placing shapes on a flat surface or by arranging forms in space.

MATERIAL AND TECHNIQUE To create art forms, artists shape materials (pigment, clay, marble, gold, and many more) with tools (pens, brushes, chisels, and so forth). Each of the materials and tools available has its own potentialities and limitations. Part of all artists' creative activity is to select the *medium* and instrument most suitable to the purpose—or to develop new media and tools, such as bronze and concrete in antiquity and cameras and computers in modern times. The processes that artists employ, such as applying paint to canvas with a brush, and the distinctive, personal ways that they handle materials constitute their *technique*. Form, material, and technique interrelate and are central to analyzing any work of art.

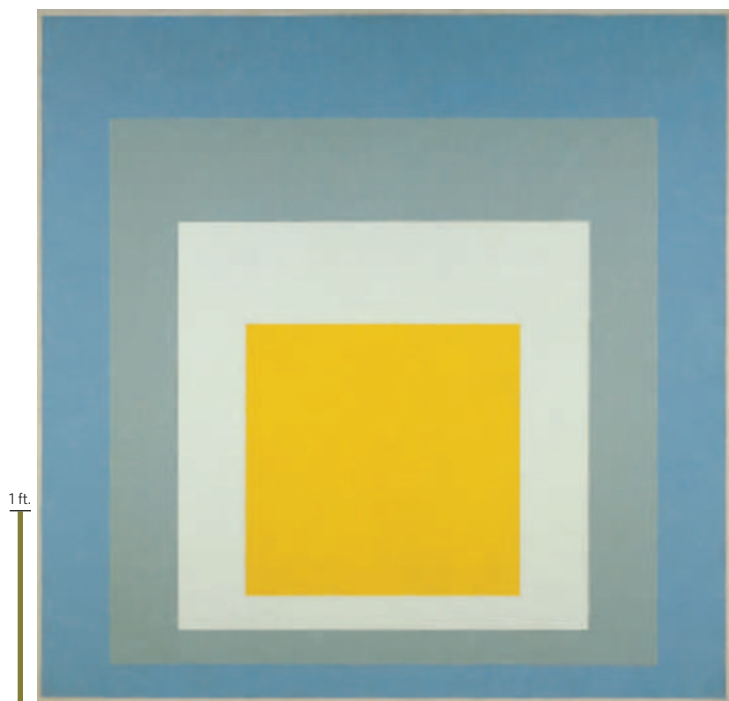
LINE Among the most important elements defining an artwork's shape or form is *line*. A line can be understood as the path of a point moving in space, an invisible line of sight. More commonly, however, artists and architects make a line visible by drawing (or chiseling) it on a *plane*, a flat surface. A line may be very thin, wirelike, and delicate. It may be thick and heavy. Or it may alternate quickly from broad to narrow, the strokes jagged or the outline broken. When a continuous line defines an object's outer shape, art historians call it a *contour line*. All of these line qualities are present in Dürer's *Four Horsemen of the Apocalypse* (FIG. I-9). Contour lines define the basic shapes of clouds, human and animal limbs, and weapons. Within the forms, series of short broken lines create shadows and textures. An overall pattern of long parallel strokes suggests the dark sky on the frightening day when the world is about to end.

COLOR Light reveals all colors. Light in the world of the painter and other artists differs from natural light. Natural light, or sunlight, is whole or *additive light*. As the sum of all the wavelengths composing the visible *spectrum*, it may be disassembled or fragmented into the individual colors of the spectral band. The painter's light in art—the light reflected from pigments and objects—is *subtractive light*. Paint pigments produce their individual colors by reflecting a segment of the spectrum while absorbing all the rest. Green pigment, for example, subtracts or absorbs all the light in the spectrum except that seen as green.

Hue is the property giving a color its name. Although the spectrum colors merge into each other, artists usually conceive of their hues as distinct from one another. Color has two basic variables—the apparent amount of light reflected and the apparent purity. A change in one must produce a change in the other. Some terms for these variables are *value* or *tonality* (the degree of lightness or darkness) and *intensity* or *saturation* (the purity of a color, its brightness or dullness).

Artists call the three basic colors—red, yellow, and blue—the *primary colors*. The *secondary colors* result from mixing pairs of primaries: orange (red and yellow), purple (red and blue), and green (yellow and blue). *Complementary colors* represent the pairing of a primary color and the secondary color created from mixing the two other primary colors—red and green, yellow and purple, and blue and orange. They “complement,” or complete, each other, one absorbing the colors that the other reflects.

Artists can manipulate the appearance of colors, however. One artist who made a systematic investigation of the formal aspects of art, especially color, was JOSEPH ALBERS (1888–1976), a German-born



I-11 JOSEF ALBERS, *Homage to the Square: "Ascending,"* 1953. Oil on composition board, 3' 7½" × 3' 7½". Whitney Museum of American Art, New York.

Albers created hundreds of paintings using the same composition but employing variations in hue, saturation, and value in order to reveal the relativity and instability of color perception.

artist who emigrated to the United States in 1933. In connection with his studies, Albers created the series *Homage to the Square*—hundreds of paintings, most of which are color variations on the same composition of concentric squares, as in the illustrated example (FIG. I-11). The series reflected Albers's belief that art originates in "the discrepancy between physical fact and psychic effect."¹ Because the composition in most of these paintings remains constant, the works succeed in revealing the relativity and instability of color perception. Albers varied the hue, saturation, and value of each square in the paintings in this series. As a result, the sizes of the squares from painting to painting appear to vary (although they remain the same), and the sensations emanating from the paintings range from clashing dissonance to delicate serenity. Albers explained his motivation for focusing on color juxtapositions:

They [the colors] are juxtaposed for various and changing visual effects. . . . Such action, reaction, interaction . . . is sought in order to make obvious how colors influence and change each other; that the same color, for instance—with different grounds or neighbors—looks different. . . . Such color deceptions prove that we see colors almost never unrelated to each other.²

TEXTURE The term *texture* refers to the quality of a surface, such as rough or shiny. Art historians distinguish between true texture—that is, the tactile quality of the surface—and represented texture, as when painters depict an object as having a certain texture even though the pigment is the true texture. Sometimes artists combine different materials of different textures on a single surface, juxtaposing paint with pieces of wood, newspaper, fabric, and so forth. Art historians refer to this mixed-media technique as *collage*. Texture

is, of course, a key determinant of any sculpture's character. People's first impulse is usually to handle a work of sculpture—even though museum signs often warn "Do not touch!" Sculptors plan for this natural human response, using surfaces varying in texture from rugged coarseness to polished smoothness. Textures are often intrinsic to a material, influencing the type of stone, wood, plastic, clay, or metal that a sculptor selects.

SPACE, MASS, AND VOLUME *Space* is the bounded or boundless "container" of objects. For art historians, space can be the real three-dimensional space occupied by a statue or a vase or contained within a room or courtyard. Or space can be *illusionistic*, as when painters depict an image (or illusion) of the three-dimensional spatial world on a two-dimensional surface.

Mass and *volume* describe three-dimensional objects and space. In both architecture and sculpture, mass is the bulk, density, and weight of matter in space. Yet the mass need not be solid. It can be the exterior form of enclosed space. Mass can apply to a solid Egyptian pyramid or stone statue; to a church, synagogue, or mosque (architectural shells enclosing sometimes vast spaces); and to a hollow metal statue or baked clay pot. Volume is the space that mass organizes, divides, or encloses. It may be a building's interior spaces, the intervals between a structure's masses, or the amount of space occupied by a three-dimensional object such as a statue, pot, or chair. Volume and mass describe both the exterior and interior forms of a work of art—the forms of the matter of which it is composed and the spaces immediately around the work and interacting with it.

PERSPECTIVE AND FORESHORTENING *Perspective* is one of the most important pictorial devices for organizing forms in space. Throughout history, artists have used various types of perspective to create an illusion of depth or space on a two-dimensional surface. The French painter CLAUDE LORRAIN (1600–1682) employed several perspective devices in *Embarkation of the Queen of Sheba* (FIG. I-1), a painting of a biblical episode set in a 17th-century European harbor with an ancient Roman ruin in the left foreground—an irrationally anachronistic combination that the art historian can explain only in the context of the cultural values of the artist's time and place. In Claude's painting, the figures and boats on the shoreline are much larger than those in the distance, because decreasing the size of an object makes it appear farther away. The top and bottom of the port building at the painting's right side are not parallel horizontal lines, as they are in a real building. Instead, the lines converge beyond the structure, leading the viewer's eye toward the hazy, indistinct sun on the horizon. These three perspective devices—the reduction of figure size, the convergence of diagonal lines, and the blurring of distant forms—have been familiar features of Western art since they were first employed by the ancient Greeks. It is important to state, however, that all kinds of perspective are only pictorial conventions, even when one or more types of perspective may be so common in a given culture that people accept them as "natural" or as "true" means of representing the natural world.

These perspective conventions are by no means universal. In *Waves at Matsushima* (FIG. I-12), a Japanese seascape painting on a six-part folding screen, OGATA KORIN (1658–1716) ignored these Western "tricks" for representing deep space on a flat surface. A Western viewer might interpret the left half of Korin's composition as depicting the distant horizon, as in the French painting, but the sky is an unnatural gold, and the clouds that fill that unnaturally colored sky are almost indistinguishable from the waves below.



I-12 OGATA KORIN, *Waves at Matsushima*, Edo period, ca. 1700–1716. Six-panel folding screen, ink, color, and gold leaf on paper, 4' 11 $\frac{1}{8}$ " $\times$ 12' 7 $\frac{7}{8}$ ". Museum of Fine Arts, Boston (Fenollosa-Weld Collection).

Asian artists rarely employed Western perspective (FIG. I-1). Korin was more concerned with creating an intriguing composition of shapes on a surface than with locating boulders, waves, and clouds in space.

The rocky outcroppings decrease in size with distance, but all are in sharp focus, and there are no shadows. The Japanese artist was less concerned with locating the boulders and waves and clouds in space than with composing shapes on a surface, playing the swelling curves of waves and clouds against the jagged contours of the rocks. Neither the French nor the Japanese painting can be said to project “correctly” what viewers “in fact” see. One painting is not a “better” picture of the world than the other. The European and Asian artists simply approached the problem of picture making differently.

Artists also represent single figures in space in varying ways. When Flemish artist PETER PAUL RUBENS (1577–1640) painted *Lion*

Hunt (FIG. I-13), he used *foreshortening* for all the hunters and animals—that is, he represented their bodies at angles to the picture plane. When in life one views a figure at an angle, the body appears to contract as it extends back in space. Foreshortening is a kind of perspective. It produces the illusion that one part of the body is farther away than another, even though all the painted forms are on the same plane. Especially noteworthy in *Lion Hunt* are the gray horse at the left, seen from behind with the bottom of its left rear hoof facing viewers and most of its head hidden by its rider's shield, and the fallen hunter at the painting's lower right corner, whose barely visible legs and feet recede into the distance.



I-13 PETER PAUL RUBENS, *Lion Hunt*, 1617–1618. Oil on canvas, 8' 2" $\times$ 12' 5". Alte Pinakothek, Munich.

Foreshortening—the representation of a figure or object at an angle to the picture plane—is a common device in Western art for creating the illusion of depth. Foreshortening is a type of perspective.



I-14 Hesire, relief from his tomb at Saqqara, Egypt, Dynasty III, ca. 2650 BCE. Wood, 3' 9" high. Egyptian Museum, Cairo.

Egyptian artists combined frontal and profile views to give a precise picture of the parts of the human body, as opposed to depicting how an individual body appears from a specific viewpoint.

The artist who carved the portrait of the ancient Egyptian official Hesire (FIG. I-14) for display in Hesire's tomb did not employ foreshortening. That artist's purpose was to present the various human body parts as clearly as possible, without overlapping. The lower part of Hesire's body is in profile to give the most complete view of the legs, with both the heel and toes of each foot visible. The frontal torso, however, allows viewers to see its full shape, including both shoulders, equal in size, as in nature. (Compare the shoulders of the hunter on the gray horse or those of the fallen hunter in *Lion Hunt's* left foreground.) The result—an "unnatural" 90-degree twist at the waist—provides a precise picture of human body parts, if not an accurate picture of how a standing human figure really looks. Rubens and the Egyptian sculptor used very different means of depicting forms in space. Once again, neither is the "correct" manner.

PROPORTION AND SCALE *Proportion* concerns the relationships (in terms of size) of the parts of persons, buildings, or objects. People can judge "correct proportions" intuitively ("that statue's head

seems the right size for the body"). Or proportion can be a mathematical relationship between the size of one part of an artwork or building and the other parts within the work. Proportion in art implies using a *module*, or basic unit of measure. When an artist or architect uses a formal system of proportions, all parts of a building, body, or other entity will be fractions or multiples of the module. A module might be the diameter of a *column*, the height of a human head, or any other component whose dimensions can be multiplied or divided to determine the size of the work's other parts.

In certain times and places, artists have devised *canons*, or systems, of "correct" or "ideal" proportions for representing human figures, constituent parts of buildings, and so forth. In ancient Greece, many sculptors formulated canons of proportions so strict and all-encompassing that they calculated the size of every body part in advance, even the fingers and toes, according to mathematical ratios.

Proportional systems can differ sharply from period to period, culture to culture, and artist to artist. Part of the task that art history students face is to perceive and adjust to these differences. In fact, many artists have used disproportion and distortion deliberately for expressive effect. In the medieval French depiction of the weighing of souls on judgment day (FIG. I-7), the devilish figure yanking down on the scale has distorted facial features and stretched, lined limbs with animal-like paws for feet. Disproportion and distortion make him appear "inhuman," precisely as the sculptor intended.

In other cases, artists have used disproportion to focus attention on one body part (often the head) or to single out a group



I-15 Altar to the Hand (ikegobo), from Benin, Nigeria, ca. 1735–1750. Bronze, 1' 5 1/2" high. British Museum, London (gift of Sir William Ingram).

One of the Benin king's praise names is Great Head, and on this cast-bronze royal altar, the artist represented him larger than all other figures and with a disproportionately large head.

member (usually the leader). These intentional “unnatural” discrepancies in proportion constitute what art historians call *hierarchy of scale*, the enlarging of elements considered the most important. On the bronze altar from Benin, Nigeria, illustrated here (FIG. I-15), the sculptor varied the size of each figure according to the person’s social status. Largest, and therefore most important, is the Benin king, depicted twice, each time flanked by two smaller attendant figures and shown wearing a multistrand coral necklace emblematic of his high office. The king’s head is also disproportionately large compared to his body, consistent with one of the Benin ruler’s praise names: Great Head.

One problem that students of art history—and professional art historians too—confront when studying illustrations in art history books is that although the relative sizes of figures and objects in a painting or sculpture are easy to discern, it is impossible to determine the absolute size of the work reproduced because they all are printed at approximately the same size on the page. Readers of *Art through the Ages* can learn the exact size of all artworks from the dimensions given in the captions and, more intuitively, from the scales positioned at the lower left or right corner of each illustration.

CARVING AND CASTING Sculptural technique falls into two basic categories, *subtractive* and *additive*. *Carving* is a subtractive technique. The final form is a reduction of the original mass of a block of stone, a piece of wood, or another material. Wood statues were once



I-16 MICHELANGELO BUONARROTI, unfinished statue, 1527–1528. Marble, 8' 7½" high. Galleria dell'Accademia, Florence.

Carving a freestanding figure from stone or wood is a subtractive process. Michelangelo thought of sculpture as a process of “liberating” the statue contained within the block of marble.



I-17 Head of a warrior, detail of a statue (FIG. 5-36) from the sea off Riace, Italy, ca. 460–450 BCE. Bronze, full statue 6' 6" high. Museo Archeologico Nazionale, Reggio Calabria.

The sculptor of this life-size statue of a bearded Greek warrior cast the head, limbs, torso, hands, and feet in separate molds, then welded the pieces together and added the eyes in a different material.

tree trunks, and stone statues began as blocks pried from mountains. The unfinished marble statue illustrated here (FIG. I-16) by renowned Italian artist MICHELANGELO BUONARROTI (1475–1564) clearly reveals the original shape of the stone block. Michelangelo thought of sculpture as a process of “liberating” the statue within the block. All sculptors of stone or wood cut away (subtract) “excess material.” When they finish, they “leave behind” the statue—in this example, a twisting nude male form whose head Michelangelo never freed from the stone block.

In additive sculpture, the artist builds up the forms, usually in clay around a framework, or *armature*. Or a sculptor may fashion a *mold*, a hollow form for shaping, or *casting*, a fluid substance such as bronze or plaster. The ancient Greek sculptor who made the bronze statue of a warrior found in the sea near Riace, Italy, cast the head (FIG. I-17) as well as the limbs, torso, hands, and feet (FIG. 5-36) in separate molds and then *welded* them together (joined them by heating). Finally, the artist added features, such as the pupils of the eyes (now missing), in other materials. The warrior’s teeth are silver, and his lower lip is copper.

RELIEF SCULPTURE Statues and busts (head, shoulders, and chest) that exist independent of any architectural frame or setting and that viewers can walk around are *freestanding sculptures*, or *sculptures in the round*, whether the artist produced the piece by carving (FIG. I-10) or casting (FIG. I-17). In *relief sculpture*, the subjects

project from the background but remain part of it. In *high-relief* sculpture, the images project boldly. In some cases, such as the medieval weighing-of-souls scene (FIG. I-7), the *relief* is so high that not only do the forms cast shadows on the background, but some parts are even in the round, which explains why some pieces—for example, the arms of the scales—broke off centuries ago. In *low-relief*, or *bas-relief*, sculpture, such as the portrait of Hesire (FIG. I-14), the projection is slight. Artists can produce relief sculptures, as they do sculptures in the round, either by carving or casting. The altar from Benin (FIG. I-15) is an example of bronze-casting in high relief (for the figures on the cylindrical altar) as well as in the round (for the king and his two attendants on the top).

ARCHITECTURAL DRAWINGS Buildings are groupings of enclosed spaces and enclosing masses. People experience architecture both visually and by moving through and around it, so they perceive architectural space and mass together. These spaces and masses can be represented graphically in several ways, including as plans, sections, elevations, and cutaway drawings.

A *plan*, essentially a map of a floor, shows the placement of a structure's masses and, therefore, the spaces they circumscribe and enclose. A *section*, a kind of vertical plan, depicts the placement of the masses as if someone cut through the building along a plane. Drawings showing a theoretical slice across a structure's width are *lateral sections*. Those cutting through a building's length are *longitudinal sections*. Illustrated here are the plan and lateral section of Beauvais Cathedral (FIG. I-18), which readers can compare with the photograph of the church's choir (FIG. I-3). The plan shows the choir's shape and the location of the *piers* dividing the *aisles* and supporting the *vaults* above, as well as the pattern of the crisscrossing vault *ribs*. The lateral section shows not only the interior of the choir with its vaults and tall *stained-glass* windows but also the structure of the roof and the form of the exterior *flying buttresses* holding the vaults in place.

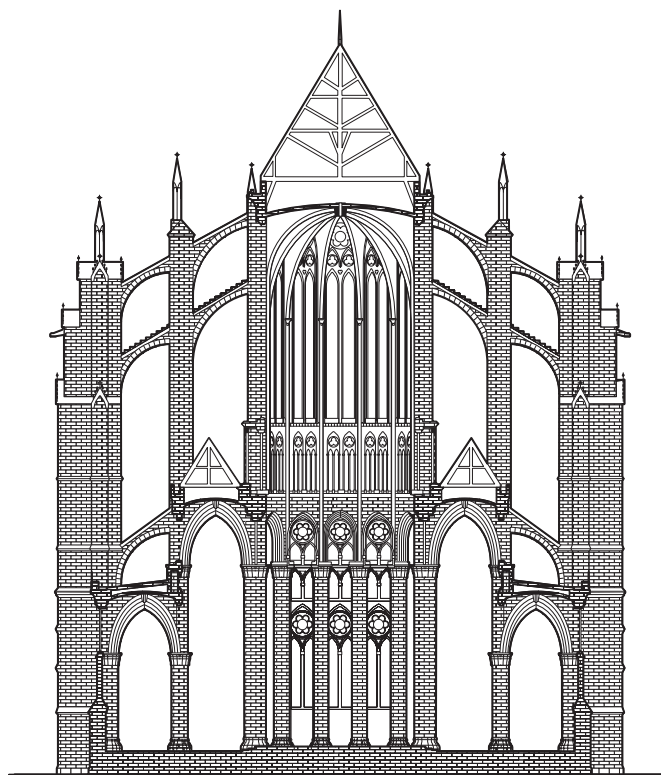
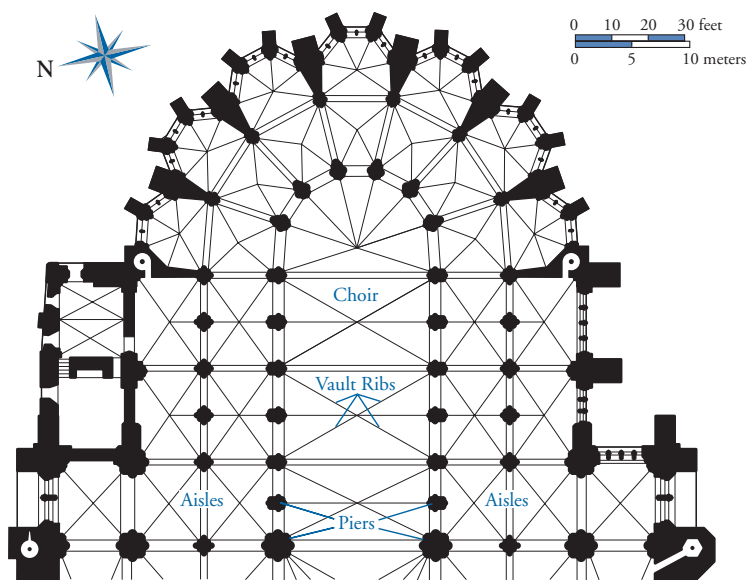
Other types of architectural drawings appear throughout this book. An *elevation* drawing is a head-on view of an external or internal wall. A *cutaway* combines in a single drawing an exterior view with an interior view of part of a building.

This overview of the art historian's vocabulary is not exhaustive, nor have artists used only painting, drawing, sculpture, and architecture as media over the millennia. Ceramics, jewelry, textiles, photography, and computer graphics are just some of the numerous other arts. All of them involve highly specialized techniques described in distinct vocabularies. As in this introductory chapter, new terms are in *italics* when they first appear. The comprehensive Glossary at the end of the book contains definitions of all italicized terms.

Art History and Other Disciplines

By its very nature, the work of art historians intersects with the work of others in many fields of knowledge, not only in the humanities but also in the social and natural sciences. Today, art historians must go beyond the boundaries of what the public and even professional art historians of previous generations traditionally considered the specialized discipline of art history. In short, art historical research in the 21st century is typically interdisciplinary in nature. To cite one example, in an effort to unlock the secrets of a particular statue, an art historian might conduct archival research hoping to uncover new documents shedding light on who paid for the work and why, who made it and when, where it originally stood, how people of the time viewed it, and a host of other questions. Realizing, however, that the authors of the written documents often were not objective recorders of fact but observers with their own biases and agendas, the art historian may also use methodologies developed in such fields as literary criticism, philosophy, sociology, and gender studies to weigh the evidence that the documents provide.

At other times, rather than attempting to master many disciplines at once, art historians band together with other specialists in multidisciplinary inquiries. Art historians might call in chemists to date an artwork based on the composition of the materials used, or might



I-18 Plan (left) and lateral section (right) of Beauvais Cathedral, Beauvais, France, rebuilt after 1284.

Architectural drawings are indispensable aids for the analysis of buildings. Plans are maps of floors, recording the structure's masses. Sections are vertical "slices" across a building's width or length.

ask geologists to determine which quarry furnished the stone for a particular statue. X-ray technicians might be enlisted in an attempt to establish whether a painting is a forgery. Of course, art historians often reciprocate by contributing their expertise to the solution of problems in other disciplines. A historian, for example, might ask an art historian to determine—based on style, material, iconography, and other criteria—if any of the portraits of a certain king date after his death. Such information would help establish the ruler's continuing prestige during the reigns of his successors. Some portraits of Augustus (FIG. I-10), the founder of the Roman Empire, postdate his death by decades, even centuries, as do the portraits of several deceased U.S. presidents on coins and paper currency produced today.

DIFFERENT WAYS OF SEEING

The history of art can be a history of artists and their works, of styles and stylistic change, of materials and techniques, of images and themes and their meanings, and of contexts and cultures and patrons. The best art historians analyze artworks from many viewpoints. But no art historian (or scholar in any other field), no matter how broad-minded in approach and no matter how experienced, can be truly objective. Like the artists who made the works illustrated and discussed in this book, art historians are members of a society, participants in its culture. How can scholars (and museum visitors and travelers to foreign locales) comprehend cultures unlike their own? They can try to reconstruct the original cultural contexts of artworks, but they are limited by their distance from the thought patterns of the cultures they study and by the obstructions to understanding—the assumptions, presuppositions, and prejudices peculiar to their own culture—that their own thought patterns raise. Art historians may reconstruct a distorted picture of the past because of culture-bound blindness.

A single instance underscores how differently people of diverse cultures view the world and how various ways of seeing can result in sharp differences in how artists depict the world. Illustrated here are two contemporaneous portraits of a 19th-century Maori chieftain (FIG. I-19)—one by an Englishman, JOHN SYLVESTER (active early 19th century), and the other by the New Zealand chieftain himself, TE PEHI KUPE (d. 1829). Both reproduce the chieftain's facial *tattoo*. The European artist (FIG. I-19, left) included the head and shoulders and downplayed the tattooing. The tattoo pattern is one aspect of the likeness among many, no more or less important than the chieftain's European attire. Sylvester also recorded his subject's momentary glance toward the right and the play of light on his hair, fleeting aspects having nothing to do with the figure's identity.

In contrast, Te Pehi Kupe's self-portrait (FIG. I-19, right)—made during a trip to Liverpool, England, to obtain European arms to take back to New Zealand—is not a picture of a man situated in space and bathed in light. Rather, it is the chieftain's statement of the supreme importance of the tattoo design announcing his rank among his people. Remarkably, Te Pehi Kupe created the tattoo patterns from memory, without the aid of a mirror. The splendidly composed insignia, presented as a flat design separated from the body and even from the head, is Te Pehi Kupe's image of himself. Only by understanding the cultural context of each portrait can art historians hope to understand why either representation appears as it does.

As noted at the outset, the study of the context of artworks and buildings is one of the central concerns of art historians. *Art through the Ages* seeks to present a history of art and architecture that will help readers understand not only the subjects, styles, and techniques of paintings, sculptures, buildings, and other art forms created in all parts of the world during 30 millennia but also their cultural and historical contexts. That story now begins.



I-19 Left: JOHN HENRY SYLVESTER, *Portrait of Te Pehi Kupe*, 1826. Watercolor, $8\frac{1}{4} \times 6\frac{1}{4}$ ". National Library of Australia, Canberra (Rex Nan Kivell Collection). Right: TE PEHI KUPE, *Self-Portrait*, 1826. From Leo Frobenius, *The Childhood of Man: A Popular Account of the Lives, Customs and Thoughts of the Primitive Races* (Philadelphia: J. B. Lippincott, 1909), 35, fig. 28.

These strikingly different portraits of the same Maori chief reveal the different ways of seeing by a European artist and an Oceanic one. Understanding the cultural context of artworks is vital to art history.

▼ **1-1a** The species of animals depicted in the cave paintings of France and Spain are not among those that Paleolithic humans typically consumed as food. The meaning of these paintings remains a mystery.



▲ **1-1b** The Lascaux animals are inconsistent in size and move in different directions. Some are colored silhouettes, others are outline drawings. They were probably painted at different times by different painters.



1-1

Left wall of the Hall of the Bulls in the cave at Lascaux, France, ca. 16,000-14,000 BCE. Largest bull 11' 6" long.



▲ **1-1c** Prehistoric painters consistently represented animals in strict profile, the only view showing the head, body, tail, and all four legs. But at Lascaux, both horns are included to give a complete picture of the bull.

Art in the Stone Age

THE DAWN OF ART

The Old Stone Age, or *Paleolithic* period (from the Greek *paleo*, “old,” and *lithos*, “stone”), which began around 40,000 BCE, was arguably the most important era in the entire history of art. It was then that humans invented the concept of recording the world around them in pictures, often painted on or carved into the walls of caves.

The oldest and best-known painted caves are in southern France and northern Spain (MAP 1-1). The most famous is the cave at Lascaux. More than 17,000 years ago, prehistoric painters covered many of the walls of the cave with images of animals. The main chamber (FIG. 1-1), nicknamed the Hall of the Bulls, is an unusually large space and easily accessible, but many of the paintings at Lascaux and in other caves are almost impossible to reach. Even in the Hall of the Bulls, the people who congregated there could only have viewed the paintings in the flickering light of a primitive lamp. The representations of animals cannot have been merely decorative, but what meaning they carried for those who made and viewed them remains a mystery. Bulls and horses, the most commonly depicted species, were not diet staples in the Old Stone Age. Why, then, did the painters choose to represent these particular animals? In the absence of written records, no one will ever know.

Art historians can, however, learn a great deal about the working methods and conceptual principles of the world’s first artists by closely studying the Lascaux paintings and others like them. The immediate impression that a modern viewer gets of a rapidly moving herd is almost certainly false. The “herd” consists of several different kinds of animals of various sizes moving in different directions. Also, two fundamentally different approaches to picture making are on display. Many of the animals are colored silhouettes, whereas others are outline drawings. These differences in style and technique suggest that different painters created the images at different times, perhaps over the course of generations.

Nonetheless, all prehistoric representations of animals for thousands of years depict the beasts in the same way: in strict profile, the only view of an animal wherein the head, body, tail, and all four legs are visible. The Lascaux painters, however, showed the bulls’ horns from the front, not in profile, because two horns are part of the concept “bull.” Only much later in the history of art did painters become concerned with how to depict animals and people from a fixed viewpoint or develop an interest in recording the environment around the figures. The paintings created at the dawn of art are in many ways markedly different in kind from all that followed.

PALEOLITHIC ART

Humankind originated in Africa in the very remote past. From that great continent also has come the earliest evidence of human recognition of pictorial images in the natural environment—a three-million-year-old pebble (FIG. 1-1A) found at Makapansgat in South Africa. The first examples of what people generally call “art” are much more recent, however. They date to around 40,000 to 30,000 BCE during the Paleolithic period. This era was of unparalleled importance in human history and in the history of art. It was during the Old Stone Age that humans first consciously manufactured pictorial images. The works the earliest artists produced are remarkable not simply for their existence but also for their astonishing variety. They range from simple shell necklaces to human and animal forms in ivory, clay, and stone to life-size mural (wall) paintings and sculptures in caves. During the Paleolithic period, humankind went beyond the recognition of human and animal forms in the natural environment to the representation (literally, the presenting again—in different and substitute form—of something observed) of humans and animals. The immensity of this achievement cannot be overstated.



1-1A Pebble resembling a face, Makapansgat, ca. 3,000,000 BCE.



MAP 1-1 Stone Age sites in Europe.

Africa

Some of the earliest paintings yet discovered come from Africa, but unlike the paintings in European caves most people think of as synonymous with Paleolithic art, the oldest African paintings were portable objects.

APOLLO 11 CAVE Between 1969 and 1972, scientists working in the Apollo 11 Cave in Namibia (MAP 19-1) found seven fragments of painted stone plaques, including four or five recognizable images of animals. In most cases, including the example illustrated here (FIG. 1-2), the species is uncertain, but the painters always rendered the forms with care—and in the identical way (see “How to Represent an Animal,” page 17). One plaque depicts a striped beast, possibly a zebra. The approximate date of the charcoal from the archaeological layer containing the Namibian plaques is 23,000 BCE.

Europe

Even older than the Namibian painted plaques are some of the first sculptures and paintings of western Europe (MAP 1-1), although examples of still greater antiquity may yet be found in Africa.

HOHLENSTEIN-STADEL One of the oldest sculptures ever discovered is an extraordinary ivory statuette (FIG. 1-3), which may date back as far as 40,000 BCE. Found in 1939 in fragments inside a cave at Hohlenstein-Stadel in Germany, the statuette, carved from the tusk of a woolly mammoth, is nearly a foot tall—a truly huge image for its era. Long thought to have been created about 30,000 years ago, the recent discovery of hundreds of additional tiny fragments has pushed the date back about 10,000 years based on radiocarbon dating of the bones found in the same excavation level. (Radiocarbon dating is a measure of the rate of degeneration of carbon 14 in organic materials.) The statuette thus testifies to a very early date for the development of the human brain, because the subject of the work is not something the Paleolithic sculptor could see and copy but something that existed only in the artist’s vivid imagination. The ivory figurine represents a human (whether male or female cannot be determined) with a feline (lion?) head. Composite creatures with animal heads and human bodies (and vice versa) are familiar in the art of ancient Mesopotamia and Egypt (compare, for example, FIGS. 2-10 and 3-1). In those civilizations, surviving texts usually enable historians to name the figures and describe their role



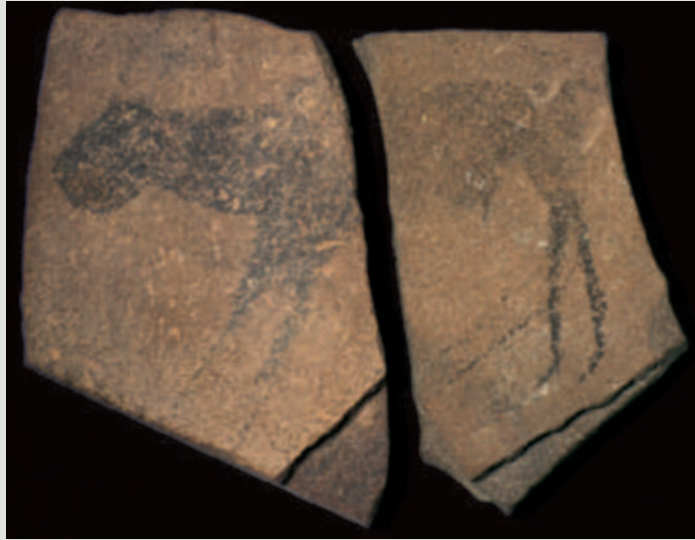
ART IN THE STONE AGE

40,000–20,000 BCE Early Paleolithic ■ Hunter-gatherers create the first sculptures and paintings, long before the invention of writing ■ The works range in scale from tiny figurines, such as the <i>Venus of Willendorf</i>, to life-size paintings and relief sculptures, such as the painted horses in the cave at Pech-Merle	20,000–9000 BCE Later Paleolithic ■ Painters cover the walls and ceilings of caves at Altamira and Lascaux with profile representations of animals ■ Sculptors carve images of nude women on the walls of the cave at La Magdeleine	9000–8000 BCE Mesolithic	8000–5000 BCE Early Neolithic ■ In Anatolia and Mesopotamia, the earliest settled communities take shape and agriculture begins ■ Neolithic builders erect stone towers and fortification walls at Jericho ■ Sculptors fashion large-scale painted plaster human figures at Ain Ghazal ■ Painters depict coherent narratives and employ composite views for human figures at Çatal Höyük	5000–2300 BCE Later Neolithic ■ Neolithic builders in Ireland and Britain erect megalithic passage graves and henges ■ The stone temples of Malta incorporate sophisticated curved and rectilinear forms
---	---	--	--	--

PROBLEMS AND SOLUTIONS

How to Represent an Animal

Like every artist in every age in every medium, the Paleolithic painter of the animal plaque (FIG. 1-2) found in the Apollo 11 Cave in Namibia had to answer two questions before beginning work: *What* shall be my subject? *How* shall I represent it? In Paleolithic art, the almost universal answer to the first question was an animal. Bison, horse, woolly



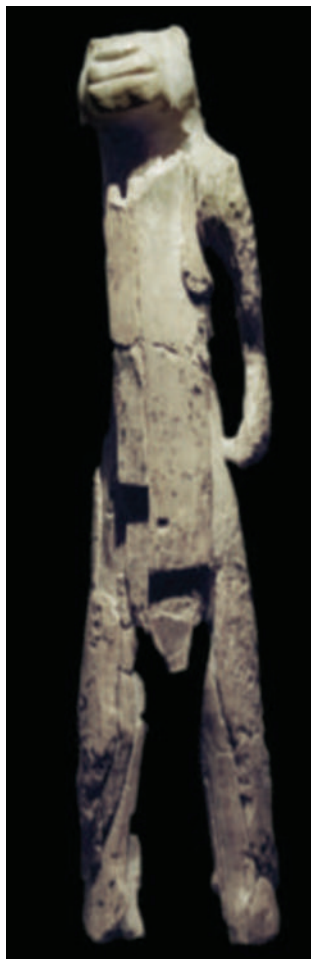
mammoth, and ibex are the most common. In fact, Paleolithic painters and sculptors depicted humans infrequently, and men almost never. In equally stark contrast to today's world, there was also agreement on the best answer to the second question. During at least the first 20,000 years of the history of art, artists represented virtually every animal in every painting in the same manner: in strict profile. Why?

The profile is the only view of an animal in which the head, body, tail, and all four legs are visible. The frontal view conceals most of the body, and a three-quarter view shows neither the front nor side fully. Only the profile view is completely informative about the animal's shape, and that is why Stone Age painters universally chose it.

A very long time passed before artists placed any premium on "variety" or "originality" either in subject choice or in representational manner. These are quite modern notions in the history of art. The aim of the earliest painters was to create a convincing image of their subject, a kind of pictorial definition of the animal capturing its very essence, and only the profile view met their needs.

1-2 Animal facing left, from the Apollo 11 Cave, Namibia, ca. 23,000 BCE. Charcoal on stone, 5" × 4 $\frac{1}{4}$ ". State Museum of Namibia, Windhoek.

As in almost all paintings for thousands of years, in this very early example from Africa, the painter represented the animal in strict profile so that the head, body, tail, and all four legs are clearly visible.



1-3 Human with feline (lion?) head, from Hohlenstein-Stadel, Germany, ca. 40,000–35,000 BCE. Woolly mammoth ivory, 11 $\frac{5}{8}$ " high. Ulmer Museum, Ulm.

One of the world's oldest preserved sculptures is this large ivory figure of a human with a feline head. It is uncertain whether the work depicts a composite creature or a human wearing an animal mask.

in religion and mythology. But for Stone Age representations, no one knows what their makers had in mind. Some scholars identify the animal-headed humans as sorcerers, whereas others describe them as magicians wearing masks. Similarly, some researchers have interpreted Paleolithic representations of human-headed animals as humans wearing animal skins. In the absence of any contemporaneous written explanations—this was a time before writing, before history—experts and amateurs alike can only

speculate on the purpose and function of statuettes such as the one from Hohlenstein-Stadel.

Art historians are certain, however, that these sculptures were important to those who created them, because manufacturing an ivory figure, especially one a foot tall, was a complicated process. First, the hunter or the sculptor had to remove the tusk from the dead animal by cutting into the tusk where it joined the head. Then the sculptor cut the ivory to the desired size and rubbed it into its approximate final shape with sandstone. Finally, the carver used a sharp stone blade to shape the body, limbs, and head, and a stone *burin* (a pointed *engraving* tool) to *incise* (scratch or engrave) lines into the surfaces, as on the Hohlenstein-Stadel creature's arms. Experts estimate that this large figurine required about 400 hours (about two months of uninterrupted working days) of skilled work.

WILLENDORF The composite feline-human from Germany is exceptional both for its early date and its subject. The vast majority of Stone Age sculptures depict either animals or humans. In the earliest art, humankind consists almost exclusively of women as opposed to men. Paleolithic painters and sculptors almost invariably showed them nude, although historians generally assume that during the Ice Age both women and men wore garments covering parts of their bodies. When archaeologists first encountered these statuettes of women, they dubbed them "Venuses" after the Greco-Roman goddess of beauty and love, whom later artists usually depicted nude. The nickname is inappropriate and misleading. Indeed, it is doubtful that the Paleolithic figurines represent deities of any kind.

One of the oldest and most famous Paleolithic female images is the tiny limestone figurine of a woman that long ago became known



1-4 Nude woman (*Venus of Willendorf*), from Willendorf, Austria, ca. 28,000–25,000 BCE. Limestone, 4 $\frac{1}{4}$ " high. Naturhistorisches Museum, Vienna.

The anatomical exaggerations in this tiny figurine from Willendorf are typical of Paleolithic representations of women, whose child-bearing capabilities ensured the survival of the species.

as the *Venus of Willendorf* (FIG. 1-4) after its *findspot* (place of discovery) in Austria. Its cluster of almost ball-like shapes is unusual, the result in part of the sculptor's response to the natural shape of the stone selected for carving. The anatomical exaggeration has suggested to many observers that this and similar statuettes served as fertility images. But other Paleolithic figurines depicting women with far more slender proportions exist, and the meaning of these images is as elusive as everything else about the world's earliest art. Yet the preponderance of female over male figures seems to indicate a preoccupation with women, whose child-bearing capabilities ensured the survival of the species.



1-4A Head of a woman(?), Brassempouy, ca. 25,000–20,000 BCE.

One thing at least is clear: the *Venus of Willendorf* sculptor did not aim for *naturalism* (fidelity to nature) in shape and proportion. As is true of most Paleolithic figures, the sculptor of this woman did not carve any facial features. A similar but even smaller ivory figurine found in 2008 in a cave at Hohle Fels, near Ulm, Germany, contemporaneous with or perhaps even several thousand years older than the Hohlenstein-Stadel statuette, lacks any head at all. The ivory head (FIG. 1-4A) of a woman from Brassempouy, France, is a notable exception. The carver

of the Willendorf figurine suggested only a mass of curly hair or, as some researchers have argued, a hat woven from plant fibers—evidence for the art of textile manufacture at a very early date. In either case, the emphasis is on female anatomy. The breasts of the Willendorf woman are enormous, far larger in proportion than the tiny forearms and hands resting on them. The carver also took pains to scratch into the stone the outline of the pubic triangle. Sculptors often omitted this detail in other early figurines, leading some scholars to question the function of these figures as fertility images. Whatever the purpose of these statuettes, the makers' intent seems to have been to represent not a specific woman but the female form.

LAUSSEL Probably later in date than the *Venus of Willendorf* is a female figure (FIG. 1-5) from Laussel in France. The Willendorf and Hohlenstein-Stadel figures are *sculptures in the round* (*freestanding sculptures*). The Laussel woman is one of the earliest *relief sculptures* known. The sculptor employed a stone *chisel* to cut into the relatively flat surface of a large rock in order to create an image projecting from the background. Today, the Laussel relief is on display in a museum, divorced from its original context, a detached piece of what once was a much more imposing work. When discovered,



1-5 Woman holding a bison horn, from Laussel, France, ca. 25,000–20,000 BCE. Painted limestone, 1' 6" high. Musée d'Aquitaine, Bordeaux.

One of the oldest known relief sculptures depicts a woman who holds a bison horn and whose left arm draws attention to her belly. Scholars continue to debate the meaning of the gesture and the horn.



1-6 Two bison, reliefs in the cave at Le Tuc d'Audoubert, France, ca. 15,000–10,000 BCE. Clay, right bison 2' $\frac{7}{8}$ " long.

Representations of animals are far more common than those of humans in Paleolithic art. The sculptor built up these clay bison using a stone spatula-like smoothing tool and fingers to shape the details.

bison (FIG. 1-6) in clay against a large, irregular freestanding rock. The two bison, like the much older painted animal (FIG. 1-2) from the Apollo 11 Cave, are in strict profile. Each is about 2 feet long. They are among the largest Paleolithic sculptures known. The sculptor brought the clay from elsewhere in the cave complex and used both hands to form the overall shape of the animals. The next step was to smooth the surfaces with a spatula-like tool. Finally, the sculptor used fingers to shape the eyes, nostrils, mouths, and manes. The cracks in the two reliefs resulted from the drying process and probably appeared within days of the clay sculptures' completion.

the Laussel woman (who is about 1½ feet tall, more than four times larger than the Willendorf statuette) was part of a great stone block measuring about 140 cubic feet. The carved block stood in the open air in front of a Paleolithic rock shelter. Rock shelters were a common type of dwelling for early humans, along with huts and the mouths of caves. The Laussel relief is one of many examples of open-air art in the Old Stone Age. The popular notions that early humans dwelled exclusively in caves and that all Paleolithic art comes from mysterious dark caverns are false. Reliefs depicting nude women do, however, occur inside Old Stone Age caves. Perhaps the most interesting is the pair of reclining nude women (FIG. 1-5A) on the wall of a corridor in a cave at La Magdeleine, France.



1-5A Reclining woman, La Magdeleine, ca. 12,000 BCE.

After chiseling out the female body and incising the details with a sharp burin, the Laussel sculptor applied red ocher, a naturally colored mineral, to the body. (Traces of red ocher coloration also remain on parts of the *Venus of Willendorf*.) Contrary to modern misconceptions, ancient artists usually painted stone sculptures (compare FIG. 5-63A). The Laussel woman has the same bulbous body as the earlier Willendorf figurine, with a similar exaggeration of the breasts, abdomen, and hips. The head is once again featureless, but the arms have taken on greater importance. The left arm draws attention to the midsection and pubic area, and the raised right hand holds what most scholars identify as a bison horn with 13 incised lines. Scholars continue to debate the meaning of the horn and its incisions as well as the gesture of the left hand.

LE TUC D'AUDOUBERT Paleolithic sculptors sometimes created reliefs by building up forms out of clay instead of cutting into stone blocks or cave walls. Sometime 12,000 to 17,000 years ago in the low-ceilinged circular space at the end of a succession of cave chambers at Le Tuc d'Audoubert, a master sculptor modeled a pair of

LA MADELEINE As already noted, sculptors fashioned the ivory tusks of woolly mammoths into human (FIG. 1-4A), animal, and composite human-animal (FIG. 1-3) forms from very early times. Stone Age carvers also used antlers as a sculptural medium. The bison (FIG. 1-7) found at La Madeleine in France is what remains of a spear-thrower carved from a reindeer antler. Although only 4 inches long, the engraved antler is more detailed than the two much larger bison at Le Tuc d'Audoubert. The sculptor used a sharp burin to incise lines for the Madeleine bison's mane, horns, eye, ear, nostrils, mouth, tongue, and facial hair. Especially interesting is the engraver's decision to represent the bison with its head turned and licking its flank. The small size and irregular shape of the reindeer horn, rather than a desire to record a characteristic anecdotal activity,



1-7 Bison licking its flank, fragmentary spear-thrower, from La Madeleine, France, ca. 12,000 BCE. Reindeer horn, 4 $\frac{1}{8}$ " long. Musée d'Archéologie nationale, Saint-Germain-en-Laye.

This fragment of a spear-thrower was carved from a reindeer antler. The sculptor turned the bison's head a full 180 degrees to maintain the profile view and incised the details with a stone burin.

PROBLEMS AND SOLUTIONS

Painting in the Dark

The caves of Altamira (FIG. 1-8), Lascaux (FIGS. 1-1, 1-9A, and 1-10), and other sites in prehistoric Europe are a few hundred to several thousand feet long. They are often choked, sometimes almost impassably, by mineral deposits, such as stalactites and stalagmites. Far inside these caverns, well removed from the cave mouths early humans often chose for habitation, painters sometimes made pictures on the walls and ceilings. How did the world's first *muralists* paint bison and other animals on surfaces far from any source of natural light? What tools and materials did the Paleolithic painters of France and Spain use, and how did they make them?

To illuminate the cave walls and ceilings while working, Paleolithic painters lit fires on cave floors and used stone lamps filled with marrow or fat, with a wick, perhaps of moss, as well as simple torches. For drawing, they used chunks of charcoal and red and yellow ochre. For painting, they ground these same natural materials into powders that they mixed with water before applying. Recent analyses of the pigments used show that Paleolithic painters employed many different minerals, attesting to a technical sophistication surprising at so early a date. Large flat stones served as *palettes*. The painters made brushes from reeds, bristles, or twigs and may have used a blowpipe of reed or hollow bone to spray pigments on out-of-reach surfaces. Some caves have natural ledges on the rock walls upon which the painters could have stood in order to reach the upper surfaces of the naturally formed chambers and corridors. One gallery wall in the Lascaux cave complex has holes that once probably anchored a scaffold made of saplings lashed together.

Despite the difficulty of making the tools and pigments, modern attempts at replicating the techniques of Paleolithic painting have demonstrated that skilled workers could cover large surfaces with images in less than a day.



1-8 Bison, detail of a painted ceiling in the cave at Altamira, Spain, ca. 13,000–11,000 BCE. Standing bison 5' 2½" long.

Paleolithic painters used stone lamps to provide light in the dark caves. They made brushes from reeds and twigs or used reed or bone blowpipes to spray ground ochre pigments onto out-of-reach surfaces.

may have been the primary motivation for this space-saving device. Whatever the reason, it is noteworthy that the sculptor turned the neck a full 180 degrees to maintain the strict profile Stone Age sculptors and painters insisted on for the sake of clarity and completeness (see “How to Represent an Animal,” page 17).

ALTAMIRA The works examined here thus far, whether portable or fixed to rocky outcroppings or cave walls, are all small, with the exception of the paintings in the Lascaux Hall of the Bulls (FIG. 1-1). The Lascaux animals dwarf all the other illustrated examples, as do the other “herds” of painted animals roaming the walls and ceilings of other caves in southern France and northern Spain, where some of the most spectacular examples of Paleolithic art have been discovered. An amateur archaeologist accidentally found the first known examples of cave paintings at Altamira, Spain, in 1879. Don Marcelino Sanz de Sautuola (1831–1888) was exploring a cavern on his estate where he had previously collected specimens of flint and carved bone. His little daughter Maria was with him when they reached a chamber some 85 feet from the cave's entrance. Because it was dark (see “Painting in the Dark,” above) and the ceiling of the debris-filled chamber was only a few inches above the father's head, the child was the first to notice, from her lower vantage point, the

shadowy forms of bison (FIG. 1-8, a detail of a much larger painting approximately 60 feet long).

Sanz de Sautuola was certain the paintings in his cave dated to prehistoric times. Professional archaeologists, however, doubted the authenticity of these works, and at the 1880 Congress on Prehistoric Archaeology in Lisbon, they officially dismissed the Altamira paintings as forgeries. But by the close of the century, explorers had discovered other caves with painted walls partially covered by mineral deposits that would have taken thousands of years to accumulate. This additional evidence finally persuaded skeptics that the world's oldest paintings were of an age far more remote than anyone had ever imagined. Examples of Paleolithic painting now have been found at more than 200 sites. Nonetheless, art historians still regard painted caves as rare occurrences because the images in them, even if they number in the hundreds, span a period of some 10,000 to 20,000 years.

The bison at Altamira are 13,000 to 14,000 years old, but the painters of Paleolithic Spain approached the problem of representing an animal in essentially the same way as the painter of the Namibian stone plaque (FIG. 1-2), who worked in Africa more than 10,000 years earlier. Every one of the Altamira bison is in profile, whether alive and standing or curled up on the ground—probably dead, although some scholars dispute this. (One suggestion is that

ART AND SOCIETY

Why Is There Art in Paleolithic Caves?

Ever since the discovery in 1879 of the first cave paintings, scholars have wondered why the hunters of the Old Stone Age decided to cover the surfaces of dark caverns with animal images such as those found at Lascaux (FIG. 1-1), Altamira (FIG. 1-8), and Pech-Merle (FIG. 1-9). Researchers have proposed various theories, including that the painted and engraved animals were mere decoration, but this explanation cannot account for the inaccessibility of many of the representations. In fact, the remote locations of many images, and indications the caves were used for centuries, are precisely why many experts have suggested that prehistoric peoples attributed magical properties to the images they painted and sculpted. According to this argument, by confining animals to the surfaces of their cave walls, Paleolithic communities believed they were bringing the beasts under their control. Some scholars have even hypothesized that rituals or dances were performed in front of the images and that these rites served to improve the luck of the community's hunters. Others have suggested that the animal representations may have served as teaching tools to instruct new hunters about the character of the various species they would encounter, or even were targets for spears.



1-9 Spotted horses and negative hand imprints, wall painting in the cave at Pech-Merle, France, ca. 23,000–22,000 BCE. 11' 2" long.

The purpose and meaning of Paleolithic art are unknown. Some researchers think the painted hands near the Pech-Merle horses are “signatures” of community members or of individual painters.

these bison are giving birth.) To maintain the profile in the latter case, the painter had to adopt a viewpoint above the animal, looking down, rather than the view of a person standing on the ground.

Modern critics often refer to the Altamira animals as a “group” of bison, but that is very likely a misnomer. The several bison in FIG. 1-8 do not stand on a common *ground line* (a painted or carved baseline on which figures appear to stand in paintings and reliefs), unlike many of the animals at Lascaux (FIG. 1-1), nor do they share a common orientation. They seem almost to float above viewers’ heads, like clouds in the sky. And the painter provided an “aerial view” of the dead(?) bison, whereas the observer views the others from a position on the ground. The painting has no setting, no background, no indication of place. *Where* the animals are or how they relate to one another, if at all, was of no concern to the Paleolithic

In contrast, other experts have argued that the magical purpose of the paintings and reliefs was not to facilitate the *destruction* of bison and other species. Instead, they believe that the world’s first painters and sculptors created animal images to assure the *survival* of the herds on which Paleolithic peoples depended for their food supply and for their clothing. A central problem for both the hunting-magic and food-creation theories is that the staple foods of Old Stone Age diets did not include the animals most frequently portrayed. For example, faunal remains show that the Altamirans ate red deer, not bison.

Other scholars have sought to reconstruct an elaborate belief system based on the cave paintings and sculptures, suggesting, for example, that the animals are deities or ancestors that Paleolithic humans revered. Some researchers have equated certain species with men and others with women and postulated various meanings for the dots, squares, and other signs accompanying some images.

Almost all of these theories have been discredited over time, and most experts admit that the intent of these representations is unknown. In fact, a single explanation for all Paleolithic animal images, even ones similar in subject, style, and *composition* (how the motifs are arranged on the surface), is unlikely to apply universally. The meaning of these works remains an unsolved mystery—and always will, because before the invention of writing, no contemporaneous explanations could be recorded.

painters of Altamira. Instead, several *separate* images of bison adorn the ceiling, perhaps painted at different times spanning generations, and each is as complete and informative as possible.

PECH-MERLE No one knows why animals play a central, indeed a nearly exclusive, role in the caves at Altamira, Lascaux (FIG. 1-1), and elsewhere in Paleolithic Europe (see “Why Is There Art in Paleolithic Caves?” above). That these paintings of different animal species did have meaning to Stone Age peoples cannot, however, be doubted. In fact, signs consisting of checks, dots, squares, or other arrangements of lines often accompany the pictures of animals. Painted human hands also are common. At Pech-Merle (FIG. 1-9), the hands accompany representations of spotted horses. (The “spots” also surround the horses and may not be spots at all but

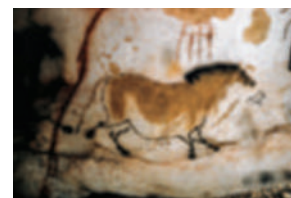
stones or signs.) Most of the painted hands in Paleolithic caves are “negative.” That is, the painter placed one hand against the wall and then brushed or blew or spat pigment around it. Occasionally, the painter dipped a hand in the pigment and then pressed it against the wall, leaving a “positive” imprint. These handprints must have served a purpose. Some researchers consider them “signatures” of cult or community members or, less likely, of individual painters. But like so much in Paleolithic art, their meaning is unknown.

The mural paintings at Pech-Merle also furnish some insight into the reasons Paleolithic peoples chose subjects for specific places in a cave. One of the horses (at the right in FIG. 1-9) may have been inspired by the rock formation in the wall surface resembling a horse’s head and neck. Old Stone Age painters and sculptors frequently and skillfully used the naturally irregular surfaces of caves to help give the illusion of real presence to their forms, as they did at La Magdeleine (FIG. 1-5A) and at Altamira (FIG. 1-8), where many of the bison paintings cover bulging rock surfaces. In fact, art historians have observed that bison and cattle appear almost exclusively on convex surfaces, whereas nearly all horses and hands are painted on concave surfaces. What this signifies has yet to be determined.

LASCAUX Perhaps the most impressive collection of Paleolithic animal paintings is in the Hall of the Bulls (FIG. 1-1) at Lascaux. The large chamber, away from the cave entrance and mysteriously dark, has good acoustics, and would have provided an excellent setting for the kinds of rituals many archaeologists assume took place in front of the paintings. One noteworthy aspect of the Lascaux murals is that they exhibit, side by side, the two basic approaches to drawing and painting found repeatedly in the history of art—silhouettes and outlines—indicating that different painters created these pictures, probably at different times. The Lascaux bulls also show a convention of representing horns in what art historians call *twisted perspective*, or a *composite view*, because viewers see the heads in profile but the horns from the front. Thus, the painter’s approach is not strictly or consistently optical (seen from a fixed viewpoint).

Rather, the approach is descriptive of the fact that cattle have two horns. Two horns are part of the concept “bull.” In strict optical-perspective profile, only one horn would be visible, but to paint the animal in that way would amount to an incomplete definition of it.

Paintings of animals appear throughout the cave complex at Lascaux, including in the so-called Axial Gallery, which features a representation of a running, possibly pregnant horse (FIG. 1-9A) surrounded by what may be arrows or traps. But the most perplexing painting at Lascaux and perhaps in all Paleolithic art is deep in a well shaft. In this mural (FIG. 1-10), man



1-9A “Chinese horse,” Lascaux, ca. 16,000–14,000 BCE.

(as opposed to woman) makes one of his earliest appearances in the history of art. At the left, and moving to the left, is a rhinoceros. Beneath its tail are two rows of three dots of uncertain significance. At the right is a bison, also facing left but with less realistic proportions, probably the work of someone else. The second painter nonetheless successfully suggested the bristling rage of the animal, whose bowels are hanging from it in a heavy coil. Between the two beasts is a bird-faced (masked?) man (compare FIG. 1-3) with outstretched arms and hands having only four fingers. The painter depicted the man with far less care and detail than either animal, but made the hunter’s gender explicit by the prominent penis. The position of the man is ambiguous. Is he wounded or dead or merely tilted back and unharmed? Do the staff(?) with the bird on top and the spear belong to him? Is it he or the rhinoceros that gravely wounded the bison—or neither? Which animal, if either, has knocked the man down, if indeed he is on the ground? Are these three images related at all? Modern viewers can be sure of nothing, but if the painters placed the figures beside each other to tell a story, this is evidence for the creation of complex narrative compositions involving humans and animals at a much earlier date than anyone had imagined only a few

1-10 Rhinoceros, wounded man, and disemboweled bison, painting in the well of the cave at Lascaux, France, ca. 16,000–14,000 BCE. Bison 3' 4½" long.

If these paintings of two animals and a bird-faced (masked?) man deep in a Lascaux well shaft depict a hunting scene, they constitute the earliest example of narrative art ever discovered.



generations ago. Yet it is important to remember that even if the painter(s) intended to tell a story, very few people would have been able to “read” it. The mural, in a deep shaft, is very difficult to reach and could have been viewed only in flickering lamplight. Like all Paleolithic art, the scene in the Lascaux well shaft is a puzzle.

CHAUVET CAVE One of the most spectacular archaeological finds of the past century poses a problem of a different kind. In December 1994, a French team led by Jean-Marie Chauvet discovered Paleolithic mural paintings (FIG. 1-11) in a cave at Vallon-Pont-d’Arc. To determine the date of the Chauvet Cave paintings, French scientists used radiocarbon dating of the charcoal in the black pigments. The tests revealed that the mural paintings dated between 30,000 and 28,000 BCE and were thousands of years older than any previously discovered.

This unexpectedly early date immediately forced scholars to reevaluate the scheme of “stylistic development” from simple to more complex forms that historians of Stone Age art had accepted for decades. In the Chauvet Cave, in contrast to Lascaux (FIG. 1-1), the painters depicted the horns of the aurochs (extinct long-horned wild oxen) naturalistically, one behind the other, not in the twisted perspective normally used in Paleolithic art. Moreover, the two rhinoceroses at the lower right of FIG. 1-11 appear to attack each other, suggesting that the painter intended a narrative, another “first” in either painting or sculpture. If the paintings are twice as old as those of Lascaux and Altamira (FIG. 1-8) and almost 10,000 years earlier than the Pech-Merle murals (FIG. 1-9), the assumption that Paleolithic art “evolved” from simple to more sophisticated representations is wrong.

Because the Chauvet paintings require a rethinking of conventional assumptions about Paleolithic art, the French team’s findings immediately became the subject of intense controversy. Some archaeologists have contested the early dating of the Chauvet paintings on the grounds that the tested samples were contaminated. If the Chauvet animals are later than those at Lascaux, their advanced stylistic fea-

tures can be more easily explained. In fact, recent investigations in the cave and at neighboring sites seem conclusively to support the later date. The dispute exemplifies the frustration—and the excitement—of studying the art of an age so remote that almost nothing remains and almost every new find causes art historians to reevaluate what they had previously taken for granted.

NEOLITHIC ART

Around 9000 BCE, the ice that covered much of northern Europe during the Paleolithic period melted as the climate warmed. The sea level rose more than 300 feet, separating England from continental Europe, and Spain from Africa. The reindeer migrated north, and the woolly mammoth disappeared. The Paleolithic gave way to a transitional period, usually called the *Mesolithic* (Middle Stone Age), and then, for several thousand years at different times in different parts of the globe, a great new age, the *Neolithic* (New Stone Age), dawned.* Human beings began to domesticate plants and animals and to settle in fixed abodes. Their food supply assured, many groups changed from hunters to herders to farmers and finally to townspeople. Wandering hunters settled down to organized community living in villages surrounded by cultivated fields.

The basis for the conventional division of the Stone Age into three periods is the development of stone implements. However, a different kind of distinction can be made between an age of food gathering and an age of food production. In this scheme, the Paleolithic period corresponds roughly to the age of food gathering. Intensified food gathering and the taming of the dog are the hallmarks of the Mesolithic period. In the Neolithic period, agriculture and livestock became humankind’s major food sources. The transition to the Neolithic was nothing less than a revolution. It occurred first in Anatolia and Mesopotamia.

*This chapter treats the Neolithic art of Europe, Anatolia, and Mesopotamia only. For the Neolithic art of Africa, see Chapter 19; for Asia, see Chapters 15, 16, and 17.



1-11 Aurochs, horses, and rhinoceroses, wall painting in the Chauvet Cave, Vallon-Pont-d’Arc, France, ca. 30,000–28,000 or ca. 15,000–13,000 BCE. Right rhinoceros 3' 4" long.

The date of the Chauvet Cave paintings is the subject of much controversy. If the murals are the oldest paintings known, they exhibit surprisingly advanced features, such as overlapping animal horns.

Anatolia and Mesopotamia

The remains of the oldest known settled communities lie in the grassy foothills of the Antilebanon, Taurus, and Zagros mountains in present-day Turkey, Syria, Iraq, and Iran (MAP 1-2). These regions provided the necessary preconditions for the development of agriculture. Species of native plants, such as wild wheat and barley, were plentiful, as were herds of animals (goats, sheep, and pigs) that could be domesticated. Sufficient rain occurred for the raising of crops. When village farming life was well developed, some settlers, attracted by the greater fertility of the soil and perhaps also by the need to find more land for their rapidly growing populations, moved into the valleys and deltas of the Tigris and Euphrates Rivers.

In addition to systematic agriculture, the new village societies of the Neolithic Age originated weaving, metalworking, pottery, and counting and recording with clay tokens. These innovations spread with remarkable speed throughout Anatolia (roughly equivalent to present-day Turkey) and Mesopotamia (primarily present-day Syria and Iraq). Settled farming communities such as Jarmo in Iraq and Çatal Höyük in southern Anatolia date to the mid-seventh millennium BCE. The remarkable fortified town of Jericho, before whose walls the biblical Joshua appeared thousands of years later, is even older. Archaeologists are constantly uncovering surprises, and the exploration of new sites each year is compelling them to revise their

views about the emergence of Neolithic society. Especially noteworthy are the ongoing excavations at Göbekli Tepe in southeastern Turkey, where German archaeologists have uncovered the remains of what appear to be the world's oldest stone temples, dating around 9000 BCE, with animal reliefs on T-shaped pillars (FIG. 1-11A). Of those sites known for some time, Jericho, Ain Ghazal, and Çatal Höyük together probably offer the most representative picture of the rapid and exciting transformation of human society and of art during the Neolithic period.



1-11A Göbekli Tepe, ca. 9000 BCE.

JERICHO By 7000 BCE, agriculture was well established from Anatolia to ancient Palestine and Iran. Its advanced state by this date presupposes a long development. Indeed, the very existence of a major settlement such as Jericho gives strong support to this assumption. Jericho, situated on a plateau in the Jordan River Val-

1-12 Aerial view of Neolithic Jericho (looking east), ca. 8000–7000 BCE.

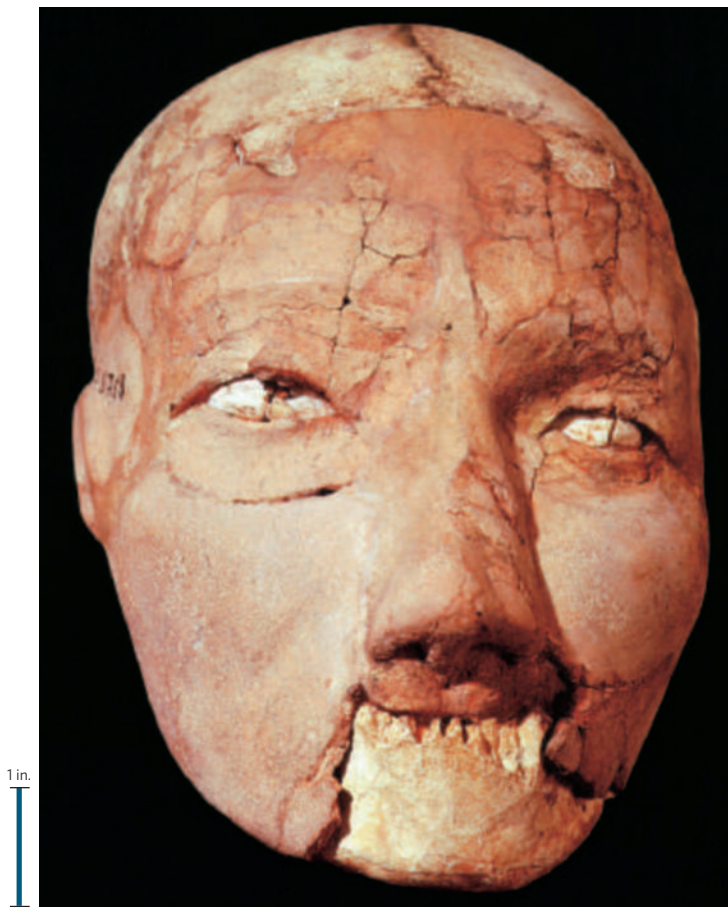
Protecting Neolithic Jericho were 5-foot-thick walls and at least one tower 30 feet high and 33 feet in diameter constructed of stone laid without mortar—an outstanding technological achievement.



MAP 1-2 Neolithic sites in Anatolia and Mesopotamia.

ley with an unfailing spring, was the site of a small village as early as the ninth millennium BCE. This village underwent spectacular development around 8000 BCE, when the inhabitants established a new Neolithic settlement (FIG. 1-12) covering about 10 acres. Its mud-brick houses sat on round or oval stone foundations and had roofs of branches covered with earth.

As Jericho's wealth grew, the need for protection against marauding nomads resulted in the first known permanent stone fortifications. By 7500 BCE, a wide rock-cut ditch and a 5-foot-thick wall surrounded the town, which probably had a population exceeding 2,000. Set into the circuit wall, which has been preserved to a height of almost 13 feet, was a 30-foot-tall circular tower (FIG. 1-12, bottom center) constructed of roughly shaped stones laid without mortar (*dry masonry*). Almost 33 feet in diameter at the base, the tower has an inner stairway leading to its summit. Not enough of the site has been excavated to determine whether this tower was solitary or one of several similar towers forming a complete defense system. In either case, a stone structure as large as the Jericho tower was a tremendous technological achievement and a testimony to the Neolithic builders' ability to organize a significant workforce.

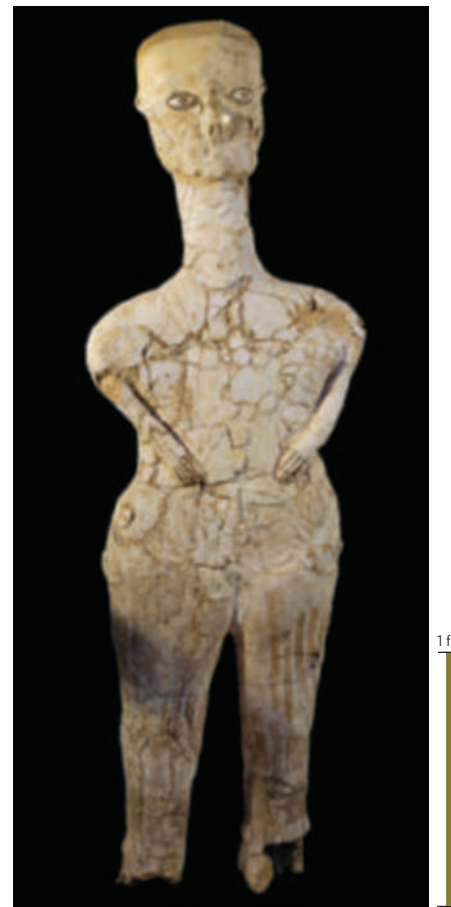


1-13 Human skull with restored features, from Jericho, ca. 7200–6700 BCE. Features modeled in plaster, painted, and inlaid with seashells. Life-size. Archaeological Museum, Amman.

The Neolithic farmers of Jericho removed the skulls of their dead before burial, modeled them with plaster, and inlaid the eyes to create lifelike “portraits” of their ancestors, whom they may have worshiped.

Sometime around 7000 BCE, Jericho’s inhabitants abandoned their fortified site, but new settlers arrived in the early seventh millennium and established a farming community of rectangular mud-brick houses on stone foundations with plastered and painted floors and walls. Several of the excavated buildings contained statuettes of animals and women and seem to have served as shrines. The new villagers buried their dead beneath the floors of their houses with the craniums detached from their skeletons and their features reconstructed in plaster. Subtly modeled with inlaid seashells for eyes and painted hair, the appearance of these reconstructed heads is strikingly lifelike. One head (FIG. 1-13) features a painted mustache, distinguishing it from the others. The Jericho skulls constitute the world’s earliest known “portrait gallery,” but the artists’ intention was certainly not portraiture in the modern sense. The plastered skulls must have served a ritualistic purpose. The community of several hundred Neolithic farmers who occupied Jericho at this time honored and perhaps worshiped their ancestors as intercessors between the living and the world beyond. They may have believed that the dead could exert power over the living and that they had to offer sacrifices to their ancestors to receive favorable treatment. These skulls were probably the focus of rites in honor of those ancestors.

AIN GHAZAL A second important Neolithic settlement in ancient Palestine was Ain Ghazal, near the modern Jordanian capital of



1-14 Human figure, from Ain Ghazal, Jordan, ca. 6750–6250 BCE. Plaster, painted and inlaid with bitumen, 3' 5 ³/₈" high. Musée du Louvre, Paris.

The dozens of large painted plaster statuettes (some with two heads and with details added in paint or inlaid with bitumen) found at Ain Ghazal are the earliest large-scale sculptures known.

Amman. Occupied from around 7200 to 5000 BCE, the site featured houses of irregularly shaped stones with plastered floors and walls painted red. The most striking finds, however, are two caches containing three dozen plaster statuettes (FIG. 1-14) and busts, some with two heads, datable to ca. 6500 BCE. The sculptures, which appear to have been ritually buried, are white plaster built up over a core of reeds and twine, with black bitumen, a tarlike substance, for the pupils of the eyes. Some of the figures have painted clothing. Only rarely did the sculptors indicate the gender of the figures. Whatever their purpose, by their size (as much as 3 feet tall) and sophisticated technique, the Ain Ghazal statuettes and busts tower over Paleolithic figurines such as the tiny *Venus of Willendorf* (FIG. 1-4) and even the foot-tall Hohlenstein-Stadel ivory statuette (FIG. 1-3). They mark the beginning of the long history of large-scale sculpture in Mesopotamia.

ÇATAL HÖYÜK During the past half century, archaeologists also have made remarkable discoveries in Turkey, not only at Göbekli Tepe (FIG. 1-11A) but also at Hacilar and especially Çatal Höyük (FIG. 1-14A), the site of a flourishing Neolithic culture on the central Anatolian plain between 6500 and 5700 BCE. Although animal husbandry was well established, hunting continued to play an important part in the early Neolithic economy of Çatal Höyük. The importance of hunting as a food source is reflected in the wall paintings of the site’s older decorated rooms, where hunting scenes predominate. In style and



1-14A Restored view of Çatal Höyük, ca. 6000–5900 BCE.



1-15 Deer hunt, detail of a wall painting from level III, Çatal Höyük, Turkey, ca. 5750 BCE. Museum of Anatolian Civilization, Ankara.

This Neolithic painter depicted human figures as a composite of frontal and profile views, the most descriptive picture of the shape of the human body. This format would become the rule for millennia.

concept, however, the deer hunt mural (FIG. 1-15) at Çatal Höyük is worlds apart from the wall paintings the hunters of the Paleolithic period produced. Perhaps what is most strikingly new about the Çatal Höyük painting and similar Neolithic examples is the regular appearance of the human figure—not only singly but also in large, coherent groups with a wide variety of poses, subjects, and settings. As noted earlier, humans rarely figured in Paleolithic cave paintings, and pictorial narratives are almost unknown. Even the “hunting scene” (FIG. 1-10) in the well at Lascaux is doubtful as a narrative. In contrast, human themes and concerns and action scenes with humans dominating animals are central subjects of Neolithic paintings.

In the Çatal Höyük hunt, the group of hunters—and it is, indeed, an organized hunting party, not a series of individual figures—is a rhythmic repetition of basic shapes, but the painter took care to distinguish important descriptive details (bows, arrows, and garments), and the heads have clearly defined noses, mouths, chins, and hair. The Neolithic painter placed all the heads in profile for the same reason Paleolithic painters uni-

versally chose the profile view for representations of animals (see “How to Represent an Animal,” page 17). Only the side view of the human head shows all its shapes clearly. However, at Çatal Höyük the painter presented the torsos from the front—again, the most informative viewpoint—whereas the profile view was the choice for the legs and arms. This composite view of the human body is highly artificial—the human body cannot make an abrupt 90-degree shift at the hips—but it well describes what a human body is, as opposed to how it appears from a particular viewpoint. The technique of painting also changed dramatically from the Paleolithic to the Neolithic Age. The Çatal Höyük painters used brushes to apply their pigments to a background of dry white plaster. The careful preparation of the wall surface is in striking contrast to the direct application of pigment to the irregularly shaped walls and ceilings of Old Stone Age caves.

More remarkable still is a painting (FIG. 1-16) in one of the older rooms at Çatal Höyük. Art historians generally have acclaimed this mural as the world’s first *landscape* (a picture of a natural setting in its own right, without any narrative content). As such, it remained unique for thousands of years. According to radiocarbon analysis, the painting dates to around 6150 BCE. Scholars interpret the foreground as a town with rectangular houses neatly laid out in rows, probably representing Çatal Höyük itself. Behind the town appears a mountain with two peaks. Many archaeologists think the dots and lines issuing from the higher of the two cones represent a volcanic eruption, and have suggested that the mountain is the 10,600-foot-high Hasan Dağ, the only twin-peaked volcano in central Anatolia, which is within view of Çatal Höyük. The conjectured volcanic eruption shown in the mural does not necessarily depict a specific historical event. Rather, the landscape may represent a recurring phenomenon. In either case, it is the earliest preserved representation of nature without either humans or animals.



1-16 Landscape with volcanic eruption(?), wall painting in situ (top) and watercolor copy (bottom), from level VII, Çatal Höyük, Turkey, ca. 6150 BCE.

One of the oldest mural paintings from Çatal Höyük may represent the settlement during a volcanic eruption. It is the first known landscape—a painting of nature without either humans or animals.



Europe

In Europe, where Paleolithic paintings and sculptures abound, no evidence exists for comparably developed early Neolithic towns. However, in succeeding millennia, perhaps as early as 4000 BCE, the local populations of several European regions constructed imposing monuments employing massive rough-cut stones. The very dimensions of the stones, some as high as 17 feet and weighing as much as 50 tons, have prompted historians to call them *megaliths* (great stones) and to designate Neolithic architecture employing them as *megalithic*.

NEWGRANGE One of the most impressive megalithic monuments in Europe is also one of the oldest. The megalithic tomb at Newgrange in Ireland, north of Dublin, may date to as early as 3200 BCE and is one of the oldest funerary monuments in Europe. It takes the form of a *passage grave*—that is, a tomb with a long stone corridor leading to a burial chamber (FIG. 1-17) beneath a great *tumulus* (earthen burial mound). Some mounds contain more than one passage grave. Similar graves have been found also in England, France, Spain, and Scandinavia. All attest to the importance of honoring the dead in Neolithic society. The Newgrange tumulus is 280 feet in diameter and 44 feet tall. Its passageway is 62 feet long, and it and the primitive *dome* over the main chamber are early examples of *corbeled vaulting* (see “Corbeled Arches, Vaults, and Domes,”



1-17 Corbeled dome of the main chamber in the passage grave, Newgrange, Ireland, ca. 3200–2500 BCE.

The Newgrange passage grave is an early example of corbeled vaulting. The huge stones (megaliths) of the dome of the main burial chamber beneath the tumulus are held in place by their own weight.

page 95, and FIG. 4-18). At Newgrange, the huge megaliths forming the vaulted passage and the dome are held in place by their own weight without mortar, each stone countering the thrust of neighboring stones. Decorating some of the megaliths are incised spirals and other motifs (not visible in FIG. 1-17). A special feature of the Newgrange tomb is that at the winter solstice, the sun illuminates the passageway and the burial chamber.

HAGAR QIM By the end of the fourth millennium BCE, Neolithic civilization had spread to the most remote parts of Europe, including, in the far north, Skara Brae (FIG. 1-17A) in the Orkney Islands, and, in the far south, Malta. The megalithic temple (FIG. 1-18) of Hagar Qim is one of many constructed on Malta between 3200 and 2500 BCE. The Maltese builders erected their temples by piling carefully cut stone blocks in *courses* (stacked horizontal rows). To construct the doorways at Hagar Qim, the builders employed the *post-and-lintel system*



1-17A House 1, Skara Brae, ca. 3100–2500 BCE.



1-18 Aerial view of the ruins of Hagar Qim (looking east), Malta, ca. 3200–2500 BCE.

The 5,000-year-old stone temple at Hagar Qim on the remote island of Malta is remarkably sophisticated for its date, especially in the way the Neolithic builders incorporated both rectilinear and curved forms.

1-19 Post-and-lintel construction (John Burge).

The simplest and oldest method of spanning a passageway is to set up two upright blocks (posts), which support a horizontal beam (lintel), a technique used in both prehistoric Europe and Egypt.



(FIG. 1-19) in which two upright stones (posts) support a horizontal block (*lintel* or *beam*). The layout of this and other Neolithic Maltese temples is especially noteworthy for the combination of rectilinear and curved forms, including multiple *apses* (semicircular recesses). Inside the Hagar Qim temple, archaeologists found altars (hence the identification of the structure as a religious shrine) and several stone statues of headless nude women—one standing, the others seated. The level of architectural and sculptural sophistication seen on this isolated island at so early a date is extraordinary.

STONEHENGE The most famous megalithic monument in Europe is Stonehenge (FIG. 1-20) on the Salisbury Plain in southern England. A *henge* is an arrangement of megalithic stones in a circle, often surrounded by a ditch. The type is almost exclusively limited to Britain. Stonehenge is a complex of rough-cut sarsen (a form of sandstone) stones and smaller “bluestones” (various volcanic rocks) built in several stages over hundreds of years. The final henge consists of concentric post-and-lintel circles. Huge sarsen megaliths form the outer ring, which is almost 100 feet in diameter. (Note how they dwarf the visitors to the site in FIG. 1-20.) Inside is a ring of bluestones, and this ring, in turn, encircles a horseshoe (open end facing east) of *trilithons* (three-stone constructions)—five lintel-topped pairs of the largest sarsens, each weighing 45 to 50 tons. Standing apart and to the east (outside the aerial view in FIG. 1-20) is the “heel stone,” which, for a person looking outward from the center of the complex, would have marked the point where the sun rose at the summer solstice. Stonehenge, perhaps originally a funerary site where Neolithic peoples cremated their dead, seems in its latest phase to have been a kind of astronomical observatory and a remarkably accurate solar calendar. According to a recent theory, it also served as a center of healing that attracted the sick and dying from throughout the region.

Whatever role they played in society, the megalithic tombs, temples, houses, and henges of Europe are enduring testaments to the rapidly developing intellectual powers of Neolithic humans as well as to their capacity for heroic physical effort.



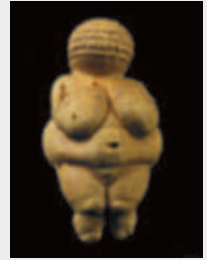
1-20 Aerial view of Stonehenge (looking northwest), Salisbury Plain, Wiltshire, England, ca. 2550–1600 BCE. Circle 97' in diameter; trilithons 24' high.

Stonehenge's circles of trilithons probably functioned as an astronomical observatory and solar calendar. The sun rises over its “heel stone” at the summer solstice. Some of the megaliths weigh 50 tons.

ART IN THE STONE AGE

Paleolithic (Old Stone Age) Art ca. 40,000–9000 BCE

- The first sculptures and paintings antedate the invention of writing by tens of thousands of years. Paleolithic humans' decision to represent the world around them initiated an intellectual revolution of enormous consequences.
- No one knows why humans began to paint and carve images or what role those images played in the lives of Paleolithic peoples. Women were far more common subjects than men, but animals, not humans, dominate Paleolithic art. Some scholars believe that Stone Age hunters performed rituals in front of the animal images, which aided them in killing their prey. In contrast, other researchers think the purpose of the images was to ensure the fertility of the species on which humans depended for food and clothing.
- The works created range in size from tiny portable figurines, such as the so-called *Venus of Willendorf*, to large, sometimes over-life-size, carved and painted representations of animals, as in the caves of Lascaux, Pech-Merle, Altamira, and elsewhere in southern France and northern Spain.
- The earliest known sculptures date to 40,000 to 30,000 BCE. The oldest paintings may be in the Chauvet Cave at Vallon-Pont-d'Arc, but the early dating of ca. 30,000–28,000 BCE is controversial.
- Paleolithic artists regularly depicted animals in profile in order to present a complete picture of each beast, including its head, body, tail, and all four legs. This format persisted for millennia.



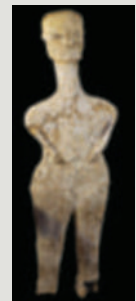
Nude woman (*Venus of Willendorf*),
ca. 28,000–25,000 BCE



Horses and hands, Pech-Merle,
ca. 23,000–22,000 BCE

Neolithic (New Stone Age) Art ca. 8000–2300 BCE

- Around 9000 BCE, the ice that had covered much of northern Europe for millennia receded. After a transitional period, the Neolithic Age began in Anatolia and Mesopotamia.
- The Neolithic Age revolutionized human life with the beginning of agriculture and the formation of the first settled communities, such as that at Çatal Höyük in Anatolia, where archaeologists have uncovered an extensive town with numerous shrines.
- Some Neolithic towns—for example, Jericho in the Jordan River Valley—also had fortified stone circuit walls.
- In art, the Neolithic period brought the birth of large-scale sculpture, notably the painted plaster figurines from Ain Ghazal and the restored life-size skulls from Jericho.
- In painting, coherent narratives became common, and artists began to represent human figures as composites of frontal and profile views—another formula that would remain universal for a very long time.
- Neolithic technology spread gradually from Anatolia and Mesopotamia to Europe, where it continued longer in remote places—for example, Stonehenge in England.



Human figure, Ain Ghazal,
ca. 6750–6250 BCE



Stonehenge, Salisbury Plain,
ca. 2550–1600 BCE

▼ **2-1a** The *Warka Vase* is the first great work of narrative relief sculpture known. It represents a religious ceremony in honor of Inanna in which a priest-king brings votive offerings to deposit in the goddess's shrine.



▲ **2-1b** The Sumerians were probably the first to use pictures to tell coherent stories. This sculptor placed the figures in three registers. Humans are shown in composite views standing on a common ground line.



▲ **2-1c** As in prehistoric art, representations of animals in Mesopotamian art are always strict profile views, save for the animals' eyes, which are seen from the front, as are also sometimes an animal's two horns.



2-1

Presentation of offerings to Inanna (*Warka Vase*), from the Inanna temple complex, Uruk (modern Warka), Iraq, ca. 3200–3000 BCE. Alabaster, 3' $\frac{1}{4}$ " high. National Museum of Iraq, Baghdad.

Ancient Mesopotamia and Persia

PICTORIAL NARRATION IN ANCIENT SUMER

In ancient Sumer, “the cradle of civilization” in Mesopotamia, humans first learned how to use the wheel and plow and how to control floods and construct irrigation canals. In the fourth millennium BCE, the Sumerians also invented writing and were the first to establish complex urban societies, called *city-states*, and to use pictures to tell coherent stories, far surpassing Stone Age artists’ tentative efforts at pictorial narration.

The *Warka Vase* (FIG. 2-1), found within the Inanna temple complex at Uruk (modern Warka), is the first great work of narrative relief sculpture known. Its depiction of a religious ceremony honoring Inanna already incorporates all of the pictorial conventions that would dominate narrative art for the next 2,000 years. The artist divided the pictorial field into three bands (called *registers*, or *friezes*) and placed all the figures on a common *ground line*, a compositional format that marks a significant break with the haphazard figure placement of Stone Age art. The lowest band shows crops above a wavy line representing water. Then comes a register with alternating ewes and rams. Agriculture and animal husbandry were the staples of the Sumerian economy, but the produce and the female and male animals are also fertility symbols. They underscore that Inanna had blessed Uruk’s inhabitants with good crops and increased herds.

A procession of naked men moving in the opposite direction of the animals fills the band at the center of the vase. The men carry baskets and jars overflowing with the earth’s abundance. They will present their bounty to the goddess as a *votive offering* (gift of gratitude to a deity usually made in fulfillment of a vow). In the uppermost (and tallest) band of the *Warka Vase* is a female figure with a tall horned headdress next to two reed posts with streamers, the sign of the goddess Inanna. (Some scholars think that the woman is a priestess and not the goddess herself.) Facing her is a nude male figure bringing a large vessel brimming with offerings to be deposited in the goddess’s shrine, and behind her (not visible in FIG. 2-1), a man wearing a tasseled skirt and an attendant carrying his long train. Near the man is the *pictograph* for the Sumerian official that scholars ambiguously refer to as a “priest-king”—that is, both a religious and secular leader. Some art historians interpret the scene as a symbolic marriage between the priest-king and the goddess, ensuring her continued goodwill—and reaffirming the leader’s exalted position in society. The greater height of the priest-king and Inanna (or her priestess) compared with the offering bearers indicates their greater importance, a convention called *hierarchy of scale*, which the Sumerians also pioneered.

MESOPOTAMIA

When humans first gave up the dangerous and uncertain life of the hunter and gatherer for the more predictable and stable life of the farmer and herder, the change in human society was so significant that historians justly have dubbed it the Neolithic Revolution (see page 23). This fundamental change in the nature of daily life first occurred in Mesopotamia—the Greek name for “the land between the [Tigris and Euphrates] rivers.” The foothills surrounding the Mesopotamian valley form a huge arc from the mountainous border between Turkey and Syria through Iraq to Iran’s Zagros Mountains (MAP 2-1). Often called the Fertile Crescent, Mesopotamia is the presumed locale of the biblical Garden of Eden (Gen. 2.10–15) and the region that gave birth to three of the world’s great modern faiths—Judaism, Christianity, and Islam. This land “between the rivers” has, consequently, long been of interest to historians. Not until the 19th century, however, did systematic excavation open the public’s eyes to the extraordinary art and architecture of ancient Mesopotamia.

After the first discoveries in Syria and Iraq, the great museums of Europe and North America began to avidly collect Mesopotamian art. The most popular 19th-century acquisitions were the stone reliefs depicting warfare and hunting (FIGS. 2-22 and 2-23) and the colossal statues of monstrous man-headed winged bulls (FIG. 2-20) from the palaces of the Assyrians, rulers of a northern Mesopotamian empire during the ninth to the seventh centuries BCE. But nothing extracted from the earth during the 19th century garnered as much attention as the treasure of gold objects, jewelry, artworks, and musical instruments (FIGS. 2-7 to 2-11) that British archaeologist Sir Leonard Woolley (1880–1960) discovered in the 1920s at the Royal Cemetery at Ur in southern Iraq. The interest in the lavish third-millennium Sumerian cemetery he excavated rivaled the fascination with the 1922 discovery of the tomb of the Egyptian boy-king Tutankhamen (FIGS. 3-34 to 3-36).

Sumer

The discovery of the treasures of ancient Ur put the Sumerians once again in a prominent position on the world stage, from which they had been absent for more than 4,000 years. Ancient Sumer, which roughly corresponds to southern Iraq today, comprised a dozen or so independent city-states under the protection of different Mesopotamian deities (see “The Gods and Goddesses of Mesopotamia,” page 34). The Sumerian rulers were the gods’ representatives on earth and the stewards of their earthly treasure.

The rulers and priests directed all communal activities, including canal construction, crop collection, and food distribution.



MAP 2-1 Ancient Mesopotamia and Persia.

Because the Sumerians developed agriculture to such an extent that only a portion of the population had to produce food, some members of the community were free to specialize in other activities, including manufacturing, trade, and administration. Specialization of labor is the hallmark of the first complex urban societies. In the city-states of ancient Sumer, activities that once had been individually initiated became institutionalized for the first time. The city-state, whether ruled by a single person or a council chosen from among the leading families, assumed functions such as defense against enemies and the whims of nature, roles previously the responsibility of individuals and their extended families. The city-state was one of the great Sumerian inventions.

Another was writing. The oldest written documents known are Sumerian records of administrative acts and commercial transactions. At first, around 3400 to 3200 BCE, the Sumerians made inventories of cattle, food, and other items by drawing *pictographs* (simplified pictures standing for words), often in boxes, in soft clay with a sharp tool, or *stylus*. The clay hardened into breakable, yet nearly indestructible, tablets. Thousands of these tablets, dating back nearly five millennia, exist today. By 3000 to 2900 BCE, the Sumerians had further simplified the pictographic signs by reducing



ANCIENT MESOPOTAMIA AND PERSIA

3500–2332 BCE Sumerian

- Sumerians found the world’s first city-states and invent writing
- Sumerian builders construct the oldest Mesopotamian temples on lofty platforms
- Sumerian artists present narratives in register format

2332–2150 BCE Akkadian

- The Akkadians become the first Mesopotamian rulers to call themselves kings and have themselves depicted in art with divine attributes
- Akkadian sculptors create the earliest preserved hollow-cast statues

2150–1600 BCE Neo-Sumerian and Babylonian

- Neo-Sumerian builders erect the largest extant ziggurat at Ur
- Gudea of Lagash rebuilds temples and commissions portraits
- Babylonian King Hammurabi sets up a stele recording his laws

1600–612 BCE Hittite and Assyrian

- Hittites sack Babylon and fortify their capital at Hattusa
- Assyrians rule a vast empire from citadels guarded by lamassu
- Extensive relief cycles celebrate Assyrian military campaigns

604–559 BCE Neo-Babylonian and Achaeminid

- Nebuchadnezzar II rebuilds Babylon, home of the gigantic ziggurat called the Tower of Babel in the Bible
- Persians build an immense palace complex at Persepolis

330 BCE–636 CE Greco-Roman and Sasanian

- After conquest by Alexander the Great, Mesopotamia and Persia are absorbed into the Greco-Roman world
- New Persian Empire challenges Rome from Ctesiphon



2-2 White Temple, Uruk (modern Warka), Iraq, ca. 3200–3000 BCE.

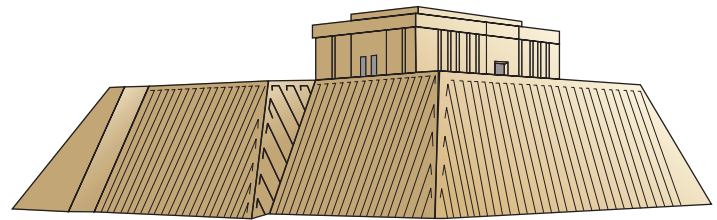
Using only mud bricks, the Sumerians erected towering temple platforms several centuries before the Egyptians built stone pyramids. This temple was probably dedicated to Anu, the sky god.

them to a group of wedge-shaped (*cuneiform*) signs read from top to bottom and right to left (FIGS. 2-6 and 2-11 are early examples; see also FIGS. 2-13, 2-16, 2-17, and 2-18). The development of cuneiform marked the beginning of writing, as historians strictly define it. The surviving cuneiform tablets testify to the far-flung network of Sumerian contacts reaching from southern Mesopotamia eastward to the Iranian plateau, northward to Assyria, and westward to Syria. Trade was essential to the Sumerians, because despite Sumer's fertile soil, the land was poor in such vital natural resources as metal, stone, and wood.

The Sumerians also produced great literature. Their most famous work, known from fragmentary cuneiform texts, is the late-third-millennium BCE *Epic of Gilgamesh*, which antedates the Greek poet Homer's *Iliad* and *Odyssey* by some 1,500 years. It recounts the heroic story of Gilgamesh, legendary king of Uruk (FIGS. 2-1 to 2-4) and slayer of the monster Huwawa. Translations of the Sumerian epic into several other ancient languages attest to the fame of the original version.

WHITE TEMPLE, URUK The layout of Sumerian cities reflected the central role of the gods in daily life. The main temple to each state's chief god formed the city's monumental nucleus. In fact, the temple complex was a kind of city within a city, where a staff of priests and scribes carried on official administrative and commercial business as well as oversaw all religious functions.

The outstanding preserved example of early Sumerian temple architecture is the 5,000-year-old White Temple (FIGS. 2-2 and 2-3) at Uruk, a city that in the late fourth millennium BCE had a population of about 40,000. Usually only the foundations of early Mesopotamian temples remain. The White Temple is a rare exception. Sumerian builders did not have access to stone quarries and instead formed mud bricks for the superstructures of their temples and other buildings. Mud brick is a durable building material, but unlike stone, it deteriorates with exposure to water. Almost all the Sumerian mud-brick structures have eroded over the course



2-3 Restored view of the White Temple, Uruk (modern Warka), Iraq, ca. 3200–3000 BCE.

The 5,000-year-old White Temple at Uruk is the outstanding example of early Sumerian religious architecture. In its central hall (*cella*), the Sumerian priests would await the apparition of the deity.

of time. The Sumerians nonetheless erected towering works, such as the Uruk temple, several centuries before the Egyptians built their famous stone pyramids. The construction of monumental shrines when stone was unavailable says a great deal about the Sumerians' desire to provide grandiose settings for the worship of their deities.

Enough of the White Temple at Uruk remains to permit a fairly reliable reconstruction (FIG. 2-3). The temple (whose whitewashed walls suggested its modern nickname) stands atop a high platform 40 feet above street level in the city center. A stairway on one side leads to the top but does not end in front of any of the temple doorways, necessitating two or three angular changes in direction. This *bent-axis plan* is the standard arrangement for Sumerian temples, a striking contrast to the linear approach the Egyptians preferred for their temples and tombs (compare FIGS. 3-10 and 3-20).

As in other Sumerian temples, the corners of the White Temple are oriented to the cardinal points of the compass. The building, probably dedicated to Anu, the sky god, is of modest proportions (61 by 16 feet). By design, Sumerian temples did not accommodate large throngs of worshipers but only a select few, the priests and perhaps the leading community members. The White Temple had several chambers. The central hall, or *cella*, was the divinity's room and housed a stepped altar. The Sumerians referred to their temples as "waiting rooms," a reflection of their belief that the deity would descend from the heavens to appear before the priests in the

RELIGION AND MYTHOLOGY

The Gods and Goddesses of Mesopotamia

The Sumerians and their successors in Mesopotamia worshiped numerous deities, mostly nature gods. Listed here are the Mesopotamian gods and goddesses discussed in this chapter.

- **Anu**, the god of the sky and of the city of Uruk, was the chief deity of the Sumerians. One of the earliest Mesopotamian shrines, the White Temple at Uruk (FIGS. 2-2 and 2-3), may have been dedicated to his worship.
- **Enlil** was Anu's son and lord of the winds and the earth. He eventually replaced his father as king of the gods.
- **Inanna** was the Sumerian goddess of love and war. Later known as **Ishtar**, she was the most important female deity in all periods of Mesopotamian history. As early as the fourth millennium BCE, the Sumerians constructed a sanctuary to Inanna at Uruk. Amid the ruins, excavators uncovered sculptures (FIGS. 2-1 and 2-4) connected with her worship.
- **Nanna**, also known as **Sin**, was the moon god and chief deity of Ur, where the Sumerians erected his most important shrine (FIG. 2-14).
- **Utu**, the sun god, later known as **Shamash**, was especially revered at Sippar. On a Babylonian *stele* (FIG. 2-18) of ca. 1780 BCE, King Hammurabi presents his laws to Shamash, whom the sculptor depicted as a bearded god wearing a horned headdress. Flames radiate from the sun god's shoulders.
- **Ningirsu** was the local god of Lagash and Girsu. He helped Eannatum, one of the early rulers of Lagash, defeat an enemy army. The *Stele of the Vultures* (FIG. 2-6) of ca. 2600–2500 BCE records Ningirsu's role in the victory. Gudea (FIGS. 2-16 and 2-17), one of Eannatum's Neo-Sumerian successors, built a great temple around 2100 BCE in honor of Ningirsu after the god instructed him to do so in a dream.
- **Marduk** was the chief god of the Babylonians.
- **Nabu**, Marduk's son, was the Babylonian god of writing and wisdom.
- **Adad** was the Babylonian god of storms. Adad's sacred bull and Marduk and Nabu's dragon adorn the sixth-century BCE Ishtar Gate (FIG. 2-24) at Babylon.



2-4 Female head (Inanna?), from the Inanna temple complex, Uruk (modern Warka), Iraq, ca. 3200–3000 BCE. Marble, 8" high. National Museum of Iraq, Baghdad.

The Sumerians imported the marble for this head at great cost. It may represent the goddess Inanna and originally had inlaid colored shell or stone eyes and brows, and a wig, probably of gold leaf.

- **Ashur** was the local deity of Assur, the city that took his name. Sometimes identified with Enlil, he became the king of the Assyrian gods.

cella. It is unclear whether the Uruk temple had a roof, and if it did, what kind.

The Sumerian notion of the gods' residing above the world of humans is central to most of the world's religions. Moses ascended Mount Sinai to receive the Ten Commandments from the Hebrew God, and the Greeks placed the home of their gods and goddesses on Mount Olympus. The elevated placement of Mesopotamian temples on giant platforms reaching to the sky is consistent with this widespread religious concept. The loftiness of the Sumerian temple platforms made a profound impression on the peoples of ancient Mesopotamia. The tallest, at Babylon, was about 270 feet high. Known to the Hebrews as the Tower of Babel, it became the centerpiece of a biblical story about the arrogant and disrespectful pride of humans (see "Babylon, City of Wonders," page 49).

INANNA A fragmentary white marble female head (FIG. 2-4) from Uruk is also an extraordinary achievement at so early a date. The head, one of the treasures of the National Museum of Iraq in Baghdad, disappeared during the Iraq War of 2003, but was later recovered, along with other priceless items (FIGS. 2-1 and 2-12). The Sumerians lacked a ready source of fine stones suitable for carving sculptures, and consequently used stone sparingly. The glossy hard stone selected for this head had to be brought to Uruk at great cost. In fact, the "head" is really only a face with a flat back. It has drilled holes for attachment to the rest of the head and the body, which may have been of much less costly wood. Although found in the sacred precinct of the goddess Inanna, the subject is unknown. Many have suggested that the face is an image of Inanna, although others think it portrays a mortal woman, perhaps a priestess.

PROBLEMS AND SOLUTIONS

Sumerian Votive Statuary

The Sumerians, innovators in so many areas, were also the first to adopt the practice of placing in their shrines votive offerings representing the donors of the gods' gifts. Because there was no established tradition for depicting donors, the Sumerians had to invent a new pictorial formula. The votive statuettes from Eshnunna (FIG. 2-5) are among the earliest examples. Carved of soft gypsum and inlaid with shell and black limestone, the statuettes range in size from well under a foot to about 30 inches tall. The two largest figures from the group are shown here. All of the statuettes represent mortals, rather than deities. They hold the small beakers that the Sumerians used for *libations* (ritual pouring of liquid) in honor of the gods. (Archaeologists found hundreds of these goblets in the temple complex at Eshnunna.) The men wear belts and fringed skirts. Most have beards and shoulder-length hair. The women wear long robes, with the right shoulder bare.



2-5A Urnanshe, from Mari, ca. 2600–2500 BCE.

Similar figurines have been unearthed at other sites. Some stand, as do the Eshnunna statuettes. Others are seated—for example, the figurine portraying Urnanshe (FIG. 2-5A) from the Ishtar temple at Mari in Syria. Many bear inscriptions giving valuable information, such as the name of the donor or the god. The texts inscribed on some statuettes are specific prayers to the deity on the owner's behalf. With their heads tilted upward, the figures represented in these statuettes wait in the Sumerian “waiting room” for the divinity to appear.

The Sumerian sculptors employed simple forms, primarily cones and cylinders, for the figures. The statuettes, even those bearing the names of individuals (for example, Urnanshe), are not portraits in the strict sense of the word, but the sculptors did distinguish physical types. At Eshnunna, the sculptors portrayed at least one child, because next to the woman in FIG. 2-5 are the remains of two small legs. Most striking is the disproportionate relationship between the inlaid oversized eyes and the tiny hands, which represents a conscious decision on the part of the sculptors to vary the size of the parts of the body—a kind of hierarchy of scale within a single figure complementing the hierarchy of scale among figures in a group. Scholars have explained the exaggeration of the eye size in various ways. Because the purpose of these

Often the present condition of an artwork can be very misleading, and this female head from Uruk is a dramatic example. Its original appearance would have been much more vibrant than the pure white fragment preserved today. Colored shell or stone filled the deep recesses for the eyebrows and the large eyes. The deep groove at the top of the head anchored a wig, probably made of gold leaf. The hair strands engraved in the metal fell in waves over the forehead and sides of the face. The bright coloration of the eyes, brows, and hair likely overshadowed the soft *modeling* of the cheeks and mouth. The missing body was probably clothed in expensive fabrics and bedecked with jewels.



2-5 Statuettes of two worshipers, from the Square Temple at Eshnunna (modern Tell Asmar), Iraq, ca. 2700 BCE. Gypsum, shell, and black limestone, man 2' 4 $\frac{1}{4}$ " high, woman 1' 11 $\frac{1}{4}$ " high. National Museum of Iraq, Baghdad.

The oversized eyes probably symbolize the perpetual wakefulness of these substitute worshipers offering prayers to the deity. The beakers that the figures hold were used to pour libations for the gods.

votive figures was to offer constant prayers to the gods on their donors' behalf, the open-eyed stares most likely symbolize the eternal wakefulness necessary to fulfill their duty.

ESHNUNNA STATUETTES The *Warka Vase* (FIG. 2-1), also from the Inanna sanctuary of Uruk, provides some insight into the rituals in honor of the goddess. Further clues about Sumerian religious beliefs and votive practices come from a group of sculptures (FIG. 2-5) reverently buried beneath the floor of a temple at Eshnunna (modern Tell Asmar) during remodeling of the structure (see “Sumerian Votive Statuary,” above).

STELE OF THE VULTURES The city-states of ancient Sumer were often at war with one another, and warfare is the theme of the so-called *Stele*

2-6 Battle scenes, fragment of the victory stele of Eannatum (*Stele of the Vultures*), from Girsu (modern Telloh), Iraq, ca. 2600–2500 BCE. Limestone, fragment 2' 6" high; full stele 5' 11" high. Musée du Louvre, Paris.

Cuneiform inscriptions on this stele describe Eannatum's victory over Umma with the aid of the god Ningirsu. This fragment shows Eannatum leading his troops into battle on foot and in a chariot.

of the *Vultures* (FIG. 2-6) from Girsu. A *stèle* is a carved stone slab erected to commemorate a historical event or, in some cultures, to mark a grave. The Girsu stele presents a labeled historical narrative, with cuneiform inscriptions filling almost every blank space. (It is not, however, the first historical representation in the history of art. That honor belongs—at the moment—to an Egyptian relief [FIGS. 3-2 and 3-3] carved more than three centuries earlier.) The inscriptions inform the viewer that the *Stele of the Vultures* celebrates the victory of Eannatum, the *ensi* (ruler; king?) of Lagash, over the neighboring city-state of Umma. The stele has reliefs on both sides and takes its modern name from a fragment depicting a gruesome scene of vultures carrying off the severed heads and arms of the defeated enemy soldiers. Another fragment shows the giant figure of the local god Ningirsu holding tiny enemies in a net and beating one of them on the head with a mace.

The fragment in FIG. 2-6 depicts Eannatum leading an infantry battalion into battle (*above*) and attacking from a war chariot (*below*). The foot soldiers protect themselves by forming a wall of shields—there are far more hands and spears than heads and feet—and trample naked enemies as they advance. (The fragment representing vultures devouring corpses belongs just to the right in the same register.) Both on foot and in a chariot, Eannatum is larger than everyone else, save for Ningirsu on the other side of the stele. The artist presented the *ensi* as the fearless general who paves the way for his army. Many Girsu attackers nonetheless lost their lives, and the inscription reports that Eannatum himself sustained wounds in the campaign, although the sculptor always portrayed the *ensi* as unharmed. In art, the outcome was never in doubt, because Ningirsu fought with the men of Lagash.

Eannatum and his men, like the Neolithic deer hunters (FIG. 1-15) at Çatal Höyük and the figures on the *Warka Vase* (FIG. 2-1), are a composite of frontal and profile views, with large staring frontal eyes in profile heads. The artist depicted those body parts necessary to communicate the human form and avoided positions, attitudes, or views that would conceal the characterizing parts. For example, if the figures were in strict profile, an arm and perhaps a leg would be hidden. The body would appear to have only half its breadth. And the eye would not “read” as an eye at all, because it would not have its distinctive oval shape. Art historians call this characteristic early approach to representation *conceptual representation* (as opposed to *optical representation*—the portrayal of people and objects seen from a fixed point) because the artists who painted and carved figures in this manner did not seek to record the immediate, fleeting aspects of the human body. Instead, they rendered the human form's distinguishing and fixed properties. The fundamental shapes of the head, arms, torso, and legs, not their accidental appearance, dictated the artists' selection of the composite view as the best way to represent the human body.

Despite its fragmentary state, the *Stele of the Vultures* is an extraordinary find, not only as a very early effort to record historical



events in relief but also for the insight it yields about Sumerian society. Through both words and pictures, it provides information about warfare and the special nature of the Sumerian ruler. Eannatum was greater in stature than other men, and Ningirsu watched over him. According to the text, the *ensi* was born from the god Enlil's semen, which Ningirsu implanted in his mother's womb. When Eannatum incurred injuries in battle, the god shed tears for him. The inscription also says that it was Ningirsu who chose Eannatum to rule Lagash and preside over all aspects of the city-state, both in war and in peace. This also seems to have been the role of the *ensi* in the other Sumerian city-states.

STANDARD OF UR Agriculture and trade brought considerable wealth to some of the city-states of ancient Sumer. Nowhere is this more evident than in the burial ground the excavators dubbed the Royal Cemetery at Ur, the city that was home to the biblical Abraham. In the third millennium BCE, the leading families of Ur placed their dead in vaulted chambers beneath the earth. Scholars still debate whether the deceased individuals were true kings and queens or merely aristocrats and priests, but they were laid to rest in regal fashion. The archaeologists who explored the Ur cemetery uncovered gold helmets and daggers with handles of lapis lazuli (a rich azure-blue stone imported from Afghanistan), golden beakers and bowls, jewelry of gold and lapis, musical instruments, chariots, and other luxurious items. The excavators also found dozens of bodies in the richest tombs—a retinue of musicians, servants, charioteers, and soldiers ritually sacrificed in order to accompany the “kings and queens” into the afterlife. (Comparable rituals are documented in other societies—for example, in ancient America; see page 514).

Not the costliest object found in the “royal” graves, but probably the most significant from the viewpoint of the history of art, is the *Standard of Ur* (FIGS. 2-7 and 2-8). This wooden box inlaid with shell, lapis lazuli, and red limestone has broad rectangular faces and narrow trapezoidal ends. Woolley (see page 32) thought the object was



originally mounted on a pole, and he considered it a kind of military standard—hence its nickname. The “standard” may have been the sound box of a musical instrument.

Art historians usually refer to the two long sides of the box as the “war side” and “peace side,” but the two sides may represent the first and second parts of a single narrative. The artist divided each side into three horizontal bands. The narrative reads from bottom to top. On the war side (FIG. 2-7), four ass-drawn four-wheeled war chariots crush enemies, whose bodies appear on the ground in front of and beneath the animals. The gait of the asses accelerates along the band from left to right. The artist depicted all the asses in strict profile, consistent with an approach to representing animals that was then some 20,000 years old (see “How to Represent an

2-7 War side of the *Standard of Ur*, from tomb 779, Royal Cemetery, Ur (modern Tell Muqayyar), Iraq, ca. 2600–2400 BCE. Wood, lapis lazuli, shell, and red limestone, 8" × 1' 7". British Museum, London.

Using a mosaic-like technique, this Sumerian artist depicted a battlefield victory in three registers. The narrative reads from bottom to top, and the size of the figures varies with their importance in society.

2-8 Peace side of the *Standard of Ur*, from tomb 779, Royal Cemetery, Ur (modern Tell Muqayyar), Iraq, ca. 2600–2400 BCE. Wood, lapis lazuli, shell, and red limestone, 8" × 1' 7". British Museum, London.

The entertainers at this banquet of Sumerian nobility include a musician playing a bull-headed harp of a type found in royal graves at Ur (FIG. 2-9). The long-haired, bare-chested singer is a court eunuch.

